

The XENON1T Excess, Interpretations, and theoretical Implications

Manfred Lindner

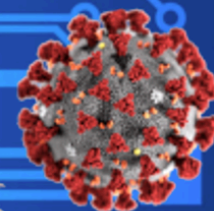


on behalf of the XENON collaboration

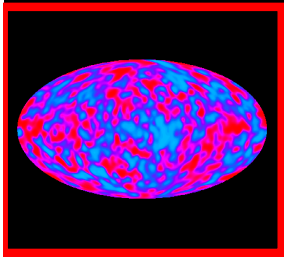
XXVI IFT CHRISTMAS WORKSHOP

INSTITUTO DE FÍSICA TEÓRICA UAM/CSIC

Madrid, December 16th - 18th, 2020



The cosmic Matter Balance



radiation:
0.005%



chemical elements:
(not H & He) 0.025%



neutrinos = CvB:
0.17%



stars:
0.8%



H & He:
gas 4%



dark matter: 26.8%
→ something invisible
in addition to CvB



dark energy: 68.3%

Options/questions:

- one or more components?
- gravity or new particles?
- which particle(s)?
- DM+new unstable particles
- ...

Direct DM Detection: Billiard with invisible Balls

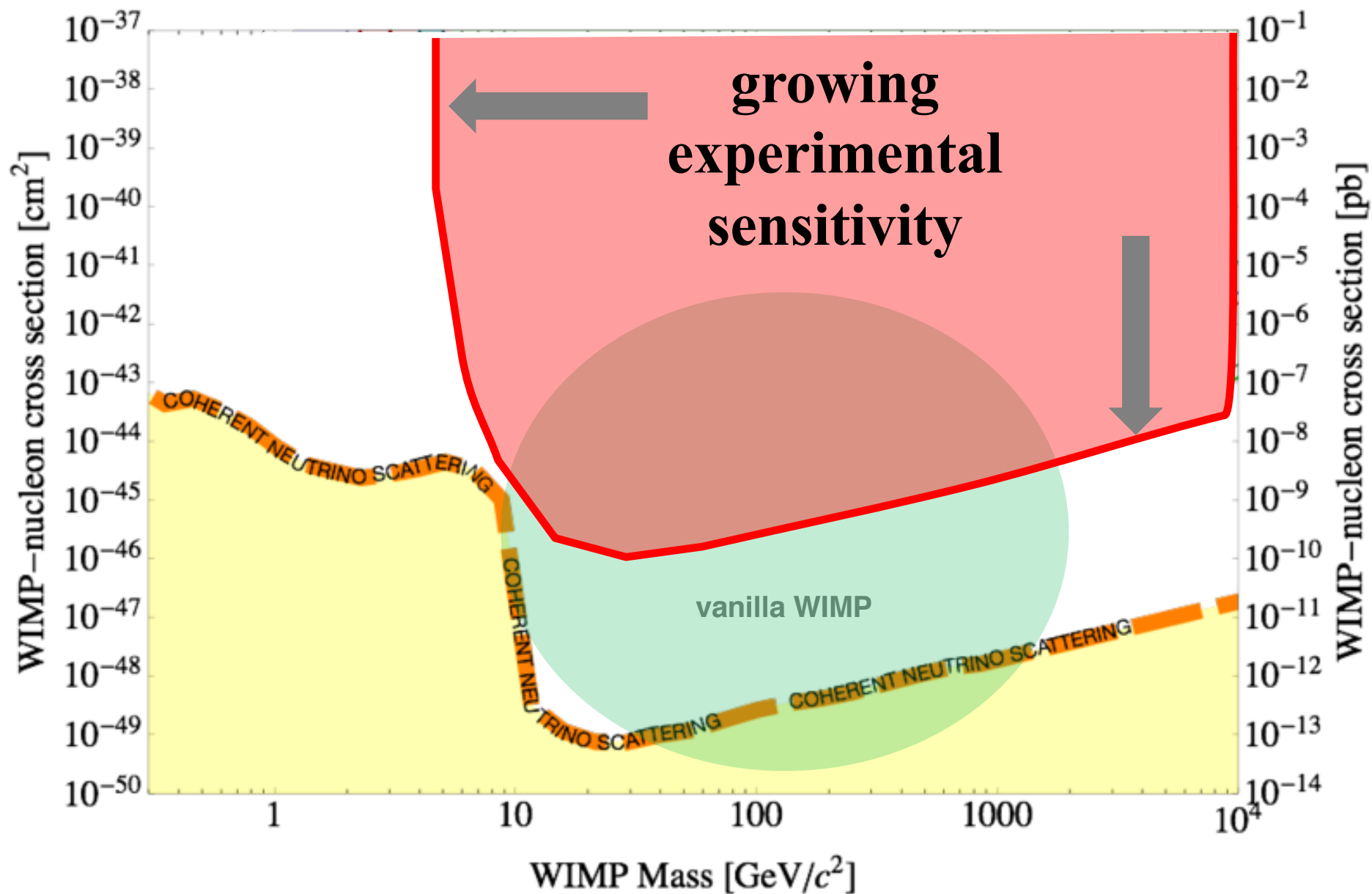
- WIMPs scatter off atoms in a detector → **detect the signal... or set limits**
 - Maximal momentum transfer → $M_{\text{WIMP}} \sim M_{\text{atom}}$
Additionally: clean, transparent, high density, no free charges, ...
- **liquid Xenon** (ca. -100 degree) ↔ rarest stable element



Goal:

- 1) **Maximal signal** ↔ rare process
→ many atoms → big detector
 - 2) **Minimal background**
→ requires extremely low radioactive background
- **Signal/limits for WIMPs, axions, ...**
→ **room for surprises!**

Pushing into new Territory



The XENON Collaboration



XENON Collaboration: ~170 scientists

The XENON Dark Matter Program

The XENON program at
Gran Sasso, Italy (3600 mwe)



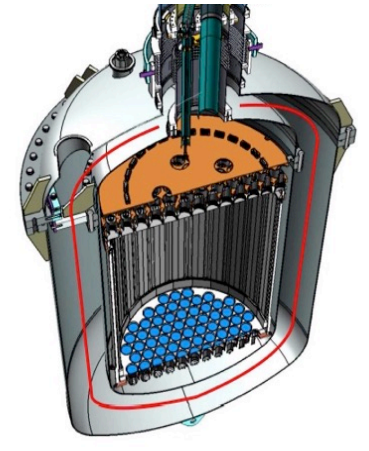
XENON10



XENON100



XENON1T & XENONnT



Period

2005-2007

2008-2016

2012-2018

→ 2020-2024

Total mass

25 kg

161 kg

3200 kg

~8000 kg

Drift length

15 cm

30 cm

100 cm

150 cm

Status

Completed (2007)

Completed (2016)

