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# Global F-theory Constraints on Gauge Symmetry and Matter Representations

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# Outline/Topics

Focus on F-theory implications of Mordell-Weil Group of Elliptically Fibered Calabi-Yau manifolds

- i. Free part, associated with  $U(1)$  gauge symmetries:  
new insights for global symmetry constrains  
in the presence of non-Abelian gauge symmetries;  
implications for F-theory 'swampland'
- ii. Torsion part, associated with modding-out non-Abelian factors by a discrete symmetry:  
novel non-Abelian enhancements & matter;  
open issues

## Mordell-Weil and global constraints on gauge symmetry

M.C. and Ling Lin,

“The Global Gauge Group Structure of F-theory  
Compactification with  $U(1)$ s,”

arXiv:1706.08521 [hep-th], JHEP

## Mordell-Weil torsion and novel gauge symmetry enhancements

Florent Baume, M.C., Craig Lawrie, Ling Lin,

“When Rational Sections Become Cyclic: Gauge  
Enhancement in F-theory via Mordell-Weil Torsion,”

arXiv:1709.07453 [hep-th], JHEP

# I. $U(1)$ -symmetries in F-theory



# Abelian Gauge Symmetries

**Different:** (1,1) forms  $\omega_m$ , supporting U(1) gauge bosons, isolated  
& associated with  $I_1$ -fibers, only

[Morrison, Vafa '96]

(1,1) - form  $\omega_m$   rational section of elliptic fibration

# Abelian Gauge Symmetry & Mordell-Weil Group

rational sections of elliptic fibr.  $\leftrightarrow$  rational points of elliptic curve

Rational point  $Q$  on elliptic curve  $E$  with zero point  $P$

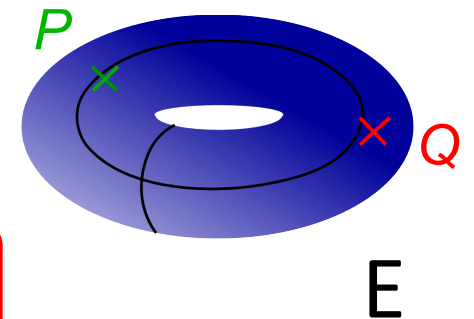
- is solution  $(x_Q, y_Q, z_Q)$  in a field  $K$  of Weierstrass form

