



# BACKREACTION OF HEAVY MODULI FIELDS IN INFLATIONARY MODELS

Based on :

- W.Buchmuller, E.D., L.Heurtier and C.Wieck, arXiv:1407.0253 [hep-th], JHEP 1409 (2014) 053.
- W.Buchmuller, E.D., L.Heurtier, A.Westphal, C.Wieck, M. Winkler, arXiv:1501.05812 [hep-th], JHEP 1504 (2015) 058.
- E.D. and C. Wieck, arXiv:1506.01253 [hep-th] .

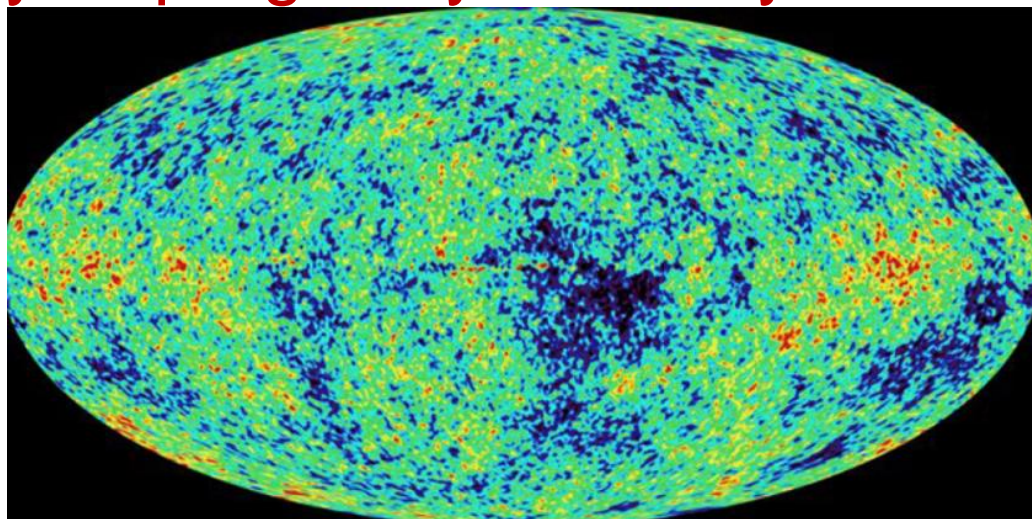
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# Outline

- 1) Inflation and supergravity
- 2) Generic constraints from supersymmetry breaking and heavy-fields (moduli) backreaction
- 3) Chaotic inflation :
  - stabilizer and supersymmetry breaking
  - moduli stabilization
- 4) Plateau models and backreaction
- 5) Conclusions

# 1) Inflation and supergravity

Why Supergravity for early cosmology ?



- Inflation with super-Planckian field variations needs an UV completion  $\longrightarrow$  **String Theory**
- **Supersymmetry** crucial ingredient in String Theory, **supergravity** its **low-energy** effective action

Talks: Blumenhagen, Hebecker, Kallosh, Linde, McAllister, Scalisi, Shiu, Silverstein, Wieck...



- Naively, the simplest chaotic example would be

$$W = \frac{m}{2}\phi^2 \quad , \quad K = \frac{1}{2}(\phi + \bar{\phi})^2$$

where the inflaton is  $\varphi = \sqrt{2} \text{Im } \phi$ . This doesn't work, since for large  $\varphi$  the potential is **unbounded from below**

The problem can be avoided by introducing a « **stabilizer** » field  $S$ , with no shift symmetry (Kawasaki, Yamaguchi, Yanagida)

$$W = mS\phi \quad , \quad K = \frac{1}{2}(\phi + \bar{\phi})^2 + |S|^2 - \xi|S|^4$$