Running

Construction

**σ_{SI} limit
(@50 GeV/c²)**

$8.8 \times 10^{-44} \text{ cm}^2$

$1.1 \times 10^{-45} \text{ cm}^2$

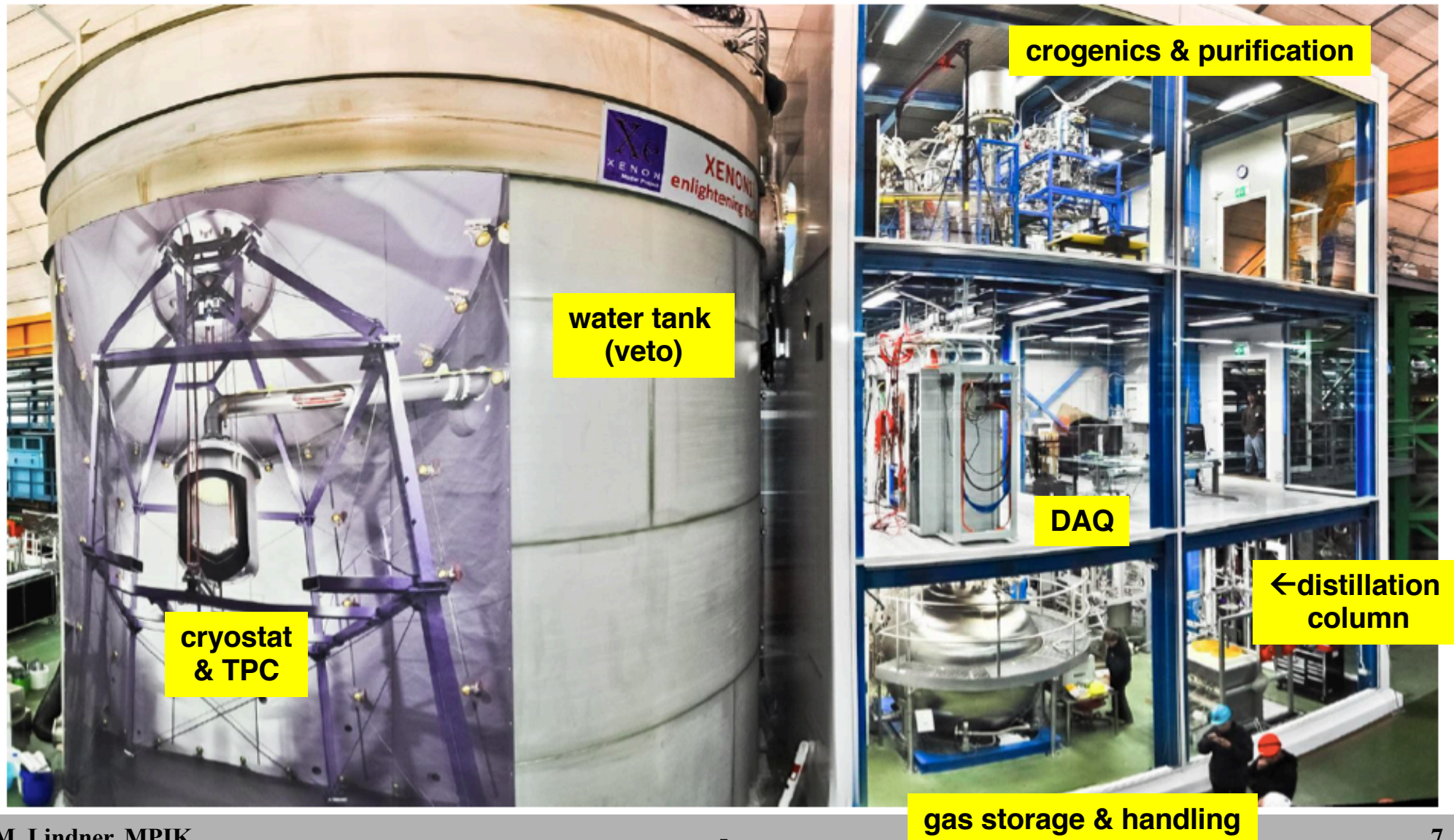
$1.6 \times 10^{-47} \text{ cm}^2$
(2018)

$1.6 \times 10^{-48} \text{ cm}^2$
(2023)

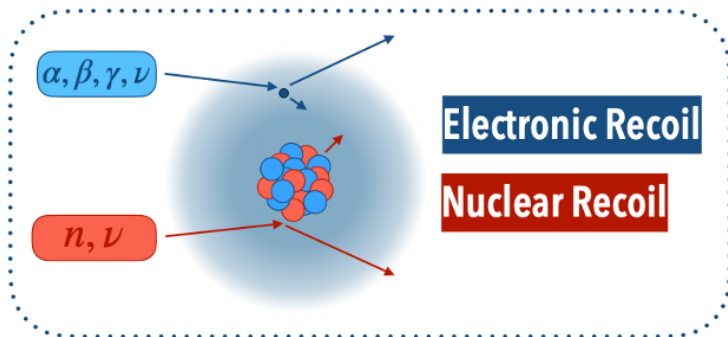
XENONnT was prepared while XENON1T took data
→ switching gears → filling started recently

XENON1T @ LNGS: Running until 12/2018

→ Goal: two orders of magnitude improvement in sensitivity with respect to XENON100