$$y^2 = x^3 + fxz^4 + gz^6$$

- Rational points form group (addition) on  $E$

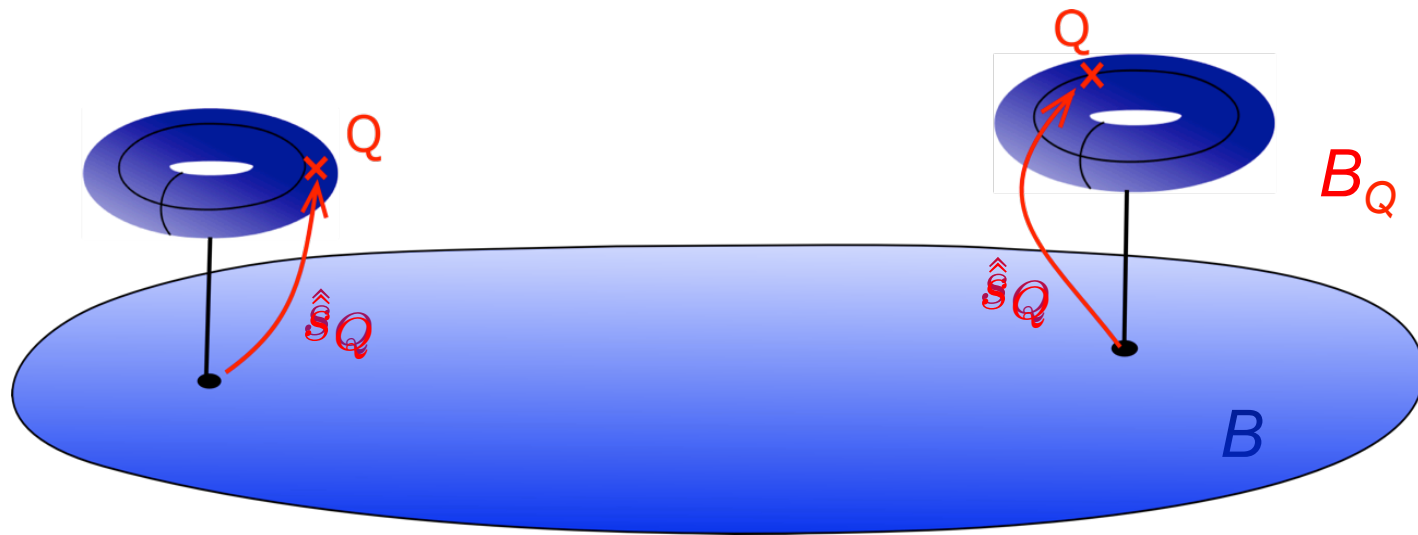


Mordell-Weil group of rational points



# U(1)'s-Abelian Symmetry & Mordell-Weil Group

Point  $Q$  induces a rational section  $\hat{s}_Q : B \rightarrow X$  of elliptic fibration

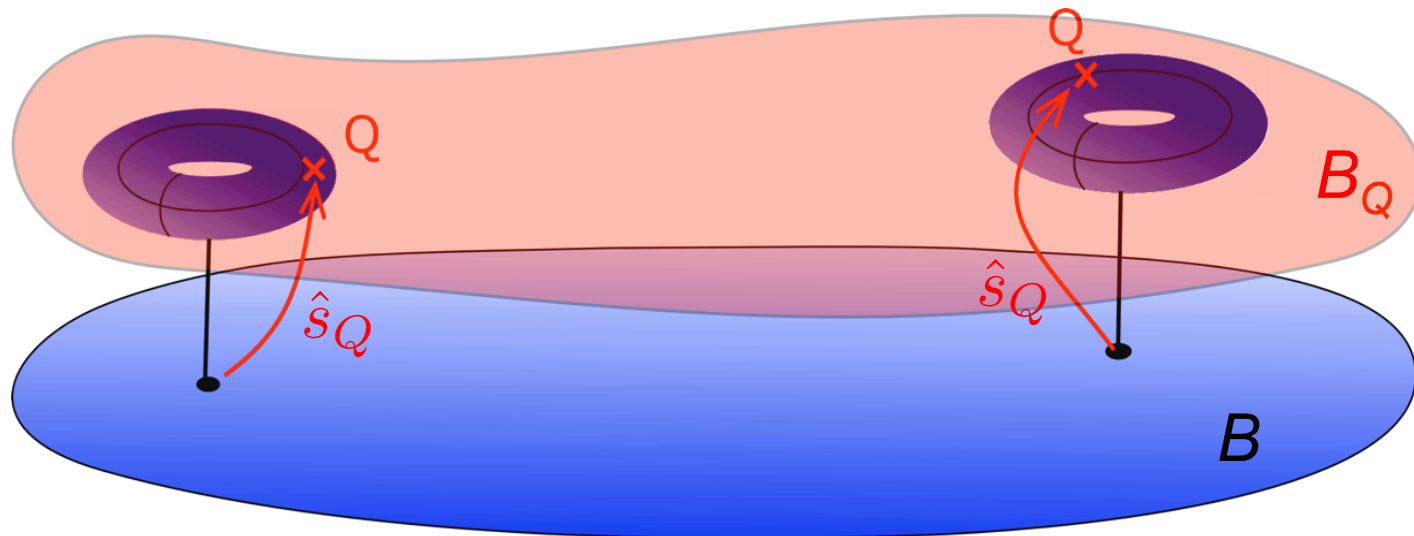


➔  $\hat{s}_Q$  gives rise to a second copy of  $B$  in  $X$ :

new divisor  $B_Q$  in  $X$

# U(1)'s-Abelian Symmetry & Mordell-Weil Group

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➔ (1,1)-form  $\omega_m$  constructed from divisor  $B_Q$  (Shioda map)

indeed (1,1) - form  $\omega_m$   $\longleftrightarrow$  rational section

### III. Implications of Mordell-Weil

# Shioda map & Non-Abelian Gauge symmetry

[M.C. and Ling Lin 1706.08521]