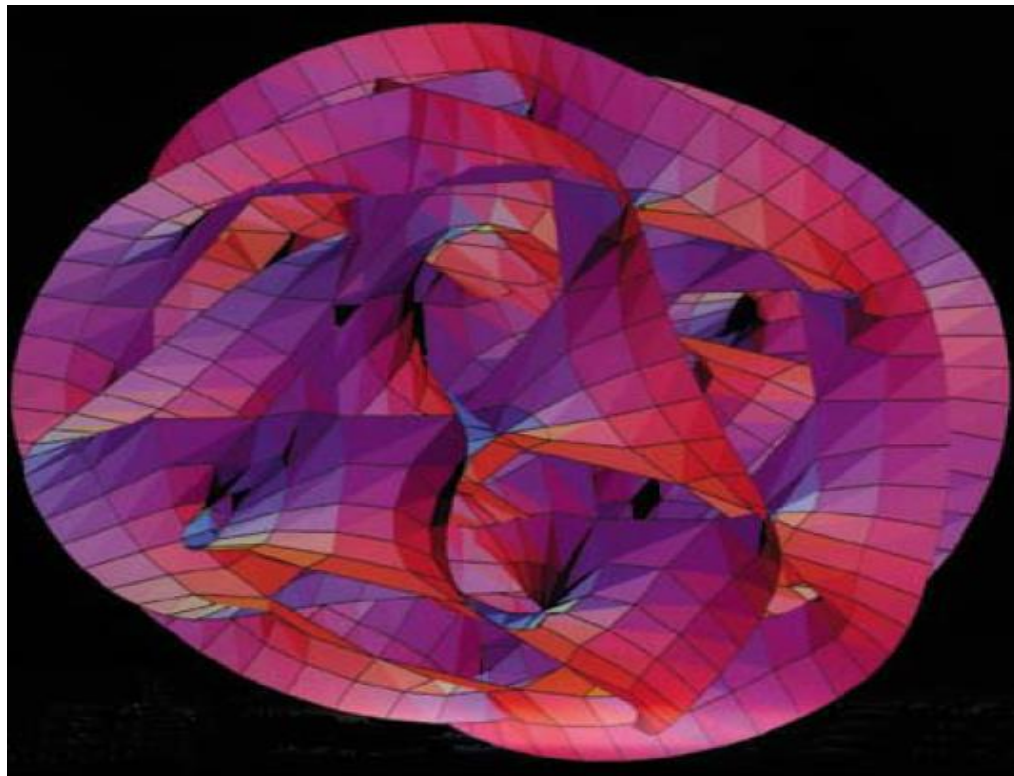
The term in  $\xi$  is needed in order to give a **large mass** to  $S$  during inflation.

The model was generalized to (Kallosh, Linde, Rube)

$$W = Sf(\phi)$$

## 2) Generic constraints from supersymmetry breaking and moduli backreaction

- String theory has (a lot of) scalar moduli fields : dilaton, internal space deformations, D-brane moduli, etc. Most of them are massless in perturbation theory: needs to make them massive  $\Rightarrow$  moduli stabilization



Dictionary:

KKLT = Kachru, Kallosh, Linde, Trivedi

LVS= Large volume scenario

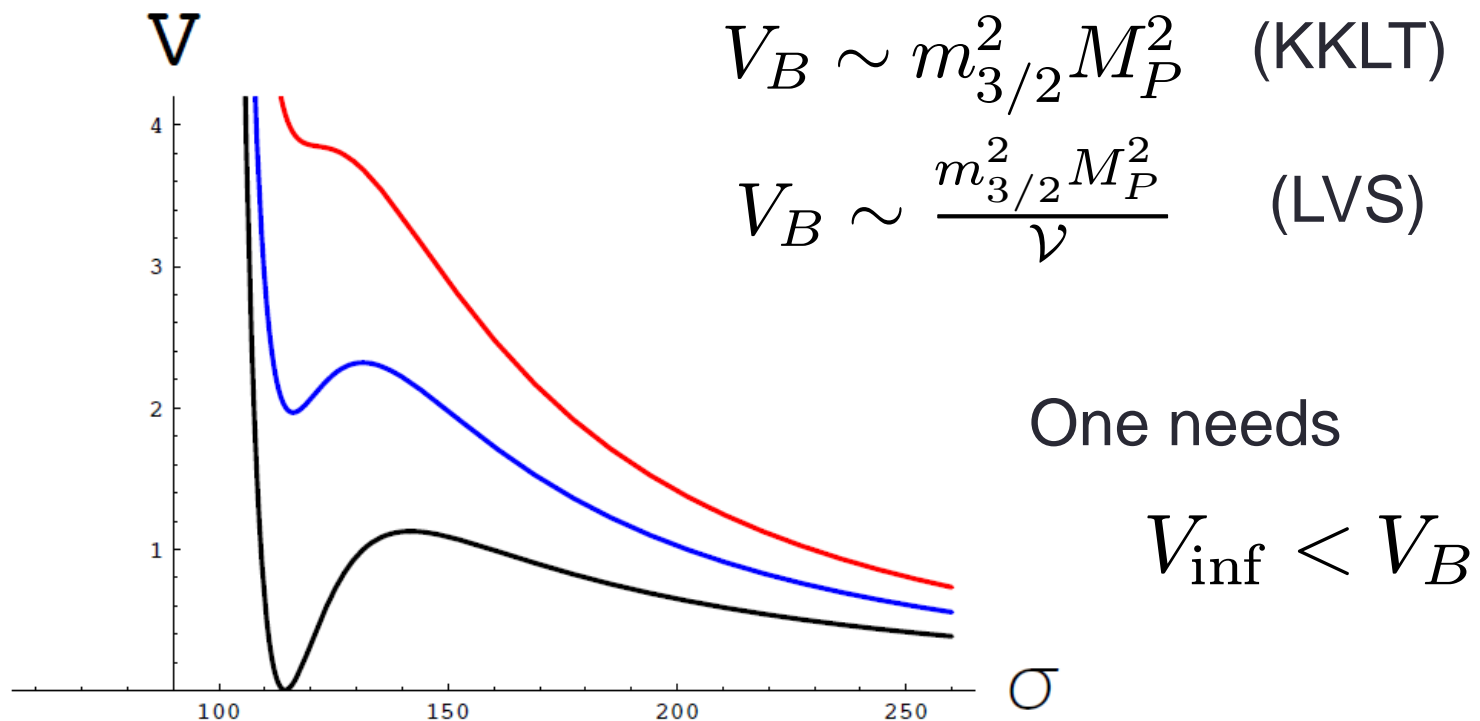
(Conlon, Quevedo +coll.)