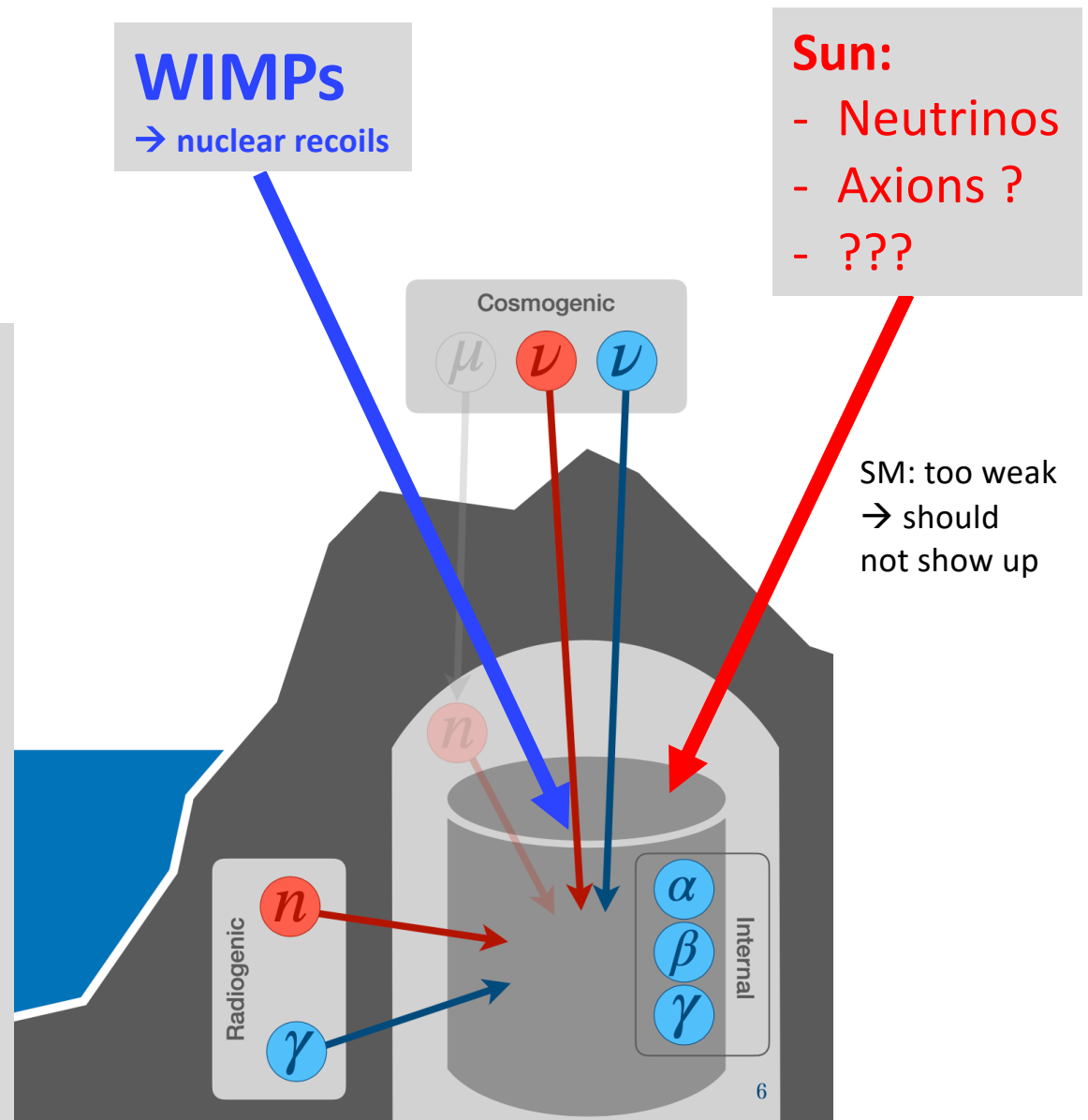
Dark Matter Detectors in UG Laboratories



Background reduction

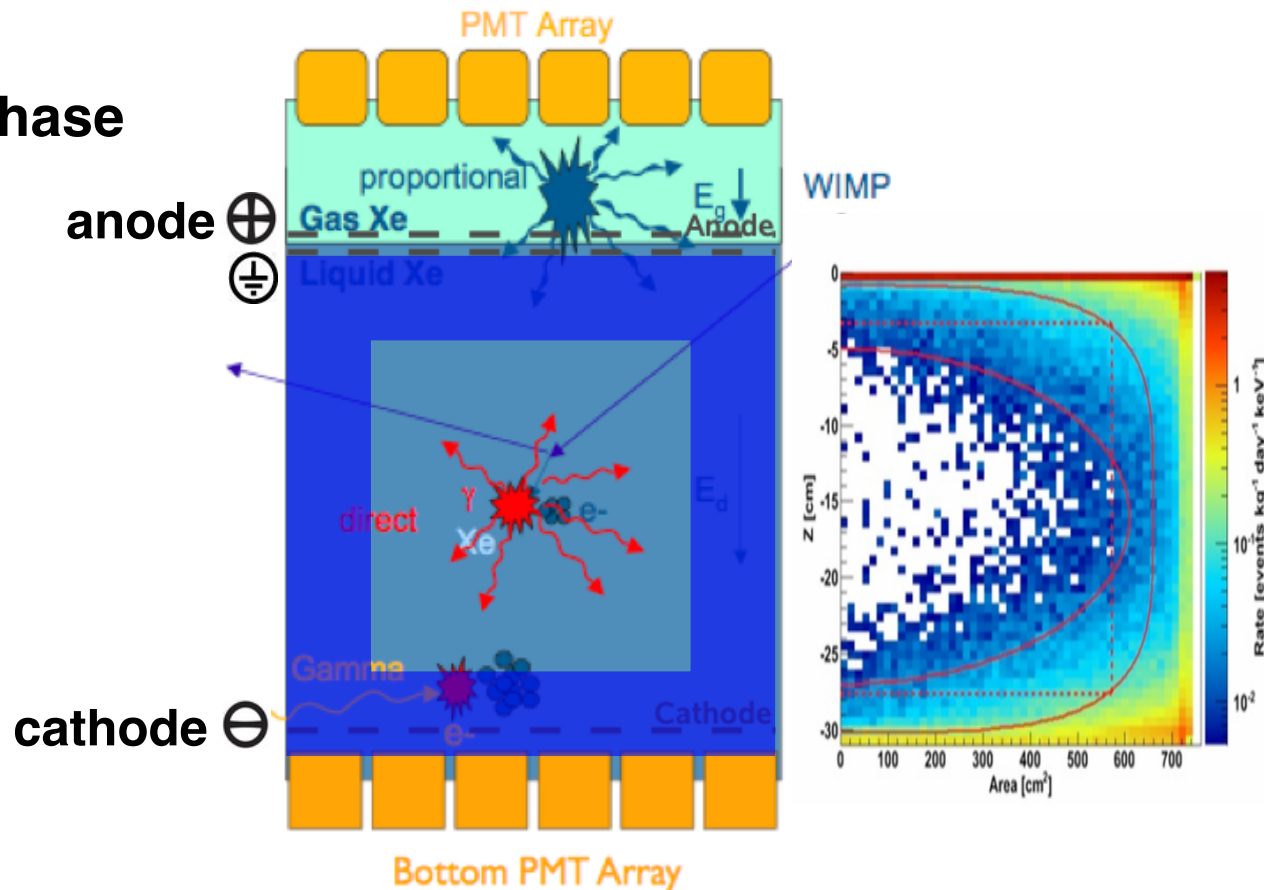
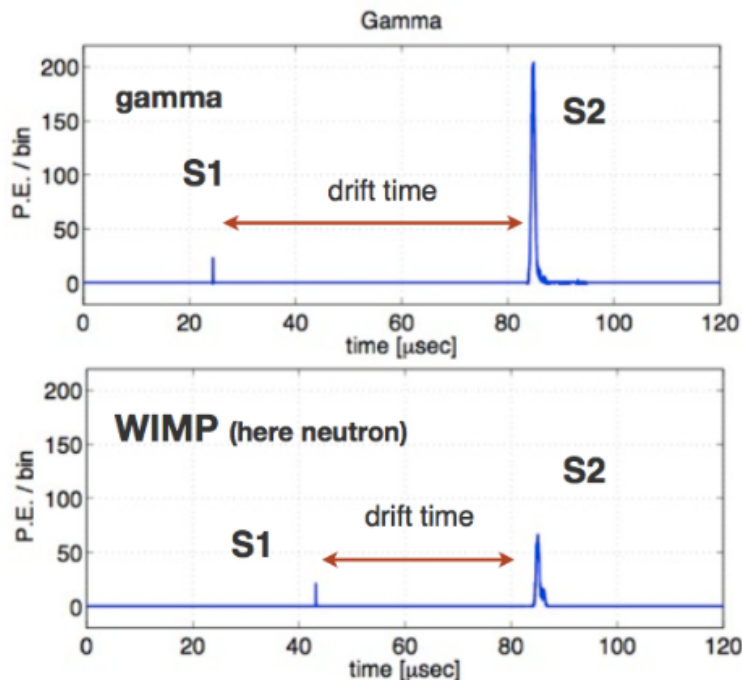
→ extremely challenging:

- material selection
- screening (γ , Rn, ...)
- graded shielding
 - deep underground
 - veto systems
 - water
- cryogenic distillation
- pulse shape analysis
- ...