Shioda map of section  $\hat{s}_Q$  more involved than  $B_Q$ :

a map onto divisor complementary to  $B_P$  divisor of zero section  $\hat{s}_P$

&  $\mathcal{E}_i$  – resolution (Cartan) divisors of non-Abelian gauge symmetry

$$\sigma(\hat{s}_Q) = B_Q - B_P - \sum_i l_i E_i + \dots$$

Ensures proper F-theory interpretation of U(1)  
(via M-theory/F-theory duality)

$$l_i = C_{ij}^{-1}(B_Q - B_P) \cdot \mathbb{P}_j^1 \quad \text{- fractional \#} \quad \text{always an integer } \kappa \text{ s.t. } \forall i : \kappa l_i \in \mathbb{Z}$$



Cartan matrix    Fiber of divisor  $E_j$

# Consequences:

➔ U(1) matter charges  $q(\mathbf{w}) = \sigma(\hat{s}_Q) \cdot \mathbb{P}^1$

intersection of Shioda divisor  $\sigma(\hat{s}_Q)$  with matter curve  $\mathbb{P}^1$

All matter charges are integral multiples of  $1/\kappa$ , w/  $q_{u(1)} = \frac{n}{\kappa}$ ,  $n \in \mathbb{Z}$

$$(B_Q - B_P) \cdot \mathbb{P}^1 \in \mathbb{Z}$$

➔ In the presence of non-Abelian gauge symmetry  $\mathfrak{g}$

Two weights  $\mathbf{w}, \mathbf{v}$  in the same  $\mathfrak{g}$ -rep  $\mathcal{R}_{\mathfrak{g}}$ :  $l_i \mathbf{w}_i = l_i \mathbf{v}_i \pmod{\mathbb{Z}} := L(\mathcal{R}_{\mathfrak{g}}^{(i)})$

$\mathcal{R}^{(i)} = (q^{(i)}, \mathcal{R}_{\mathfrak{g}}^{(i)})$  we have  $q^{(i)} = L(\mathcal{R}_{\mathfrak{g}}^{(i)}) \pmod{\mathbb{Z}}$

For  $\mathfrak{g} = \text{SU}(5)$  [Braun, Grimm, Keitel '13; Lawrie, Schäfer-Nameki, Wong '15]  
some aspects via KK-reduction [Grimm, Kapfer, Klevers '15]

# Construct non-trivial central element of $U(1) \times G$ :

Employing (a)  $q_{u(1)} = \frac{n}{\kappa}, n \in \mathbb{Z}$  & (b)  $l_i \mathbf{w}_i = l_i \mathbf{v}_i \pmod{\mathbb{Z}} := L(\mathcal{R}_g^{(i)})$

$C(\mathbf{w}) := [e^{2\pi i q(\mathbf{w})} \otimes (e^{-2\pi i l_i \mathbf{w}_i} \times \mathbb{1})] \mathbf{w} \stackrel{(b)}{=} [e^{2\pi i q(\mathbf{w})} \otimes (e^{-2\pi i L(\mathcal{R}_g)} \times \mathbb{1})] \mathbf{w}$   
 defines element in centre of  $U(1) \times G$ ; (a)  $\Rightarrow C^\kappa = 1$ .

&  $C(\mathbf{w}) = \exp(2\pi i \xi(\mathbf{w})) \mathbf{w} = \mathbf{w}$ .

$$\xi(w) = (B_Q - B_P) \cdot \mathbb{P}^1 \in \mathbb{Z}$$



$$G_{\text{global}} = \frac{U(1) \times G}{\langle C \rangle} \cong \frac{U(1) \times G}{\mathbb{Z}_\kappa}$$



# Global Constraint on Gauge Symmetry:

$$G_{\text{global}} = \frac{U(1) \times G}{\langle C \rangle} \cong \frac{U(1) \times G}{\mathbb{Z}_\kappa}$$

Exemplify for SU(5) GUT's and Standard Model constructions

Including for globally consistent three family SM

[M.C., Klevers, Peña, Oehlmann, Reuter 1503.02068]