## - The Kallosh-Linde problem

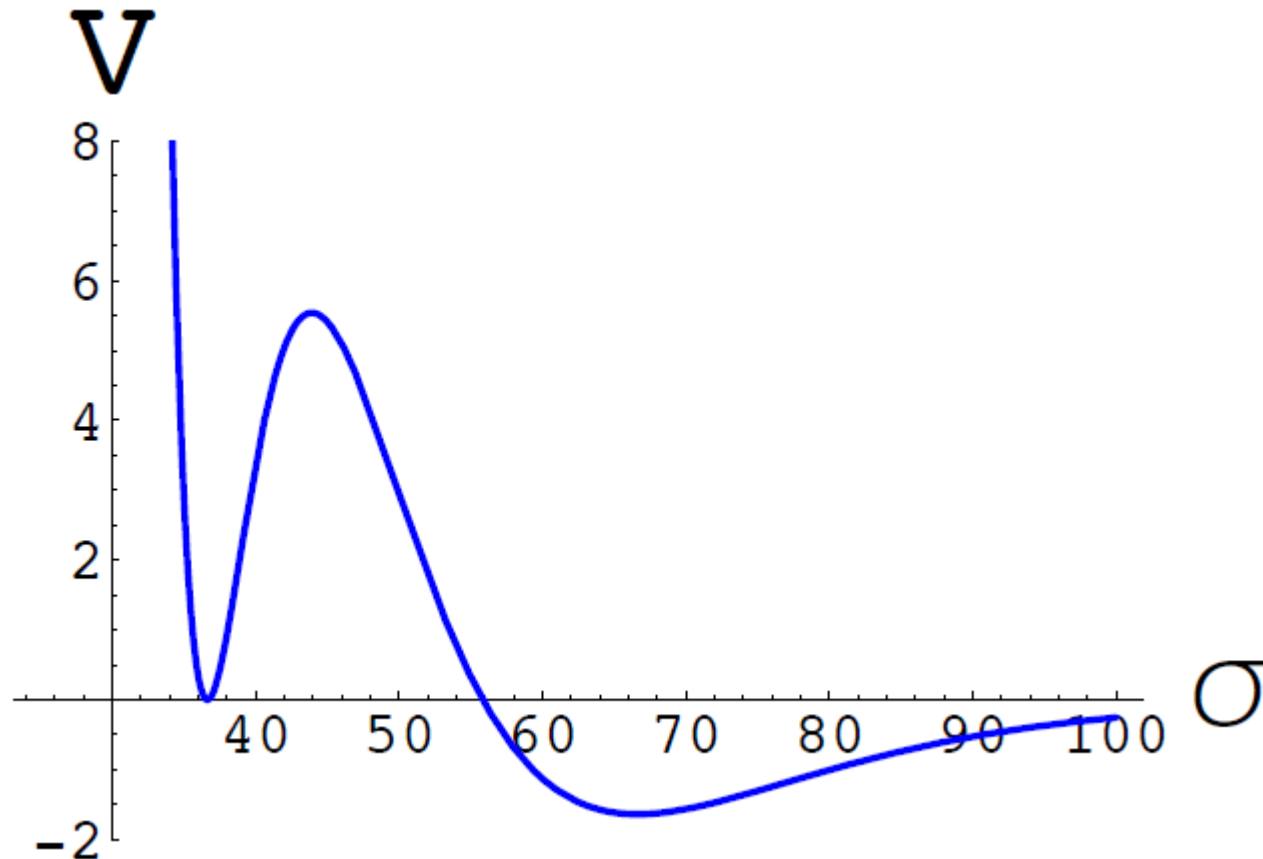
Traditional mechanisms of moduli stabilization (KKLT, LVS) are compatible with inflation only for **very large** gravitino mass

$$m_\phi \ll H \lesssim m_{3/2}$$

The reason is the barrier to the runaway



The way out is having « **strong moduli stabilization** » models with a barrier independent on the gravitino mass (KL)



- If moduli are light  $m_i < H$  , they will directly influence inflation  $\longrightarrow$  multi-field dynamics
- If they are heavy, they can still change dynamics if they participate to **SUSY breaking**  $\longrightarrow$

## non-decoupling effects

Such effects often arise in the process of **moduli stabilization**.