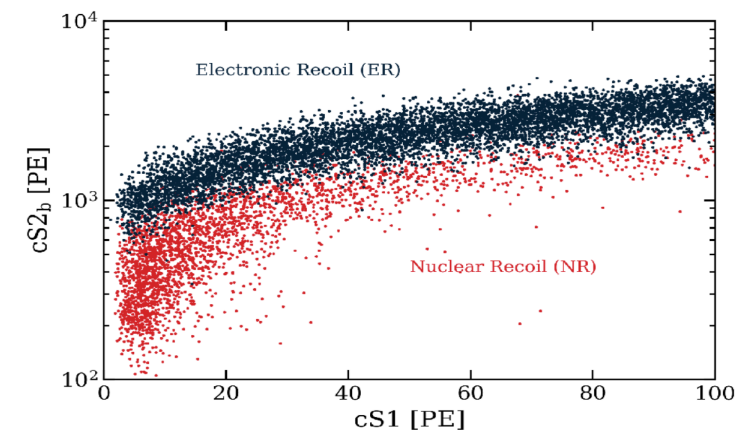


A powerful Device: Dual-Phase TPC

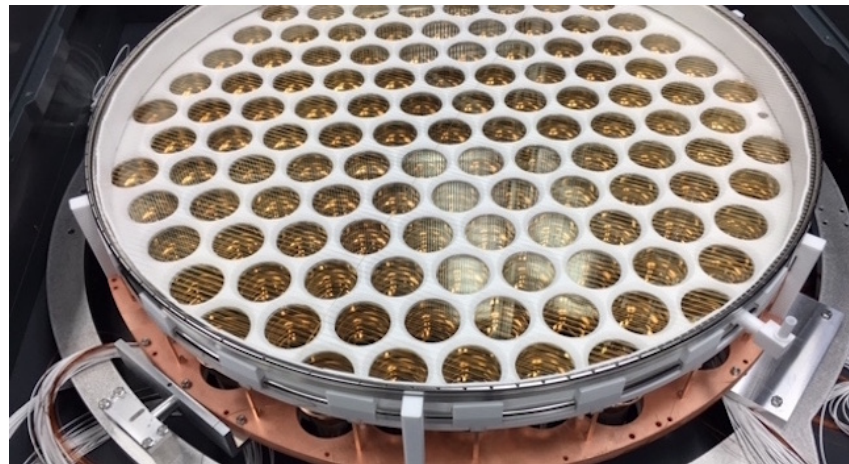
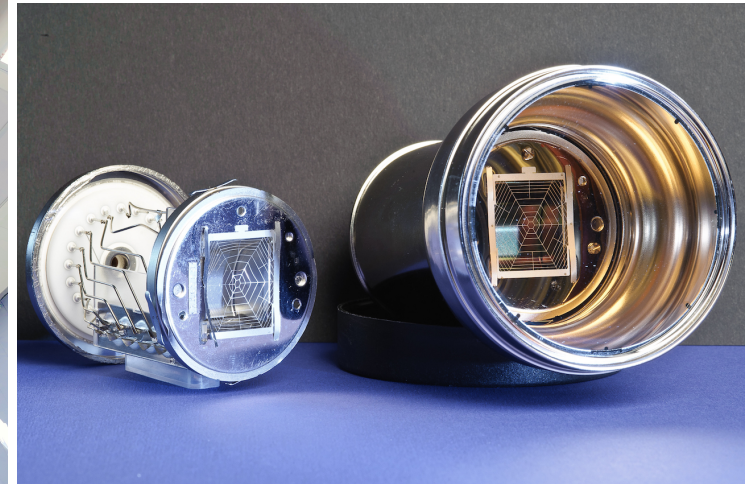
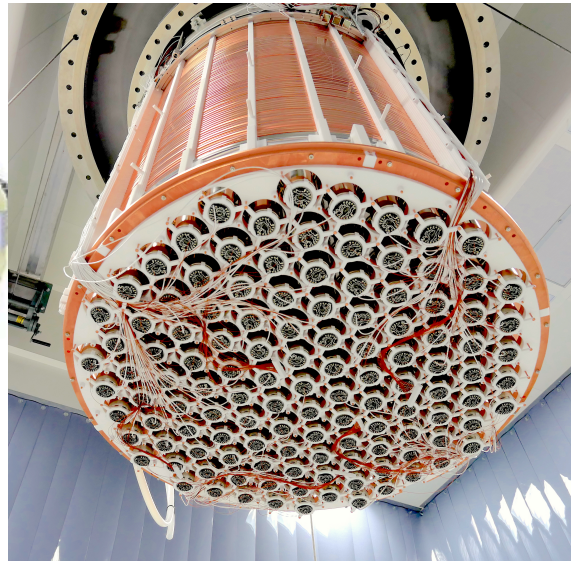
- 1) direct light signal → **S1**
- 2) drift of electrons to gas phase
- 3) 2nd light signal → **S2**



- excellent 3D position reconstruction
- fiducialization = exclude known backgrounds from 'dirty' surfaces
- S2/S1 discrimination of ER / NR