Toric construction with gauge algebra  $\mathfrak{su}(3) \oplus \mathfrak{su}(2) \oplus \mathfrak{u}(1)$

$$\varphi(\sigma) = S - S_0 + \frac{1}{2} E_1^{\mathfrak{su}(2)} + \frac{1}{3} (2 E_1^{\mathfrak{su}(3)} + E_2^{\mathfrak{su}(3)}) \Rightarrow C^6 = 1,$$

$$\text{so } G_{\text{global}} = [SU(3) \times SU(2) \times U(1)] / \langle C \rangle \cong [SU(3) \times SU(2) \times U(1)] / \mathbb{Z}_6.$$

Indeed, geometrically realized (chiral) matter representations:

$$(\mathbf{3}, \mathbf{2})_{1/6}, \quad (\mathbf{1}, \mathbf{2})_{-1/2}, \quad (\mathbf{3}, \mathbf{1})_{2/3}, \quad (\mathbf{3}, \mathbf{1})_{-1/3}, \quad (\mathbf{1}, \mathbf{1})_1$$

# Implication for F-theory 'Swampland' Criterion

With the choice of Shioda map scaling  $\rightarrow$

$\exists$  singlet field under  $G$ , with U(1) charge  $Q_{\min}=1$

'Measure stick'

A necessary condition for a field theory to be in F-theory requires U(1) Charge Constraint on non-Abelian Matter:

- (1) If  $\mathcal{R}^{(1)} = (q^{(1)}, \mathcal{R}_{\mathfrak{g}})$  and  $\mathcal{R}^{(2)} = (q^{(2)}, \mathcal{R}_{\mathfrak{g}})$ , then  $q^{(1)} - q^{(2)} \in \mathbb{Z}$ .
- (2) If  $\bigotimes_{i=1}^n \mathcal{R}_{\mathfrak{g}}^{(i)} = \mathbf{1}_{\mathfrak{g}} \oplus \dots$ , then  $\sum_{i=1}^n q^{(i)} \in \mathbb{Z}$ .

**Caveat: Non-Higgsable U(1)'s?** [Morrison, Taylor'16], [Wang'17]

In the presence of non-Abelian matter, expect to have singlet representation(s)  $\rightarrow$  probably O.K.

Further comments:

studied unHiggsing; some models with non-minimal codim. 2 loci  
 $\rightarrow$  strongly coupled CFT's [further studies]

# Inclusion of Fluxes and Massive U(1)'s

- **Multiple U(1)'s**: singlet fields w/ co-prime U(1) charges (measured with Shioda map  $\omega_k = \varphi(\sigma_k)$ ,  $k=1, \dots, m$ )  
MW spans **full integer lattice**
- Each U(1) has its associated charge constraint for non-Abelian matter
- **Adding fluxes  $G_4$**  can break certain combinations of U(1)'s via Stückelberg mechanism with mass matrix:

$$M_{kl} = \sum_{\alpha} \xi_{k,\alpha} \xi_{l,\alpha} \quad \text{with} \quad \xi_{k,\alpha} = \int_Y G_4 \wedge \omega_k \wedge \pi^* J_{\alpha}$$

$J_{\alpha}$  - Kähler generator of the base

**-INTEGERS**

**-INTEGERS**

- **Massless linear combination**:  $\tilde{\omega}_s = \sum_k \lambda_k^s \omega_k$

$$\text{w/} \sum_i \xi_{k,\alpha} \lambda_k^s = 0 \quad \forall \alpha$$

**Sublattice of MW- group**

Geometric properties leading to charge constraints still hold!

