## An Introduction to the Weak Gravity Conjecture and Cosmology

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#### Outline

- Axion Inflation
- The Weak Gravity Conjecture
- WGC Constraints on Axion Inflation
- 4 Loopholes
- 5 Conclusions and Directions for Future Research

Axion Inflation
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#### Section 1

**Axion Inflation** 



#### Inflation

# Problem: Why is the universe so flat and homogenous?

Solution: Inflation.

(Period of quasi-exponential growth  $a(t) \approx e^{Ht}$  in the early universe.)



#### Slow-Roll Inflation

 Inflation can be thought of as the theory of a ball rolling down a hill with friction.

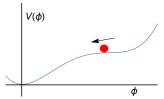


Figure 1: The inflaton rolling down its potential.

Slow roll parameters encode relevant features of potential:

$$\epsilon_{V} = \frac{M_{p}^{2}}{2} \left( \frac{V'(\phi)}{V(\phi)} \right)^{2}, \quad \eta_{V} = M_{p}^{2} \frac{V''(\phi)}{V(\phi)}. \tag{1}$$

#### Slow-Roll Inflation

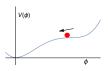
 Measurable quantities are determined by the slow-roll parameters,

$$pprox \qquad \qquad 16\epsilon_V^* \qquad \qquad (2) \ 1 \qquad \qquad pprox \qquad 2\eta_V^* - 6\epsilon_V^*. \qquad \qquad (3)$$

$$n_s^* - 1 \qquad \qquad \approx \qquad 2\eta_V^* - 6\epsilon_V^*. \tag{3}$$



Experiment



Theory

#### Planck and BICEP2 Data

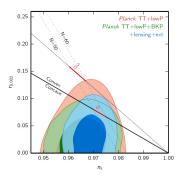


Figure 2: *Planck* and BICEP2 measurements give a best fit value of  $r_* = 0.05$ .  $r_* < 0.075$  at 95% CI when lensing +  $\Lambda$ CDM+noise+dust are taken into account.  $r_* > 0$  at 92% CI [Ade et al. '15a, '15b].

## Implications of a Large r<sub>\*</sub>

- A large  $r_*$  implies a large first derivative of the potential, and hence a fast-moving inflaton.
- Distance = Rate × Time ⇒ r<sub>\*</sub> is thus related to the distance traveled by the inflaton via the 'Lyth bound' [Lyth '96],

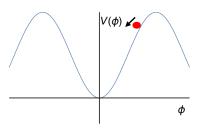
$$\Delta\phi \gtrsim \left(\frac{r_*}{0.01}\right)^{1/2} M_p. \tag{4}$$

 A detectable tensor-to-scalar ratio implies a trans-Planckian traversal of the inflaton during the course of its slow-roll.

#### **Axions**

 Axions (scalars with a perturbative shift symmetry) acquire a periodic "natural inflation" potential from instanton effects:

$$V(\phi) = \Lambda^4 (1 - \cos\frac{\phi}{f}) + \dots \tag{5}$$



•  $f > M_p$  is necessary for inflation.



## **Axions in String Theory**

- Axions are ubiquitous in string compactifications.
- But...axion decay constants in string theory are constrained to be  $\mathcal{O}(M_p)$  or smaller [Banks et al. '03], making them unsuitable for inflation.

## Three Popular Solutions:

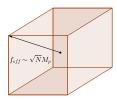
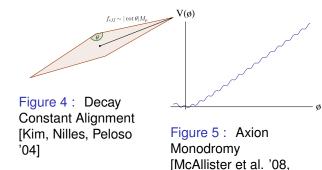


Figure 3: *N*-flation [Dimopoulos et al. '05]



Silverstein, Westphal '08, Flauger et al. '09] Axion Inflation
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#### Section 2

The Weak Gravity Conjecture

## The Weak Gravity Conjecture [Arkani-Hamed et al. '06]

#### The (Mild) Weak Gravity Conjecture

Any consistent gravitational theory with a U(1) gauge field admitting a UV completion must contain a particle with charge to mass ratio greater than or equal to that of an extremal black hole.

