

What can Heterotic DFT give for String Phenomenology?

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DFT Formulation for Heterotic String

Heterotic Effective action

- The low-energy effective action for heterotic string in massless bosonic sector is described by

$$S = \int dx \sqrt{g} e^{-2\phi} \left(R + 4(\partial\phi)^2 - \frac{1}{12} H^{ijk} H_{ijk} - \frac{1}{4} G^{ij}{}_{\alpha} G_{ij}{}^{\alpha} \right)$$

which is extended with n gauge fields $A_i{}^{\alpha}$, $\alpha = 1, \dots, n$.

- The field strength of the non-abelian gauge fields is defined as

$$G_{ij}{}^{\alpha} = \partial_i A_j{}^{\alpha} - \partial_j A_i{}^{\alpha} + g_0 [A_i, A_j]{}^{\alpha}$$

- The strength of the Kalb-Ramond field is modified by the Chern-Simons three-form

$$H_{ijk} = 3 \left(\partial_{[i} B_{jk]} - \kappa_{\alpha\beta} A_{[i}{}^{\alpha} \partial_j A_{k]}{}^{\beta} - \frac{1}{3} g_0 \kappa_{\alpha\beta} A_{[i}{}^{\alpha} [A_j, A_k]]{}^{\beta} \right)$$

Heterotic Double Field Theory

- **T-duality** is an important symmetry of string theory, as a consequence, the **non-geometric string background** called much attention both on theory and phenomenology side. This leads to

Flux Backgrounds Chain

$$H_{abc} \rightarrow F^a{}_{bc} \rightarrow Q_c{}^{ab} \rightarrow R^{abc}$$

[Dabholkar, Hull, Shelton, Taylor and Wecht '02-06]

A natural question for heterotic string would be: what is the T-dual of a gauge flux $G_{ij} \rightarrow \dots?$

- In heterotic DFT the global symmetry group is enhanced to $O(D, D + n)$, as a generalization of T-duality. [Hohm, Ki Kwak, Siegel, Hull, Zwiebach, Aldazabal, Marques, Nunez, Lüst, Andriot, Larfors, Patalong, Blumenhagen, Betz, Berman and Thompson, et al]
- Heterotic DFT lives on $2D + n$ dim space, coordinates $X^M = (\tilde{x}_i, x^i, y^\alpha)$. X^M transform as an $O(D, D + n)$ vector: $X'^M = h^M{}_N X^N$, $h \in O(D, D + n)$. The gauge field A^α depends on the gauge coordinate y^α .

- The heterotic DFT action expressed in terms of generalized metric H_{MN} and an $O(D, D + n)$ invariant dilation d , defined by $e^{-2d} = \sqrt{g}e^{-2\phi}$.
- The **abelian** bosonic sector of heterotic action under the so-called strong constraint $\tilde{\partial}^i = \partial_\alpha = 0$,

$$S = \int dx e^{-2d} \left(\frac{1}{8} H^{ij} \partial_i H^{KL} \partial_j H_{KL} - \frac{1}{2} H^{Mi} \partial_i H_{Kj} \partial_j H_{MK} - 2 \partial_i d \partial_j H^{ij} + 4 H^{ij} \partial_i d \partial_j d \right)$$

- As expected, the generalized metric H is parametrized in terms of the metric g_{ij} , B_{ij} and gauge fields A_i^α as

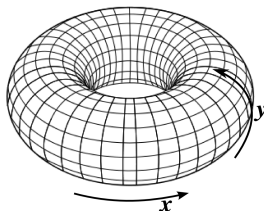
$$H_{MN} = \begin{pmatrix} g^{ij} & -g^{ik} C_{kj} & -g^{ik} A_{k\beta} \\ -g^{jk} C_{ki} & g_{ij} + C_{ki} g^{kl} C_{lj} + A_i^\gamma A_{j\gamma} & C_{ki} g^{kl} A_{l\beta} + A_{i\beta} \\ -g^{jk} A_{k\alpha} & C_{kj} g^{kl} A_{l\alpha} + A_{j\alpha} & \delta_{\alpha\beta} + A_{k\alpha} g^{kl} A_{l\beta} \end{pmatrix}$$

in which $C_{ij} = B_{ij} + \frac{1}{2} A_i^\alpha A_{j\alpha}$.