The discussion and results are different for models :

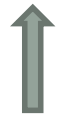
- with stabilizer ( large  $W_{\text{inf}}(\Phi)$  )
- without stabilizer ( small  $W_{\text{inf}}(\Phi)$  )



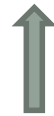
With stabilizer : generic structure

$$K = K(\Phi + \bar{\Phi}, S, \bar{S}, X, \bar{X}, T_\alpha, \bar{T}_\alpha)$$

$$W = MSf(\Phi) + W_1(X, T_\alpha),$$



inflaton part



modulus + SUSY breaking

SUSY breaking is generating a **mixing** stabilizer/inflaton

$$V_{\text{soft}} = m_{3/2}(\text{Re } Sf_1(\phi) + \text{Im } Sf_2(\phi))$$

which forces stabilizer to « track » inflaton trajectory

This generates a **backreaction** on inflaton potential (talk Wieck)

$$V_{\text{eff}}(\phi) = V_{\text{inf}}(\phi) - m_{3/2}^2 \frac{f_1^2(\phi) + f_2^2(\phi)}{M_S^2(\phi)}$$



Stabilizer mass during inflation

- Without stabilizer : generic structure

$$K = K_0(T_\alpha, \bar{T}_\alpha) + K_1(\Phi + \bar{\Phi}, X, \bar{X}, T_\alpha, \bar{T}_\alpha)$$

$$W = W_{\text{inf}}(\Phi) + W_1(X, T_\alpha).$$

One can treat  $W_{\text{inf}}$  as a **perturbation** of moduli potential.

Then:

SUSY inflaton potential

$$V = V_0 + V_1 + V_2$$

Moduli potential  $O(W_{\text{inf}})$   $O(W_{\text{inf}}^2)$   
(end of inflation)

During inflation moduli fields are **displaced from their minimum**



$$T_\alpha = T_{0,\alpha} + \delta T_\alpha$$

For  $m_\alpha > H$ , this can be treated perturbatively :

moduli masses

$$V_0(T_\alpha, \bar{T}_\alpha) \simeq \Lambda_0 + \frac{1}{2} \delta \rho_\alpha M_{\alpha\beta}^2 \delta \rho_\beta ,$$

$$V_1(T_\alpha, \bar{T}_\alpha, \phi) \simeq V_1(T_{0,\alpha}, \bar{T}_{0,\alpha}, \phi) + \delta \rho_\alpha \frac{\partial V_1}{\partial \rho_\alpha}$$

$$V_2(T_\alpha, \bar{T}_\alpha, \phi) \simeq V_2(T_{0,\alpha}, \bar{T}_{0,\alpha}, \phi) ,$$

where  $\rho_\alpha = (T_\alpha, \bar{T}_\alpha)$ . Then  $\delta \rho_\alpha = -M_{\alpha\beta}^{-2} \frac{\partial V_1}{\partial \rho_\beta}$  and

$$V_{\text{eff}}(\phi) \simeq V_2(T_{0,\alpha}, \bar{T}_{0,\alpha}, \phi) + V_1(T_{0,\alpha}, \bar{T}_{0,\alpha}, \phi) - \frac{1}{2} \frac{\partial V_1}{\partial \rho_\alpha} M_{\alpha\beta}^{-2} \frac{\partial V_1}{\partial \rho_\beta}$$

Naive terms

Backreaction

Explicit expressions can be found in specific models.  
In particular, for

$$K = K_0(T_\alpha, \bar{T}_{\bar{\alpha}}) + \frac{1}{2} K_1(T_\alpha, \bar{T}_{\bar{\alpha}}) (\Phi + \bar{\Phi})^2$$

$$W = W_{\text{inf}}(\Phi) + W_{\text{mod}}(T_\alpha),$$

and « small » SUSY breaking  $m_F \ll m_{3/2}$ , one finds

$$\begin{aligned}
 V(\varphi) = & \Lambda_0^4 + e^{K_0} \left\{ K_1^{-1} |\partial_\Phi W_{\text{inf}}(\Phi)|^2 - 3 |W_{\text{inf}}(\Phi)|^2 \right. \\
 & \left. + \left[ \left( K_0^{\alpha\bar{\beta}} K_{0,\alpha} \bar{D}_{\bar{\beta}} \bar{W}_{\text{mod}} - 3 \bar{W}_{\text{mod}} \right) W_{\text{inf}}(\Phi) + \text{h.c.} \right] \right\} \\
 & + e^{\frac{3}{2} K_0} \left( K_\delta (m_F^{-1})^{\beta\delta} \left\{ - \left[ K_0^{\epsilon\bar{\epsilon}} (K_{\beta\epsilon} + K_\beta K_\epsilon - \Gamma_{\beta\epsilon}^\gamma K_\gamma) \bar{D}_{\bar{\epsilon}} \bar{W}_{\text{mod}} \right. \right. \right. \\
 & \left. \left. \left. - 3 K_\beta \bar{W}_{\text{mod}} \right] W_{\text{inf}}^2(\Phi) + 2 D_\beta W_{\text{mod}} |W_{\text{inf}}(\Phi)|^2 \right\} + \text{h.c.} \right) \\
 & + \mathcal{O} \left( \frac{H^2}{m_T^2} \right),
 \end{aligned}$$