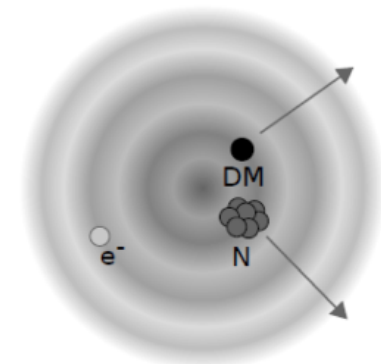
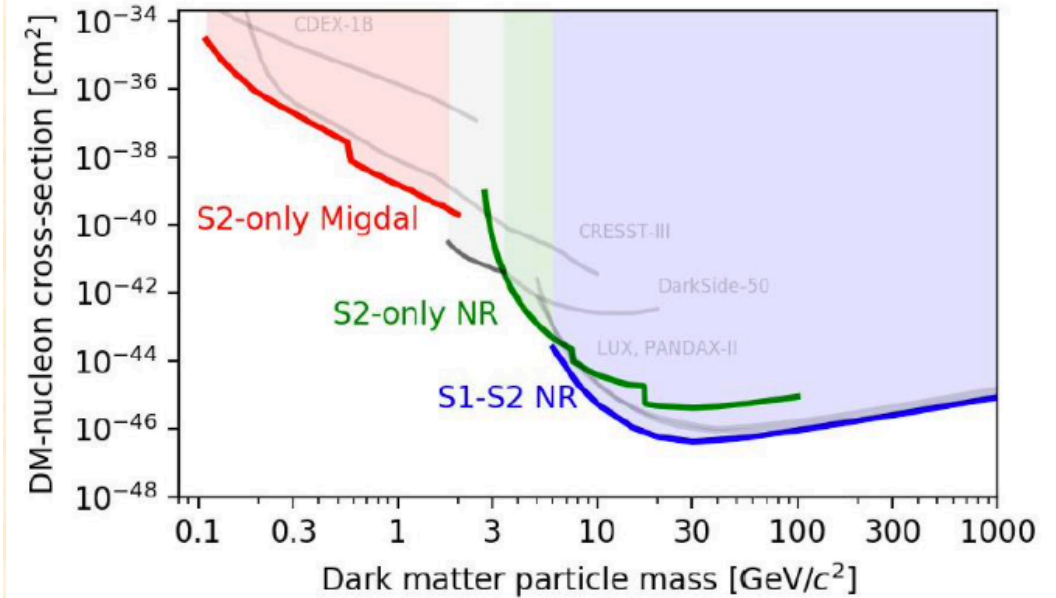
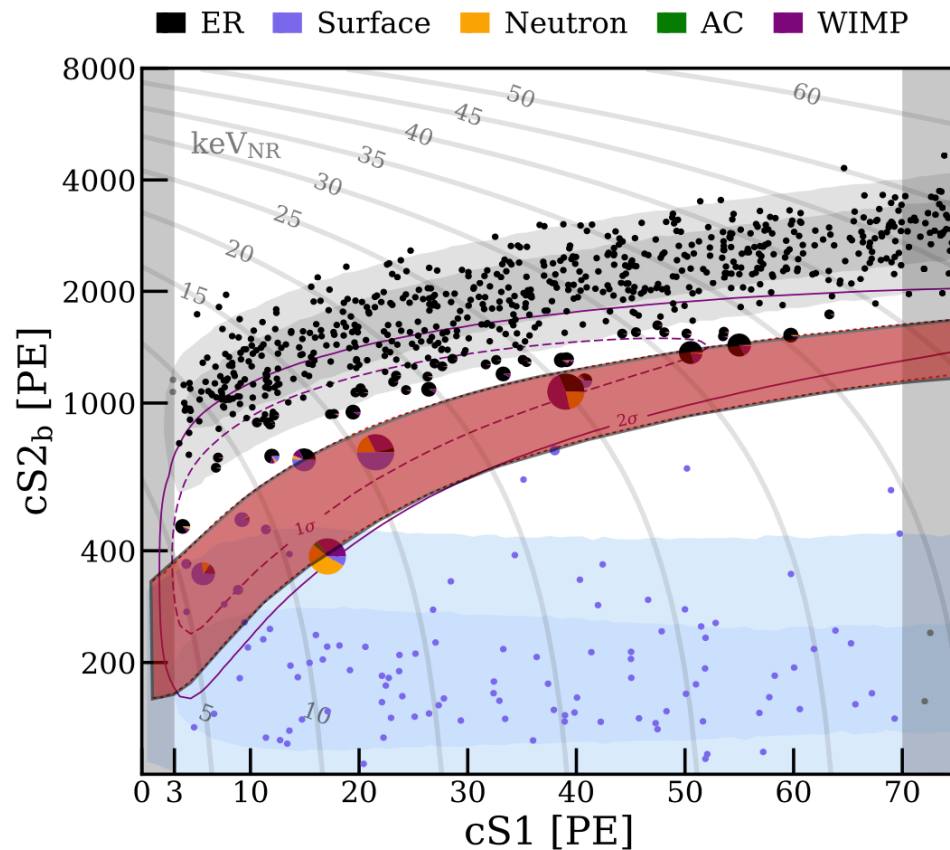