T-duality in Heterotic DFT

Non-geometric backgrounds of heterotic DFT

- Recall that under a global $O(D, D + n)$ transformation the coordinates and the generalized metric as $H' = h^t H h$, $X' = h X$, $\partial' = (h^t)^{-1} \partial$.



- Consider a torus T^2 with flat metric $g_{ij} = \delta_{ij}$, vanishing B -field and constant abelian gauge flux G_{ij} . For the gauge field A we choose $A_1 = f y$, $A_2 = 0$. This gives the field strength $G_{12} = -(\partial_1 A_2 - \partial_2 A_1) = f$.
- Apply T-duality in the x -direction, which in heterotic DFT implemented by conjugation $H' = \mathcal{T}_1^T H \mathcal{T}_1$ with an $O(2, 3)$ transformation.

- After transformation, read off the new metric, B-field and the gauge field from the transformed generalized metric H'

$$g' = \begin{pmatrix} \frac{1}{1+(fy)^2 + \frac{(fy)^4}{4}} & 0 \\ 0 & 1 \end{pmatrix}, \quad B' = 0, \quad A' = \begin{pmatrix} -\frac{(fy)}{1 + \frac{(fy)^2}{2}} \\ 0 \end{pmatrix}.$$

- Similar as for the type II DFT, after two T-dualities there appears a non-trivial functional dependence in the denominators. Implement with **field redefinition**, the new non-geometric J -flux

$$J^1{}_2 = -\partial_2 \tilde{A}^1 = -f$$

- Applying another T-duality in the y direction, changes $y \rightarrow \tilde{y}$ in the generalized metric, as in the R -flux background (locally non-geometric) we obtain a non-geometric gauge \tilde{G} -flux

$$\tilde{G}^{12} = -(\tilde{\partial}^1 \tilde{A}^2 - \tilde{\partial}^2 \tilde{A}^1) = f$$

$O(D, D + n)$ -induced field redefinition

- Comparing the transformed generalized metric H' with original H , we are lead to make the field redefinitions

$$\tilde{g} = g + C^t g^{-1} C + A^2$$

$$\tilde{C} = \tilde{g}^{-1} C^t g^{-1}$$

$$\tilde{A} = -(\tilde{g}^{-1} + \tilde{C}) A$$

- Heterotic Buscher transformations** is the standard rules for how the fields transform under T-duality. We compare our results with it from Buscher transformations step by step. It confirms we have the same fields in the more convenient way.
- Furthermore, the **first order α' correction** of Buscher rules is included for Heterotic DFT in form of the gauge field terms. [Serone and Trapletti'05], [Bedoya, Marques, and Nunez '14]

A The Buscher rules derived from heterotic DFT

Using the implementation of T-duality in heterotic DFT, one can now quite generally (re-)derive the Buscher from the conjugation of the generalized metric with the corresponding T-duality matrix. Carrying out this procedure for a T-duality in the x^θ direction, we get precisely the α' corrected Buscher rules presented in [42]

$$\begin{aligned}
G'_{\theta\theta} &= \frac{G_{\theta\theta}}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)^2} \\
G'_{\theta i} &= -\frac{G_{\theta\theta} B_{\theta i} + \frac{\alpha'}{2} G_{\theta i} A_\theta^2 - \frac{\alpha'}{2} G_{\theta\theta} A_\theta A_i}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)^2} \\
G'_{ij} &= G_{ij} - \frac{G_{\theta i} G_{\theta j} - B_{\theta i} B_{\theta j}}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)} \\
&\quad - \frac{1}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)^2} \left(G_{\theta\theta} \left[\frac{\alpha'}{2} B_{\theta j} A_\theta A_i + \frac{\alpha'}{2} B_{\theta i} A_\theta A_j - \frac{\alpha'^2}{4} A_\theta A_i A_\theta A_j \right] \right. \\
&\quad \left. + \frac{\alpha'}{2} A_\theta^2 \left[(G_{\theta i} - B_{\theta i})(G_{\theta j} - B_{\theta j}) + \frac{\alpha'}{2} (G_{\theta i} A_\theta A_j + G_{\theta j} A_\theta A_i) \right] \right) \quad (\text{A.1}) \\
B'_{\theta i} &= -\frac{G_{\theta i} + \frac{\alpha'}{2} A_\theta A_i}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)} \\
B'_{ij} &= B_{ij} - \frac{(G_{\theta i} + \frac{\alpha'}{2} A_\theta A_i) B_{\theta j} - (G_{\theta j} + \frac{\alpha'}{2} A_\theta A_j) B_{\theta i}}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)} \\
A'^\alpha_\theta &= -\frac{A_\theta^\alpha}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)} \\
A'^\alpha_i &= A_i^\alpha - A_\theta^\alpha \frac{G_{\theta i} - B_{\theta i} + \frac{\alpha'}{2} A_\theta A_i}{(G_{\theta\theta} + \frac{\alpha'}{2} A_\theta^2)}
\end{aligned}$$