### Why Should the Weak Gravity Conjecture Be True?

If not, extremal black holes will be unable to decay.

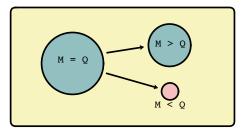


Figure 6: Charged black hole decay.

 If not, near-extremal black holes move towards extremality, sequester information forever.

### Why Should the Weak Gravity Conjecture Be True?

 Many examples in string theory and KK theory obey the WGC [Arkani-Hamed et al. '06, Heidenreich et al. '15, to appear].

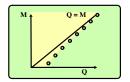


Figure 7: Spectrum of charged particles.

AdS/CFT factorization argument? [Harlow '15]



## The Generalized Weak Gravity Conjecture

 It is natural to generalize this to arbitrary p-forms and d spacetime dimensions.

#### The Generalized Weak Gravity Conjecture

Consider a p-form Abelian gauge field in any number of dimensions d. Then, there exists an electrically charged p-1 dimensional object and a magnetically charged d-p-1 dimensional object with tension,

$$T_{
m el} \lesssim \left(rac{g^2}{G_N}
ight)^{1/2} \,, \quad T_{
m mag} \lesssim \left(rac{1}{g^2 G_N}
ight)^{1/2}$$



## Axions and the Weak Gravity Conjecture

• Consider the case of a 0-form  $\phi$  (i.e. an axion) in 4d. The generalized WGC then says that there must exist a -1-dimensional object (instanton) with tension,

$$T \lesssim \frac{M_p}{f}$$
. (6)

 But, this T is just the instanton action S. If we impose S > 1, we find

$$1 < S \lesssim \frac{M_p}{f} \Rightarrow f < M_p. \tag{7}$$

Thus,

The Generalized WGC +Instanton Action > 1  $\Rightarrow$  Decay constants larger than  $M_p$  are forbidden!



## The N-Species Weak Gravity Conjecture

- The WGC was originally formulated only for theories with a single U(1) gauge symmetry.
- In practice, we expect many U(1)s from a string compactification.
- Extending the WGC to such theories is non-trivial and has important implications for axion inflation.

## The N-Species (Mild) Weak Gravity Conjecture

- Suppose we have not 1, but N 1-form gauge fields.
- The *N*-species WGC holds that the convex hull of the charge-to-mass vectors  $\pm \vec{z}_i = \pm \frac{\vec{q}_i}{m_i} M_p$  must contain the *N*-dimensional unit ball. (Note: black holes have  $|\vec{Z}| \leq 1$ .)

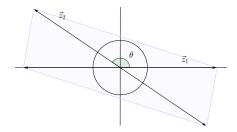


Figure 8: The convex hull condition [Cheung, Remmen '14].



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#### Section 3

WGC Constraints on Axion Inflation

## The N-Species Axion WGC

- So far, we have seen two extensions of the WGC:
  - The "generalized" WGC for *p*-form gauge fields.
  - The "N-species" WGC for multiple gauge fields.
- It is natural to consider: what happens when we put these two together?

#### Axion Inflation Models and the WGC

#### The Main Point

"Vanilla" models of *N*-flation and decay constant alignment are both in conflict with the WGC. [Rudelius '15, Montero et al. '15, Brown et al. '15].

#### Assumptions:

- Instanton actions  $S_i > 1$ .
- Instantons satisyfing WGC give dominant contributions to inflationary potential