The XENON1T TPC



+ many other sophisticated sub-systems based on lot's of R&D

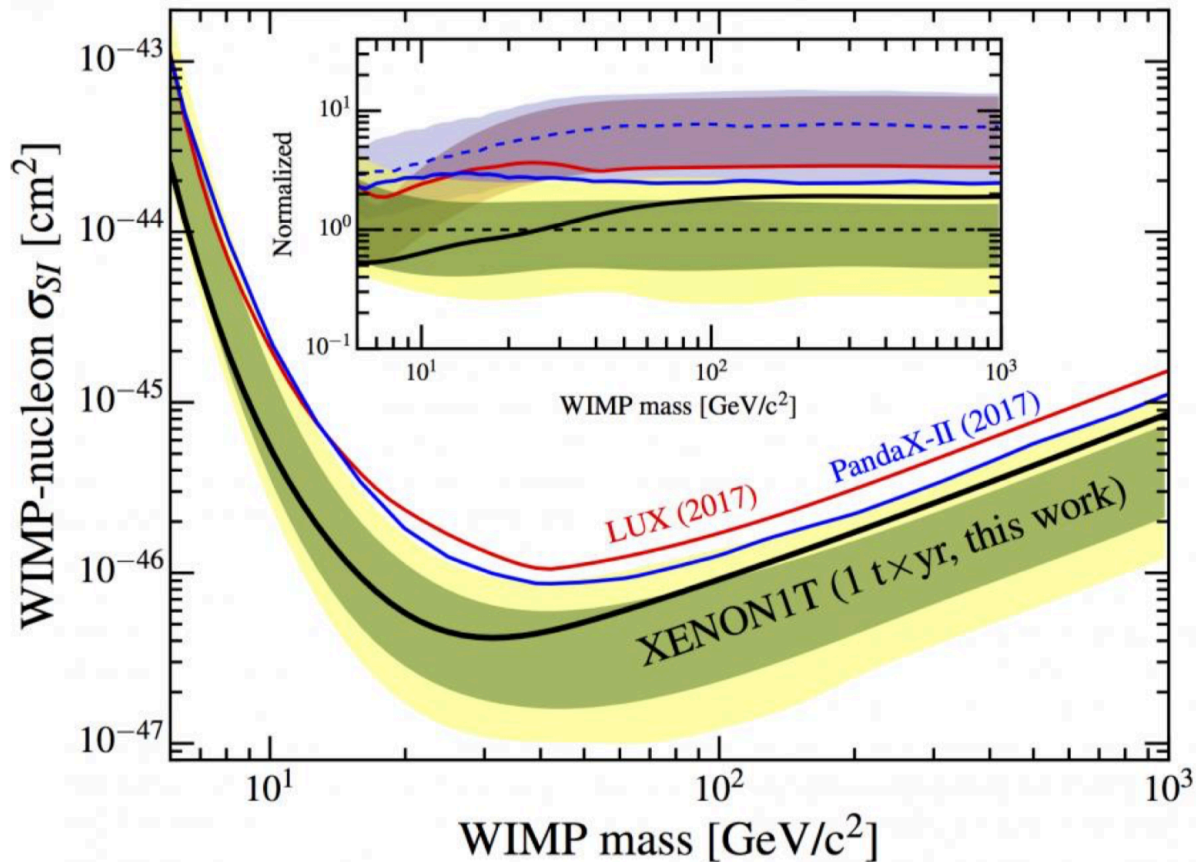
XENON1T: Nuclear Recoil Searches



Migdal: ...it takes time for the electron to catch up

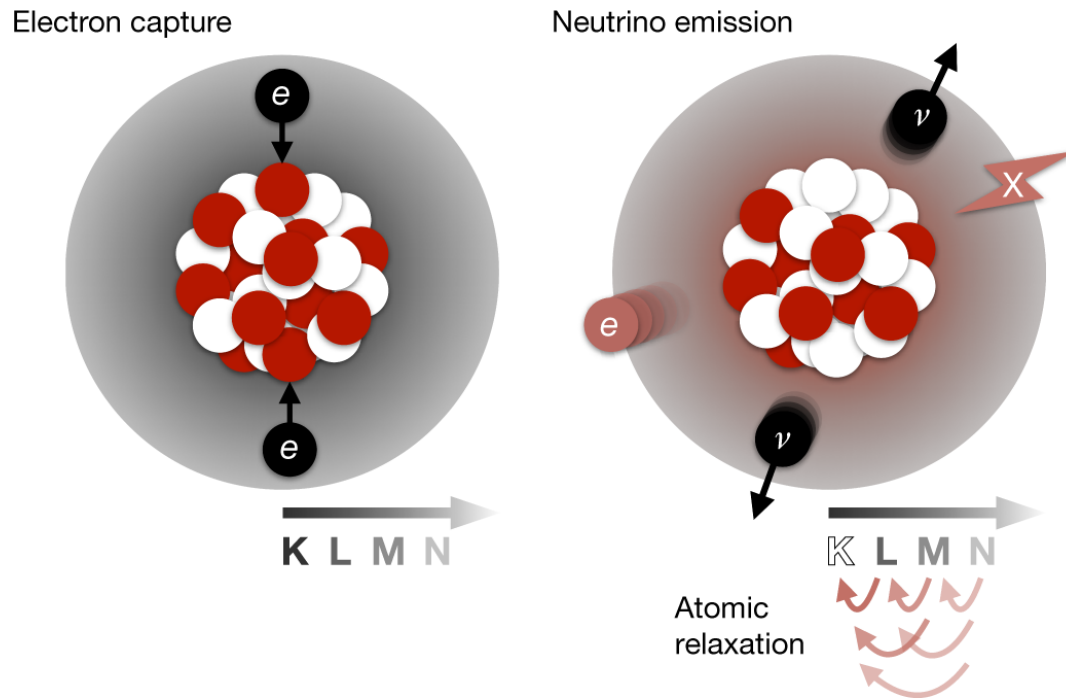
PRL 121, 111302 - Main WIMP search
 PRL 123, 241803 - Migdal effect
 PRL 123, 251801 - light dark matter

XENON1T: Results on WIMPs



➔ Most stringent result on SI scattering of WIMP Dark Matter down to 3 GeV/c^2 masses [PRL 121, 111302 + PRL 123, 251801]

Double Electron Capture of ^{124}Xe



$$T = 1.8 \pm 0.5_{\text{stat}} \pm 0.1_{\text{sys}} \times 10^{22} \text{ yr}$$

No rejection significance: 4.4σ

➔ about one trillion times the age of the Universe

➔ longest half-life ever measured directly

Nature 568 (2019) 7753, 532-535

Search for New Physics with ER Events

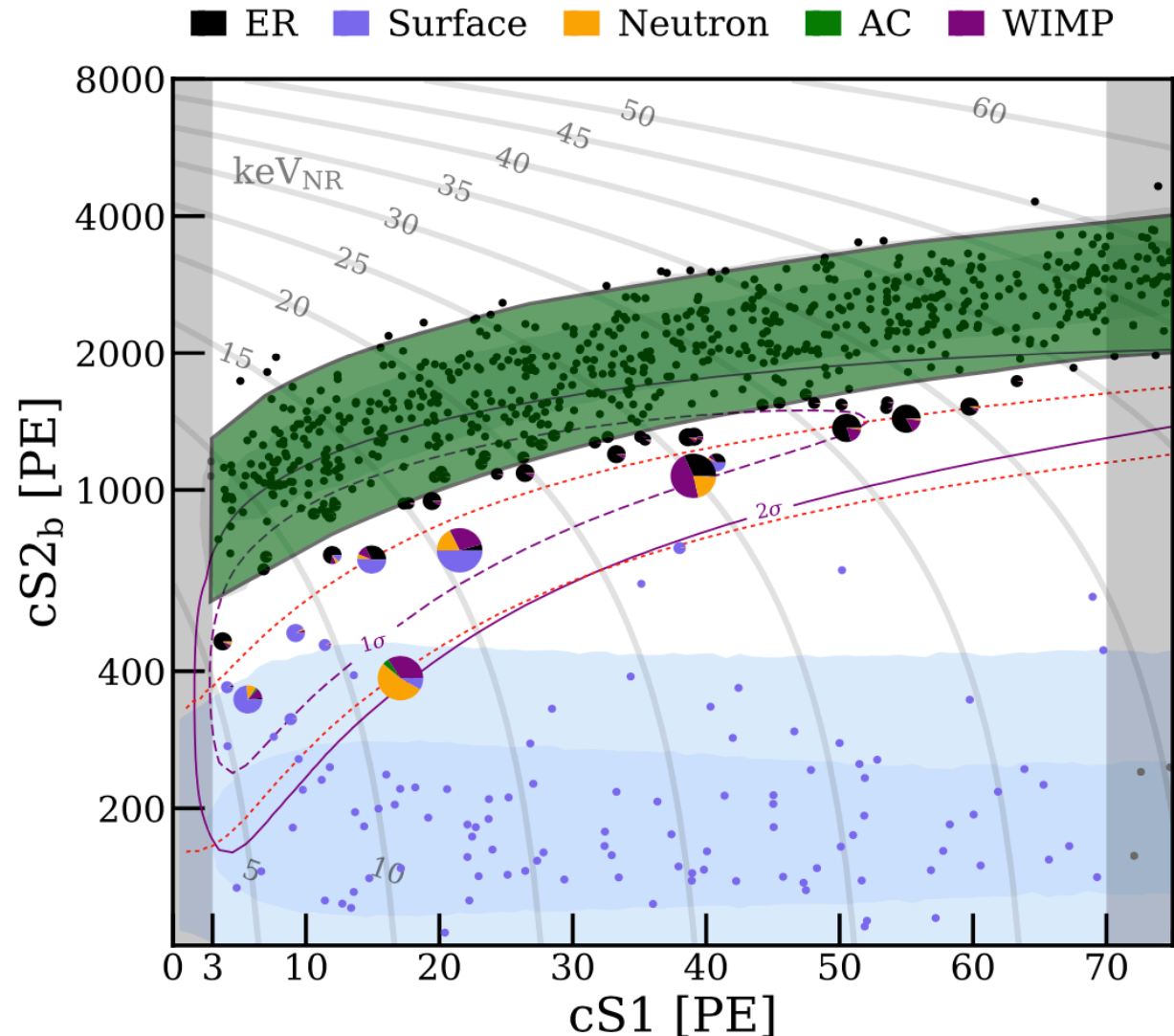
[Phys. Rev. D 102, 072004](#)