where e.g. $A_\theta A_i = A_\theta^\alpha A_{i\alpha}$. Here the metric and the Kalb-Ramond field have dimension $[l]^0$ and the gauge field $[l]^{-1}$.

- Applying the Heterotic field redefinitions, we have the generalized metric \mathcal{H}_{MN} parametrized by the new metric \tilde{g}_{ij} , bi-vector \tilde{C}^{ij} and (one-)vector \tilde{A}^i as

$$\mathcal{H}_{MN} = \begin{pmatrix} \tilde{g}^{ij} + \tilde{C}^{ki} \tilde{g}_{kl} \tilde{C}^{lj} + \tilde{A}^i{}_\gamma \tilde{A}^{i\gamma} & -\tilde{g}_{jk} \tilde{C}^{ki} & \tilde{C}^{ki} \tilde{g}_{kl} \tilde{A}^l{}_\beta + \tilde{A}^i{}_\beta \\ -\tilde{g}_{ik} \tilde{C}^{kj} & \tilde{g}_{ij} & -\tilde{g}_{ik} \tilde{A}^k{}_\beta \\ \tilde{C}^{kj} \tilde{g}_{kl} \tilde{A}^l{}_\alpha + \tilde{A}^j{}_\alpha & -\tilde{g}_{jk} \tilde{A}^k{}_\alpha & \delta_{\alpha\beta} + \tilde{A}^k{}_\alpha \tilde{g}_{kl} \tilde{A}^l{}_\beta \end{pmatrix}$$

where $\tilde{C}^{ij} = \beta^{ij} + \frac{1}{2} \tilde{A}^i{}_\alpha \tilde{A}^{j\alpha}$, β^{ij} is the antisymm bi-vector.

- The definitions of the heterotic fluxes $\mathcal{F}_{ABC} = E_{CM} \mathcal{L}_{EA} E_B{}^M$

Geometric Gauge Fluxes

$$G_{\alpha ij} = -2D_{[i} A_{j]\alpha} - D_\alpha B_{ij} + D_\alpha A_{[i}{}^\gamma A_{j]\gamma}$$

$$J^j{}_{\alpha i} = \tilde{\partial}^j A_{i\alpha}, \quad K_{\alpha\beta i} = 2D_{[\alpha} A_{i\beta]}$$

Non-geometric Gauge Fluxes

$$\tilde{G}_\alpha{}^{ij} = -2\tilde{D}^{[i} \tilde{A}^{j]}{}_\alpha - D_\alpha \beta^{ij} + D_\alpha \tilde{A}^{[i\gamma} \tilde{A}^{j]}{}_\gamma$$

$$J^j{}_{\alpha i} = -\partial_i \tilde{A}^j{}_\alpha, \quad \tilde{K}^{\alpha\beta i} = 2D^{[\alpha} \tilde{A}^{i\beta]}$$

- Thus we can complete the **gauge fluxes chain** under T-dualities

$$G_{\alpha ij} \rightarrow J^j{}_{\alpha i} \rightarrow \tilde{G}_\alpha{}^{ij} ; \quad K_{\alpha\beta i} \rightarrow \tilde{K}^{\alpha\beta i}$$

Generalized Geometry to DFT

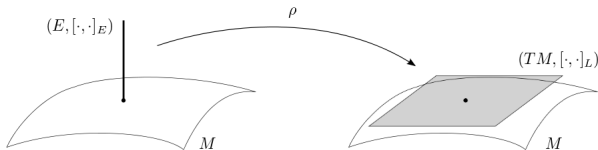


Figure 1: Illustration of a Lie algebroid. On the left, one can see a manifold M together with a bundle E and a bracket $[\cdot, \cdot]_E$. This structure is mapped via the anchor ρ to the tangent bundle TM with Lie bracket $[\cdot, \cdot]_L$, which is shown on the right.