## WGC Implications for Inflation:

#### Needed for Parametrically Large $f_{eff}$ :

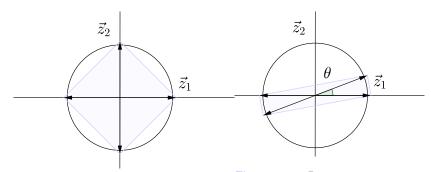


Figure 9: N-flation

Figure 10 : Decay Constant Alignment

## WGC Implications for Inflation:

#### Stipulated by the WGC:

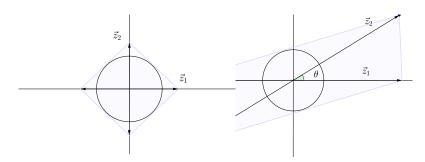


Figure 11: N-flation

Figure 12 : Decay Constant Alignment



## WGC Implications for Inflation:

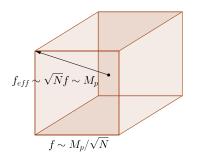


Figure 13: N-flation

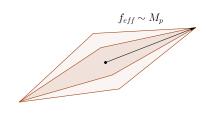


Figure 14: Decay Constant Alignment



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#### Section 4

Loopholes

## Loopholes in the WGC

- Our derivation of the bound on axion moduli spaces relied crucially on two assumptions:
  - Instanton actions larger than 1 ⇒ the "small action loophole."
  - No additional instantons satisfying the bound ⇒ the "extra particle loophole."

## The Small Action Loophole

- Recall: the bound dictated by the WGC is  $fS < M_p$ .
- In string theory, S > 1 is generally required for theoretical control.
- In extranatural inflation (5d theory with 1-form U(1) compactified on a circle [Arkani-Hamed et al., '03]), S can be arbitrarily small, leaving f unbounded.

## Closing the Small Action Loophole

 Applying the magnetic form of the WGC closes this loophole in the single-axion case [de la Fuente et al. '14]:

WGC: 
$$\frac{q_{\mathrm{mag}}}{m_{\mathrm{mag}}} \sim \frac{1/g}{\Lambda/g^2} > \frac{1}{M_p}$$
  
Hierarchy of Scales: $\Lambda > \frac{1}{R}$   
 $\Rightarrow f \sim \frac{1}{aR} < M_p$ .

- N-flation also violates the magnetic WGC [Heidenreich et al. '15a].
- Decay constant alignment obeys the WGC at minima of the potential but violates it elsewhere in axion moduli space.

## Closing the Small Action Loophole

#### The Extended Weak Gravity Conjecture (XWGC)

The weak gravity conjecture should be satisfied at any stationary point of the potential.

• If true, this conjecture would close the small action loophole.

## The Extra Particle Loophole

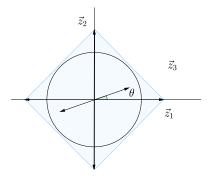


Figure 15: A model with three charge vectors and two axions. Although the generalized weak gravity conjecture still constrains the size of moduli space, one could achieve a large inflaton traversal as long as the potential contributions from  $\vec{z}_3$  dominate those from  $\vec{z}_2$ .

## Closing the Extra Particle Loophole

- This loophole can also be closed by the magnetic WGC in some instances.
- In other cases, there will be additional modes coming in and out of the effective field theory over the course of the inflaton trajectory.

## Closing the Extra Particle Loophole

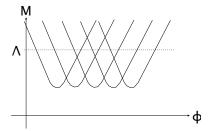


Figure 16: Masses of KK modes in a model with extra particles as a function of the inflaton,  $\phi$ . KK modes go in and out of the EFT as the inflaton rolls.

#### Section 5

Conclusions and Directions for Future

Research

#### Conclusions

- The WGC strongly constrains models of N-flation and axion decay constant alignment.
- There are loopholes which would allow natural inflation consistent with the WGC, though these introduce other oddities and might not admit a UV completion.
- The N-species extension of the WGC is non-trivial, and there is evidence for both a mild version (the convex hull condition) and a strong version (the Lattice WGC—see Ben Heidenreich's talk).
- Quantum gravity has more to say about inflation than has been previously appreciated.



## **Outstanding Questions**

- Is the mild WGC necessarily true in any consistent theory of quantum gravity?
  - If so, is the Lattice WGC true? The XWGC?
  - If not, what else could explain the sub-Planckian decay constants of string theory?
- Does the mild WGC place important constraints on realistic models in string theory, or are the aforementioned loopholes readily exploited?
- Can one place similar constraints on axion monodromy and/or relaxion models? (see talks from Westphal, Valenzuela)
- Is the WGC pointing us toward something even more fundamental about quantum gravity?



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