Large exposure:
0.65 tonne-years

Unprecedented low
background:
 76 ± 2 events/t/yr/keV

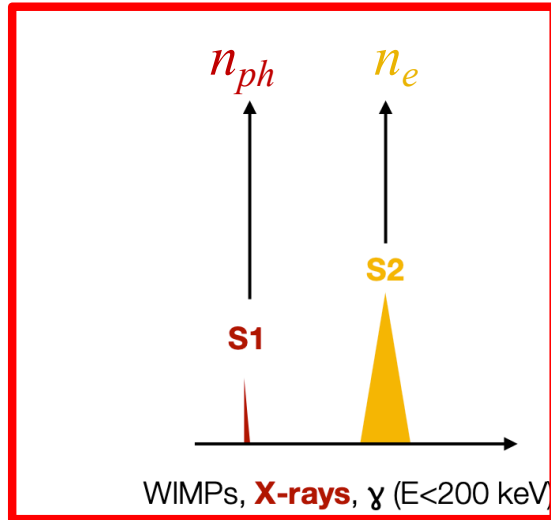
Low threshold:
 1 keV_{ee}

→ excess events!?



Energy Reconstruction and Resolution

Combine light and charge

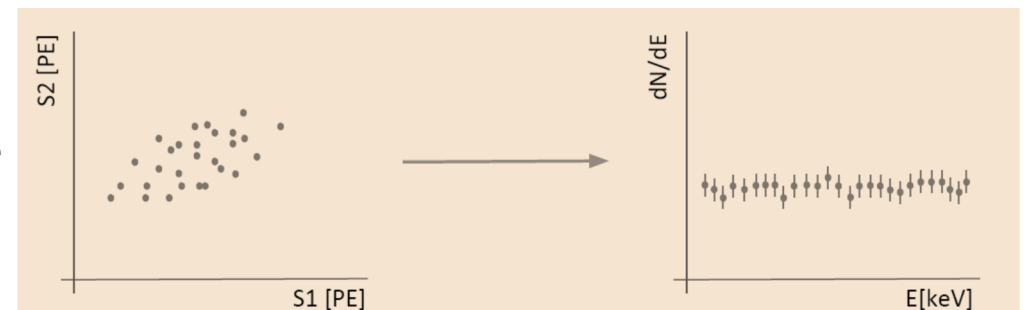
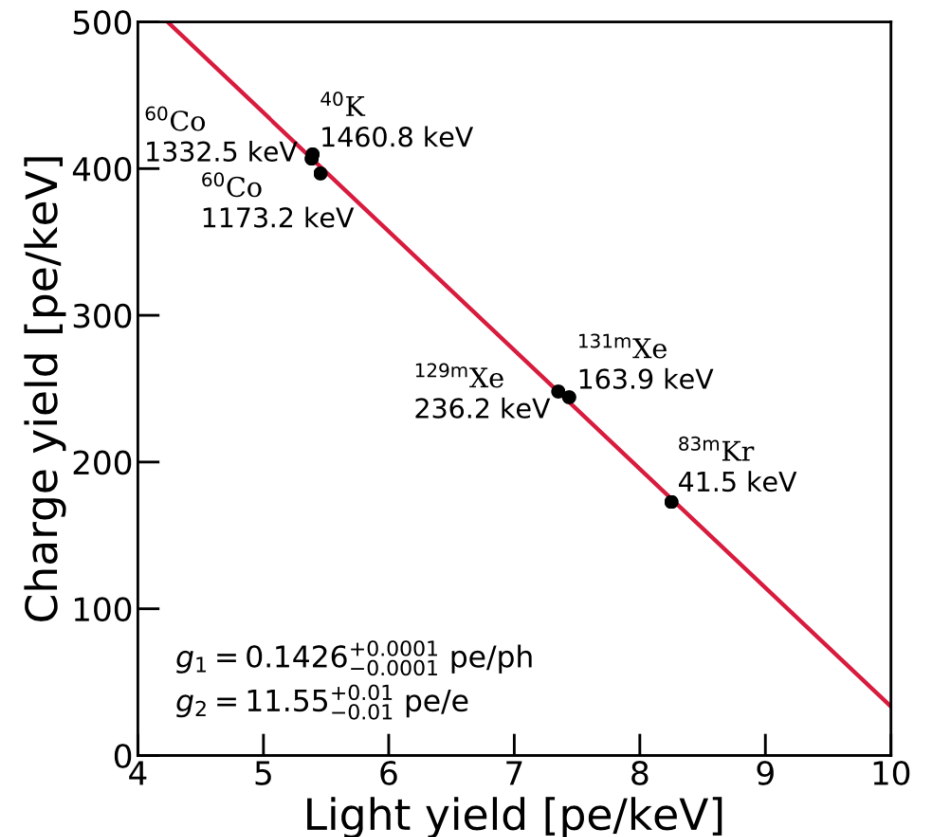