[Blumenhagen, Deser, Plauschinn, Rennecke and Schmid'13]

- A Lie algebroid is specified by three pieces of information:
 - a vector bundle E over a manifold M ,
 - a bracket $[\cdot, \cdot]_E : E \times E \rightarrow E$,
 - a homomorphism $\rho : E \rightarrow TM$ called the anchor.
- In **generalized geometry** for abelian sector of heterotic string, we consider a D-dimensional manifold M with usual coordinates x^i , equipped with a generalized bundle $E = TM \oplus T^*M \oplus V$, lives generalized metric $\mathcal{H}_{MN}(g_{ij}, B_{ij}, A_i^\alpha)$. [Hitchin'02;

Gualtieri'04]

- An $O(D, D + n)$ transformation \mathcal{M} acts on the generalized metric via conjugation, i.e. $\hat{H}(\hat{g}, \hat{B}, \hat{A}) = \mathcal{M}^t H(g, B, A) \mathcal{M}$, $\mathcal{M}^t \mathcal{M} = 1$, and therefore fields get redefined: $(g, B, A) \longrightarrow (\hat{g}, \hat{B}, \hat{A})$.

- Can this be connected to DFT field redefinitions? **Yes!**

By choosing $\mathcal{M} = \begin{pmatrix} a & b & m \\ c & d & n \\ p & q & z \end{pmatrix} = \begin{pmatrix} 0 & \tilde{g} & 0 \\ \tilde{g}^{-1} & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$

with $\tilde{g} = g + C^t g^{-1} C + A^2$.

- We connect to the heterotic DFT field redefinitions

$$\begin{aligned}\hat{g} &= \rho^t g \rho = \tilde{g} \\ \hat{C} &= \rho^t \mathfrak{C} \rho = C^t g^{-1} \tilde{g} \\ \hat{A} &= \rho^t \mathfrak{A} = -(1 + C^t g^{-1})A\end{aligned}$$

$$\begin{aligned}\rho^* &= (\rho^t)^{-1} = -(g + C) \tilde{g}^{-1} \\ \delta &= -\tilde{g} \\ \mathfrak{A} &= A\end{aligned}$$

The redefined heterotic action

- Recall that the NS-sector of the heterotic string action is

$$\mathcal{S} = \int dx \sqrt{g} e^{-2\phi} \left(R + 4(\partial\phi)^2 - \frac{1}{12} H^{ijk} H_{ijk} - \frac{1}{4} G^{ij\alpha} G_{ij\alpha} \right)$$

with $H = dB - \frac{1}{2} \delta_{\alpha\beta} A^\alpha \wedge dA^\beta$ and $G^\alpha = dA^\alpha$.

- Gravitational quantities transform as

$$\begin{aligned} \hat{R}^q_{mnp} &= (\rho^{-1})^q{}_l \rho^i{}_m \rho^j{}_n \rho^k{}_p R^l{}_{ijk}, & \hat{R}_{mn} &= \rho^i{}_m \rho^j{}_n R_{ij}, \\ \hat{R} &= R, & \sqrt{|\hat{g}|} &= \sqrt{|g|} |\rho^t|, & \hat{\phi} &= \phi, & D_i &= (\rho^t)_i{}^j \partial_j \end{aligned}$$

[Blumenhagen, Deser, Plauschinn, Rennecke and Schmid'13]

- For the gauge field strength $G = dA$, we have $(\Lambda^2 \rho^*) d_E \hat{A} = d(\rho^* \hat{A}) = dA$

Field Strength transforms as

$$\hat{G} := d_E \hat{A} = (\Lambda^2 \rho^t) G$$

Three-form Flux transforms as

$$\hat{H} := d_E \hat{B} - \frac{1}{2} \hat{A} \wedge d_E \hat{A} = (\Lambda^3 \rho^t) H$$