## ii. Mordell-Weil torsion & Gauge enhancement

[Baume, M.C., Lawrie, Lin 1709.07453]

**Mordell-Weil:**  $MW(Y) = \mathbb{Z}^m \oplus \bigoplus_k \mathbb{Z}_{n_k}$

$\uparrow$                        $\uparrow$   
rational              torsional  
sections              sections

[Aspinwall, Morrison '98], [Mayrhofer, Morrison, Till, Weigand '14]

Shioda-map for torsion:  $\sigma(\hat{s}_Q) = B_Q - B_P - \sum_i l_i E_i + \dots = 0$  - no U(1)

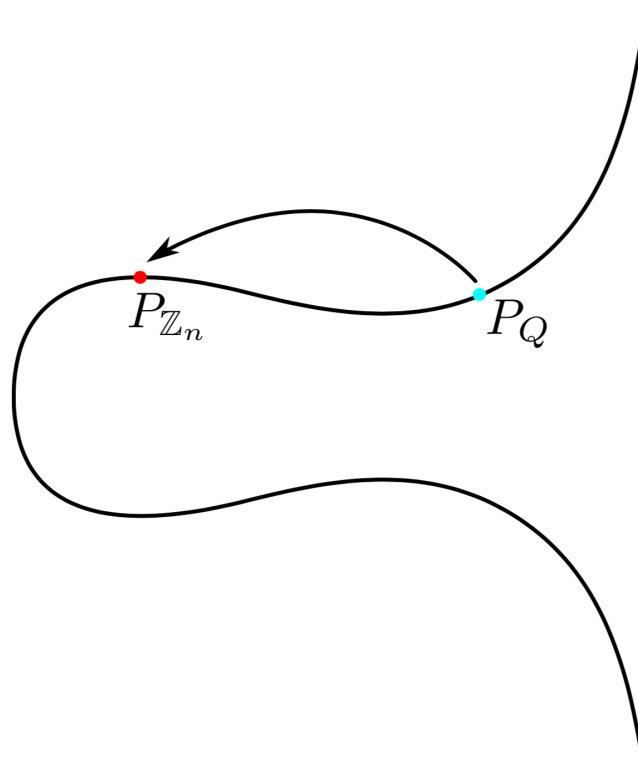
$l_i \in \frac{1}{n_k} \mathbb{Z}$ .

As with U(1): integer condition on Cartan charges:  $\sum_i l_i \mathbf{w}_i \in \mathbb{Z}$ .

Results in the global gauge group:  $G \supset \frac{G_k}{\mathbb{Z}_{n_k}}$

# Gauge enhancement via Mordell-Weil torsion

Gauge enhancement when a section becomes torsional:



Tuning a free section to a torsional one of order  $n \rightarrow$   
expect to enhance  $U(1)$  to  $\frac{G}{\mathbb{Z}_n} \times \dots$

# Gauge enhancement via Mordell-Weil torsion

Expect  $U(1)$  to unHiggs to non-Abelian  $\mathcal{G}$  with  $\pi_1(\mathcal{G}) = \mathbb{Z}_n$

- Similar to unHiggsing through colliding free sections:

[Morrison, Park '12]

$U(1) \times U(1)$  w/ **(2,2)** charge matter  $\rightarrow$   $SU(3)$  w/ **6** rep.

[M.C., Klevers, Piragua, Taylor '15]

$U(1)$ -model w/ charge **3** matter  $\rightarrow$   $SU(2)$  w/ three index **3** rep.

[Klevers, Taylor '16]

- Torsional unHiggsing (to  $\mathbb{Z}_2$  torsion-prototype):

$U(1)$  w/ charge **1** matter  $\rightarrow$   $SU(2)/\mathbb{Z}_2$  w/ adj. **3** rep. ('Cartan ch.' **2**)

[Mayrhofer, Morrison, Till, Weigand '14]

$U(1)$  w/ charge **2** matter  $\rightarrow$  Enhanced gauge symmetry?

Matter representation?

Spoiler alert: **NOT 5-rep.** ('Cartan charge' **4**)