# 3) Chaotic inflation



# - stabilizer and supersymmetry breaking

(BDHW, arXiv:1407.0253 [hep-th])

Simplest example: gravity-only interactions between the Polony SUSY breaking sector  $X$  and the inflaton sector

$$W = mS\phi + fX + W_0$$

$$K = \frac{1}{2}(\phi + \bar{\phi})^2 + S\bar{S} + X\bar{X} - \xi_1(X\bar{X})^2 - \xi_2(S\bar{S})^2$$

During inflation, all fields get a large mass, except the inflaton  $\varphi = \sqrt{2} \text{Im } \phi$ . However,  $\chi = \sqrt{2} \text{Im } S$  is shifted due to SUSY breaking.

$X=0$  in what follows. This is ensured if  $\xi_1 > 1$  or imposing the constraint  $X^2 = 0$  (talks Kallosh, Linde, Scalisi)

$\chi$  is heavy and can be effectively integrated out

One finds an **effective inflaton potential**

$$V(\varphi) = f^2 - 3W_0^2 + \frac{1}{2}m^2\varphi^2 \left( 1 - \frac{4W_0^2}{W_0^2 + m^2 + 2m^2\varphi^2\xi_2} \right)$$

- When the coeff. of  $m^2\varphi^2$  decreases significantly, inflation stops. **Inflation stops** for a maximal value

$$m^2 < W_{0,max}^2 < H^2 = \frac{2m^2}{3}\varphi^2\xi_2$$

If heavy moduli are added, **their stabilization has to be done with « low » gravitino mass** and  $V_B \gg m_{3/2}^2 M_P^2$

Ex: KL  $\leftrightarrow$  **strong moduli stabilization.**





## - No stabilizer: Moduli stabilization and inflation

BDHWWW, arXiv:1501.05812 [hep-th]

- If chaotic inflation turns out to be correct (large  $r$ ), check effects of moduli fields, not to destroy inflation.
- Non decoupling SUSY breaking effects are crucial to cure large field behaviour: inflation is driven by soft mass.

Our starting point is

$$K = K_0(T_\alpha, \bar{T}_{\bar{\alpha}}) + \frac{1}{2} K_1(T_\alpha, \bar{T}_{\bar{\alpha}}) (\phi + \bar{\phi})^2$$

$$W = W_{\text{mod}}(T_\alpha) + \frac{1}{2} m \phi^2 .$$

After decoupling of moduli, in the **infinitely-massive** moduli limit, one expects an effective SUGRA theory with

$$K = \frac{1}{2} (\phi + \bar{\phi})^2 , \quad W = \frac{1}{2} \tilde{m} \phi^2$$

plus soft-breaking terms, with scalar potential

$$V = \frac{1}{2} \tilde{m}^2 \varphi^2 + \frac{c}{2} \tilde{m} m_{3/2} \varphi^2 - \frac{3}{16} \tilde{m}^2 \varphi^4 + \dots$$