- so that the action in the redefined fields can be expressed as

$$\mathcal{S} = \int dx \sqrt{\hat{g}} |\rho^*| e^{-2\phi} \left(\hat{R} + 4(D\phi)^2 - \frac{1}{12} \hat{H}^{ijk} \hat{H}_{ijk} - \frac{1}{4} \hat{G}^{ij\alpha} \hat{G}_{ij\alpha} \right)$$

Conclusion & Outlook

- By applying $O(D, D + n)$ transformation in heterotic DFT, we obtain the results as **heterotic Buscher rules** given, and naturally includes the first order α' correction.
- In non-geometric frame, we obtain the **gauge vector** transformed from the gauge field. Then we add **field redefinitions** with gauge fields, and completed the **gauge fluxes chain** under T-dualities.
- As a parallel section, we construct an general $O(D, D + n)$ **anchor** in **generalized geometry** and connect to the field redefinition in DFT with specified choice. By choosing different anchors, one can arrive a **sequence of equivalent actions** for heterotic supergravity.
- We hope the non-geometric gauge fluxes etc. could be interesting input in string phenomenology or model building in the coming future.



- The $O(2,3)$ transformation on T^2 torus for heterotic DFT

$$\mathcal{T}_1 = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix}.$$

The upper 4×4 dimensional part of the metric is the same as the T-duality transformation for type II DFT.

- We derive the general form of the components of the heterotic fluxes by $\mathcal{F}_{ABC} = E_{CM} \mathcal{L}_{E_A} E_B^M = \Omega_{ABC} + \Omega_{CAB} - \Omega_{BAC}$.
- In order to treat geometric and non-geometric components at the same time, we use the general extended form of the generalized vielbein

$$E^A_M = \begin{pmatrix} e_a^i & -e_a^k C_{ki} & -e_a^k A_{k\beta} \\ -e^a_k \tilde{C}^{ki} & e^a_i + e^a_k \tilde{C}^{kj} C_{ji} & -e^a_k \tilde{A}^k_\beta \\ \tilde{A}^{i\alpha} & A_i^\alpha & \delta^\alpha_\beta \end{pmatrix}$$

- In abelian heterotic generalized geometry, we consider a D -dimensional manifold M with usual coordinates x^i , equipped with a generalized bundle $E = TM \oplus T^*M \oplus V$, whose sections are formal sums $\xi + \tilde{\xi} + \lambda$ of vectors, $\xi = \xi^i(x) \partial_i$, one-forms, $\tilde{\xi} = \tilde{\xi}_i(x) dx^i$ and gauge transformations, $\lambda = (\lambda_1(x), \dots, \lambda_n(x))$.
- For each non-geometric local $O(D, D+n)$ transformation this action is based on the differential geometry of a corresponding Lie algebroid.

- The anchor property and the corresponding formula for the **de Rahm differential** allow to compute

$$\left((\Lambda^{n+1} \rho^*) (d_E \theta^*) \right) (X_0, \dots, X_n) = (d_E \theta^*) (\rho^{-1}(X_0), \dots, \rho^{-1}(X_n)) = d((\Lambda^n \rho^*)(\theta^*)) (X_0, \dots, X_n)$$

with the dual anchor $\rho^* = (\rho^\dagger)^{-1}$ and for sections $X_i \in \Gamma(TM)$. The relation describes how exact terms translate in general.

- Moreover, any Lie algebroid can be equipped with a nilpotent exterior derivative as follows

$$d_E \theta^*(s_0, \dots, s_n) = \sum_{i=0}^n (-1)^i \rho(s_i) \theta^*(s_0, \dots, \hat{s}_i, \dots, s_n) + \sum_{i < j} (-1)^{i+j} \theta^*([s_i, s_j]_E, s_0, \dots, \hat{s}_i, \dots, \hat{s}_j, \dots, s_n),$$

where $\theta^* \in \Gamma(\Lambda^n E^*)$ is the analog of an n -form on the Lie algebroid and \hat{s}_i denotes the omission of that entry. The Jacobi identity of the bracket $[\cdot, \cdot]_E$ implies that satisfies $(d_E)^2 = 0$.