$\rightarrow$  possible ties to (other) 'swampland' conjectures

[Klevers, Morrison, Raghuram, Taylor, '17],

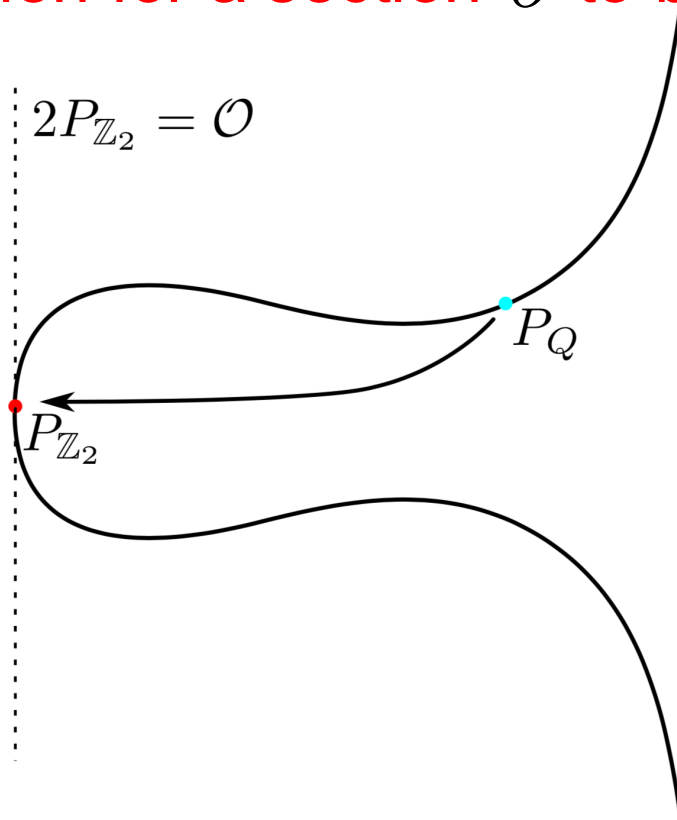
c.f., Taylor's, Valandro's talks

# Gauge enhancement via Mordell-Weil torsion

Explicit model: rank- one MW-group  $\text{Bl}_1\mathbb{P}_{112}$  [Morrison, Park '12]

→  $U(1)$  with matter charges **1 & 2**

Implement condition for a section  $\sigma$  to become 2-torsional:  $y_\sigma = 0$   
(Weierstrass)



→ Elliptic fibration (by construction) with MW-group torsion  $\mathbb{Z}_2$

# Gauge enhancement via Mordell-Weil torsion

Resulting in Gauge group:  $\frac{SU(2) \times SU(4)}{\mathbb{Z}_2} \times SU(2)$

Novel features: explicit global model with

- gauge factor [SU(2)] not affected by torsional section
- resolution of singular co-dim 2 fiber:

new matter rep.: **(3, 1, 2)** [no **(5, 1, 1)**]



# Gauge enhancement via Mordell-Weil torsion

Another example (Higgsed version of the previous one):

Construct a fibration giving rise to gauge symmetry

$$\frac{SU(2)}{\mathbb{Z}_2} \times \mathbb{Z}_2$$

torsion                      bisection

Bisection due to discrete symmetry (related to Tate-Shafarevich)  
another topic - no time

- Construction involves genus-one fibration  $Y'$  with bisection
  - There is also Jacobian map of  $Y'$ - elliptic fibration  $Y$ :  
has resolvable  $I_2$ -singularity (in codim 1) &  $\mathbb{Z}_2$ - MW torsion
- Signifies  $SU(2)/\mathbb{Z}_2 \sim SO(3)$  gauge symmetry

# Gauge enhancement via Mordell-Weil torsion

Another example:

Puzzles:

- For genus-one fibration  $Y'$  with bisection monodromy exchanges  $I_2$  components in codim 1  
→ no exceptional divisor [in M-theory missing Cartan  $U(1)$ ]
- Field theory (Higgsing chain) analysis: expect discretely charged adj. 3 of  $SO(3)$ , but no apparent localized (codim 2) states in  $Y'$  or Jacobian  $Y$



Need to sharpen/augment the definition of F-theory on genus-one fibrations and their Jacobians.

[work in progress w/Lin, Lawrie & Weigand]

# Summary

## Novel F-theory implications of Mordell-Weil Group

Encountered subtle issues:

- i. **Free part:** presence of  $U(1) \rightarrow$  global constraints on gauge symmetry and on  $U(1)$  charges of non-Abelian matter ('swampland' conjecture)
- ii. **Torsion part:** novel gauge symmetry enhancements and representations

Even more obscure: better understanding of F-theory on torus-fibrations without sections

$\rightarrow$  Further Studies

*Thank you!*