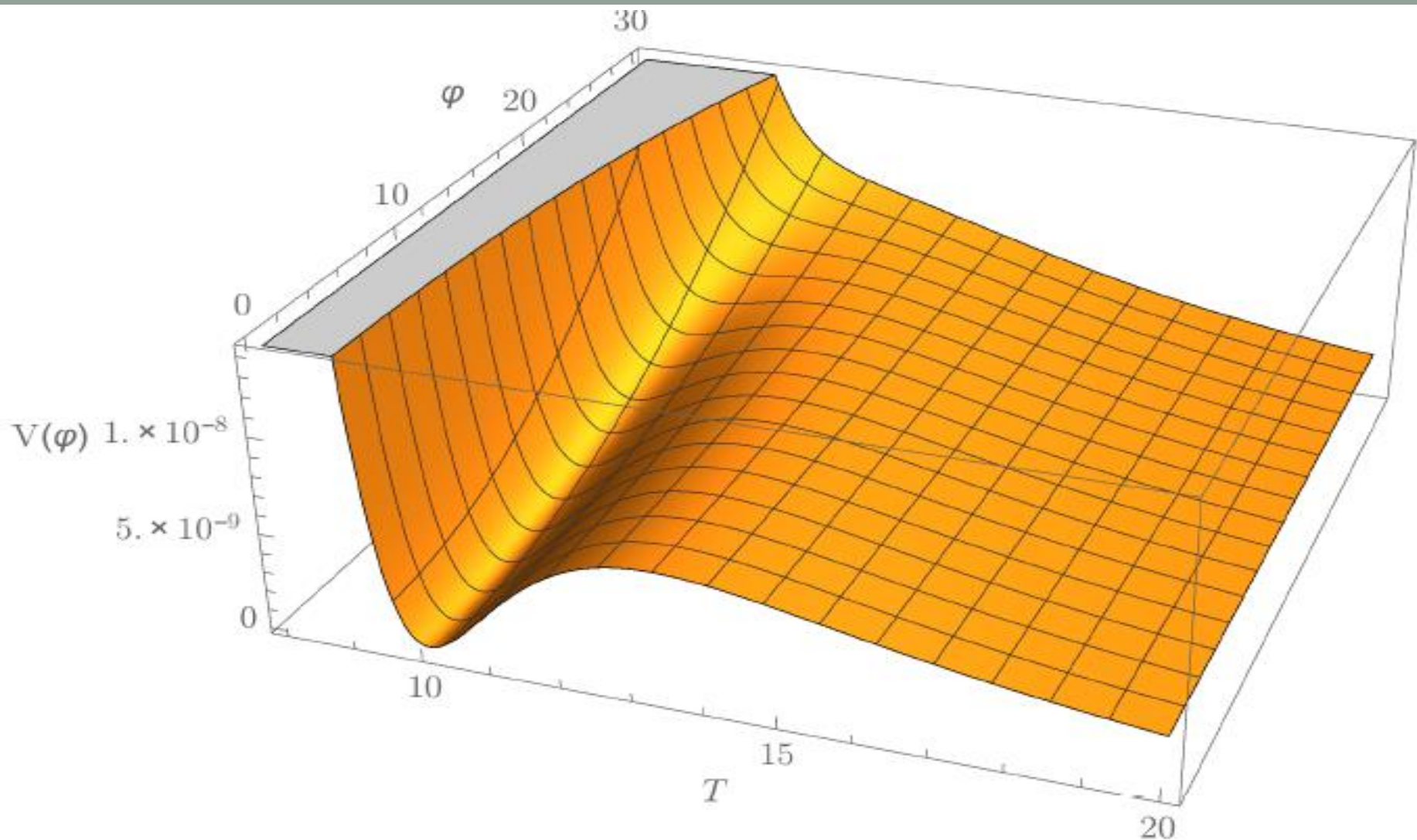


Figure 2: *Scalar potential as defined by Eqs. (3.17) as a function of  $T$  and  $\varphi$ , for the same parameter example as in Fig. 1. Apparently, a minimum for the modulus exists for  $\varphi \lesssim \varphi_c \approx 24$ . Beyond this point the modulus runs away towards  $T = \infty$  and can no longer be integrated out. For  $\varphi < \varphi_c$  inflation may take place in the valley of the uplifted modulus minimum.*

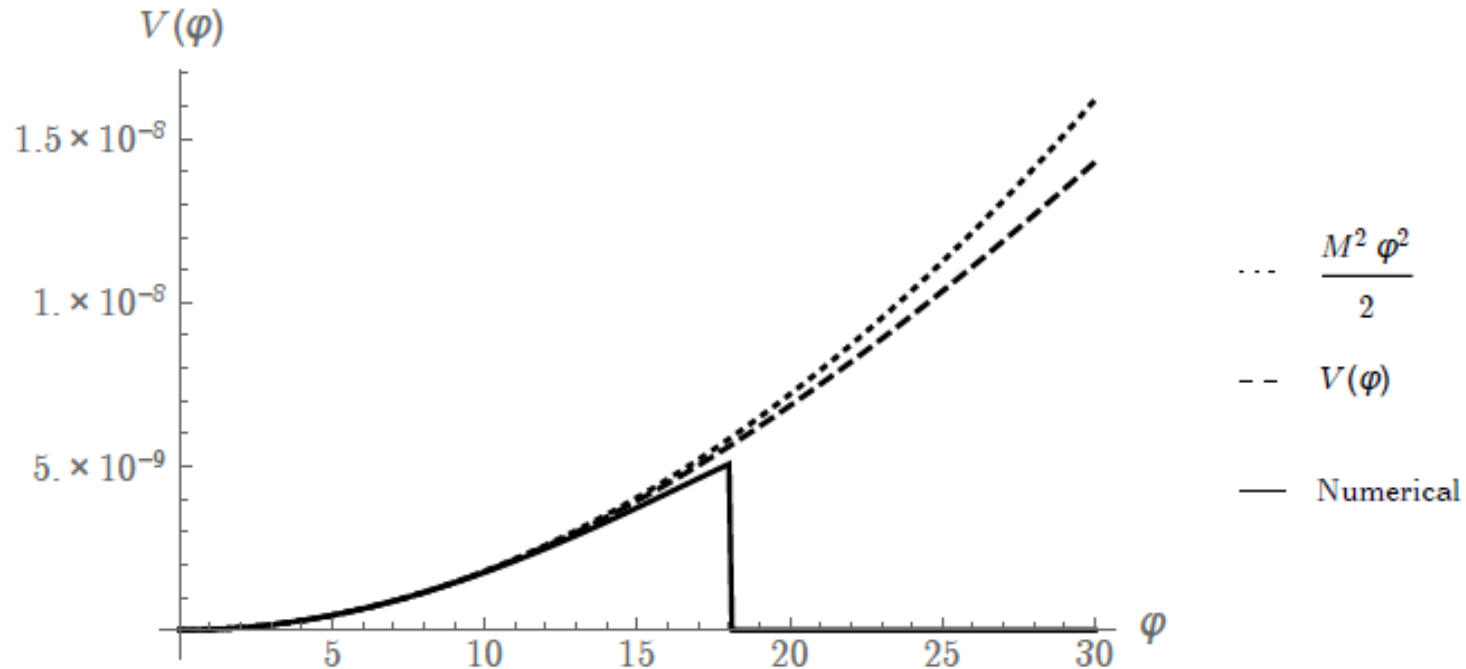


Figure 4: *Effective inflaton potential in LVS for  $W_0 = 1$ ,  $A = 0.13$ ,  $a = 2\pi$ ,  $m = 5.8 \times 10^{-4}$ , and  $\xi = 1.25$ . With these parameters we find  $T_0 = 0.75$ ,  $\mathcal{V}_0 = 200$ , and  $m_{3/2} = 0.005$ . The dotted line denotes a purely quadratic potential with  $M = 6 \times 10^{-6}$  imposed by COBE normalization. The dashed line is the effective potential Eq. (5.22) evaluated at all orders in  $\alpha T_0$ . The solid line is obtained numerically by setting the modulus to its minimum value at each value of  $\varphi$ . Since the barrier height and Hubble scale are the same as in the previous example, modulus destabilization occurs at  $\varphi \gtrsim 18$ . Notice that the difference between the dashed and the solid line is comparably large in this example. This is because the relatively small value of  $\mathcal{V}_0$  limits the precision of the expansion in  $\mathcal{V}^{-1}$ .*

## - Potential flattening and CMB observables



In all our cases, leading-order scalar potential of the type

$$V(\varphi) = \frac{1}{2} m_\varphi^2 \varphi^2 \left( 1 - \frac{\varphi^2}{2\varphi_M^2} \right)$$

valid for  $\varphi < \varphi_c < \varphi_M$ . In most cases  $m_\varphi^2 \sim m m_{3/2}$  and slow-roll parameters are changed to

$$\epsilon = \frac{2}{\varphi^2} \left( \frac{1 - \frac{\varphi^2}{\varphi_M^2}}{1 - \frac{\varphi^2}{2\varphi_M^2}} \right)^2, \quad \eta = \frac{2}{\varphi^2} \left( \frac{1 - \frac{3\varphi^2}{\varphi_M^2}}{1 - \frac{\varphi^2}{2\varphi_M^2}} \right)$$

$r$  is large, but **smaller than usual chaotic inflation**. It fits with PLANCK/BICEP 2015 and is but testable in the coming years.

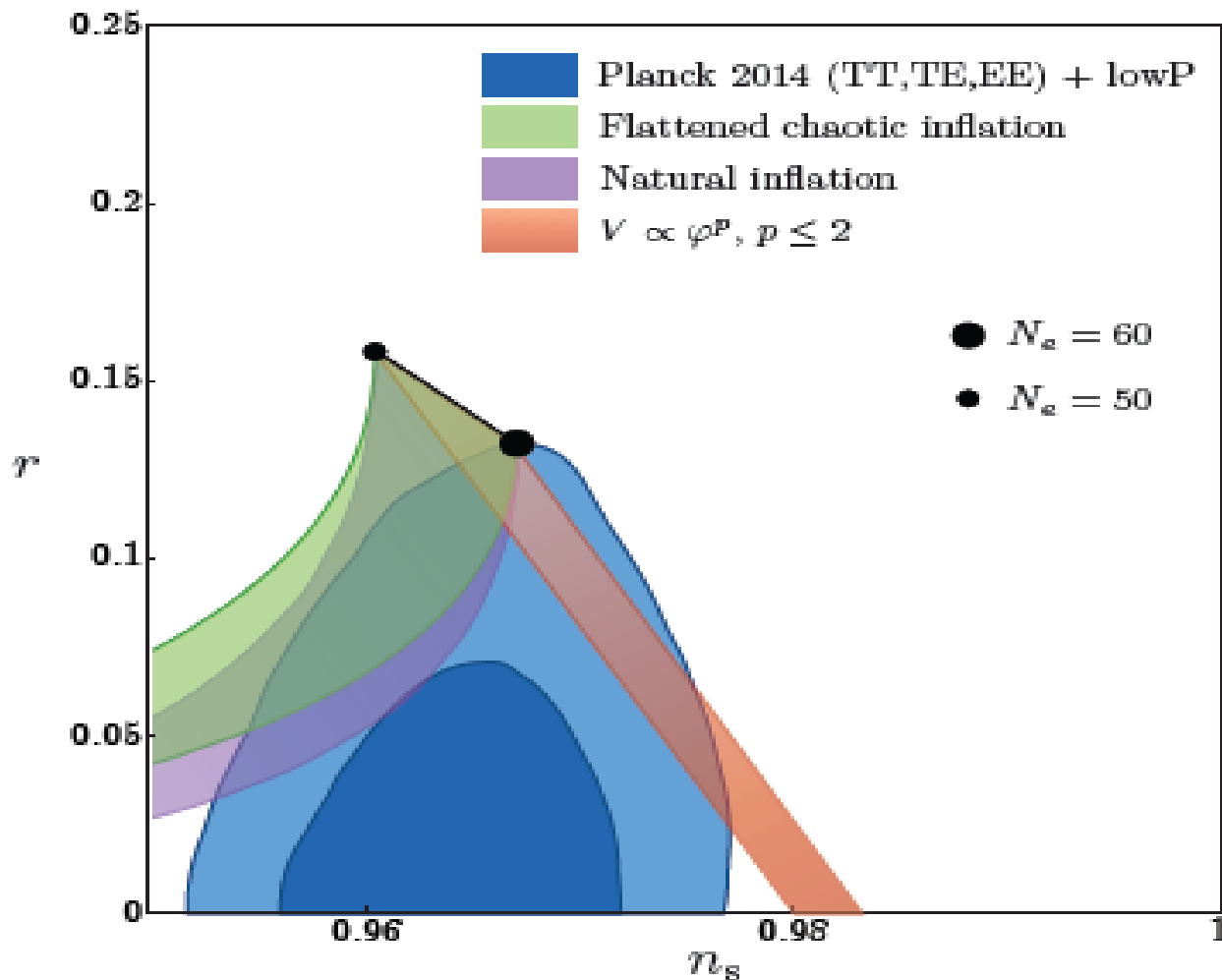


Figure 6: Prediction for the CMB observables  $n_s$  and  $r$  of the leading-order effective inflaton potential. In the limit  $\varphi_M \rightarrow \infty$  the observables asymptote to the predictions of pure quadratic inflation. Decreasing  $\varphi_M$  brings the potential increasingly into the hill-top regime. This leads to the green band of decreasing  $n_s$  and  $r$  values spanned by the 60 and 50  $e$ -fold curves. Note, once more, that the regime of true hill-top inflation can actually never be reached because moduli destabilization occurs to the left of the would-be local maximum in  $V(\varphi)$  at  $\varphi_M$ .

## 4) Plateau models and backreaction



(E.D.,C.Wieck)

- Let us reconsider the Starobinsky/Cecotti model, by adding **SUSY breaking**.

$$K = -3 \log \left( \Phi + \bar{\Phi} - |S|^2 + \frac{\xi}{3} |S|^4 \right) + k(|X|)$$

$$W = MS(\Phi - 1) + fX + W_0.$$

In the absence of SUSY breaking sector, inflaton potential is

$$V_0 = \frac{M^2}{12} \left( 1 - e^{-\sqrt{\frac{2}{3}}\varphi} \right)^2$$

where  $\Phi = e^{\sqrt{\frac{2}{3}}\varphi} + ia$



The **backreacted potential** is

$$V = V_0 + \frac{f^2}{8} e^{-3\sqrt{\frac{2}{3}}\varphi} - \frac{9W_0^2}{8\xi} e^{-4\sqrt{\frac{2}{3}}\varphi}$$

Main constraint : **no singularity** in the Kahler metric

$$\Phi + \bar{\Phi} - |S|^2 > 0$$

leading to the bound  $W_0 < \sqrt{\xi}M \rightarrow m_{3/2} < 10^{13} GeV$

similar to the case of chaotic inflation.

Moduli stabilization is then incompatible with KKLT, LVS, KU, one needs **strong moduli stabilization**.

Other models **without** stabilizer (Goncharov-Linde; Ellis, Nanopoulos, Olive): high-scale SUSY breaking moduli stabilization models **destroy the flatness** of the plateau. GL works with strong moduli stabilization.



# Conclusions



- Supersymmetry breaking and moduli stabilization are **constrained** if combined with large-field inflation.
- strong moduli stabilization: low-energy SUSY OK. **High-scale SUSY** constrained to  $m_{3/2} < m, \bar{H}$  with stabilizer (incompatible models without stabilizer).
- Chaotic inflation: KKLT, LVS : work only for huge  $m_{3/2}$  and models **without stabilizer**. Inflation driven by soft term ! **Initial conditions** have to be carefully chosen. **Flattening effect**.
- We considered SUSY breaking + heavy moduli in plateau (**Starobinsky, GL and ENO**) models. All incompatible with KKLT or LVS moduli stabilization.
- **Natural inflation** models seem to be protected from backreaction. Monodromy  $\Rightarrow$  case similar to chaotic inflation

- Interesting to analyze  $\alpha$ -attractor models (Kallosh, Linde, De Roest, Scalisi)

THANK YOU