$$E = W \cdot (n_{ph} + n_e)$$

$$= W \cdot \left(\frac{S1}{g_1} + \frac{S2}{g_2} \right)$$

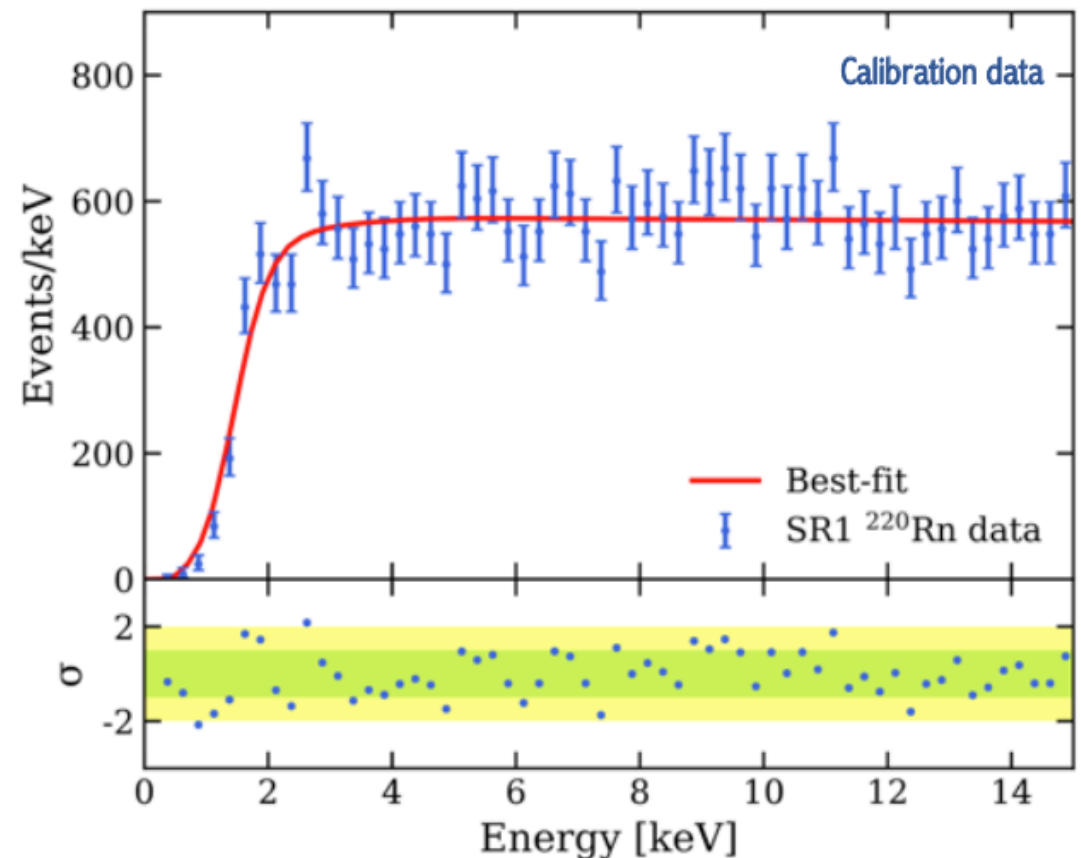
→ detector constants g_1 and g_2

- Anti-correlation between light and charge
→ checked with calibration sources
- Energy resolution $< 5\%$ at 50 keV



Detector Calibration

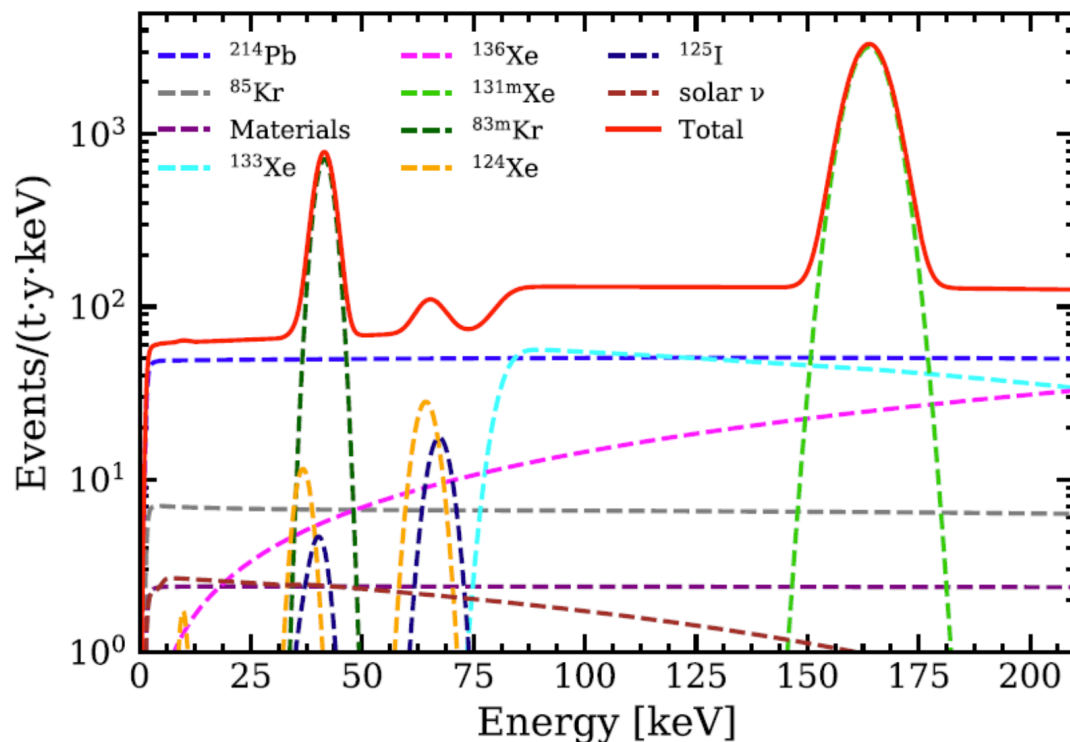
- ^{220}Rn (^{212}Pb , β -decay) calibration data validates our model even below 1keV
- No threshold excess
- No large systematics
- Uses the same un-binned likelihood framework as in the main analysis



Background Model

Background prediction in [1,210] keV interval based on:

- knowledge from material screening and control measurements
- GEANT4 simulations smeared with detector effects
- 10 components



Internal (uniform in volume)

^{214}Pb (main contribution)

^{85}Kr (distilled out)

^{136}Xe , ^{124}Xe [Nature 568,532]

$^{83\text{m}}\text{Kr}$ (calibration source issue)

Neutron induced

$^{131\text{m}}\text{Xe}$, ^{133}Xe , ^{125}I

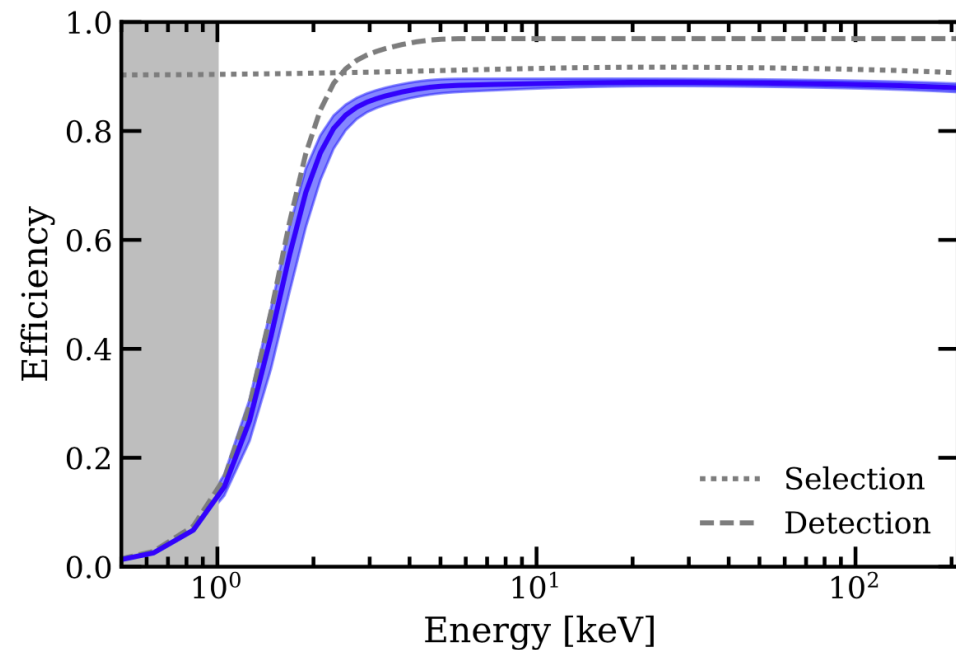
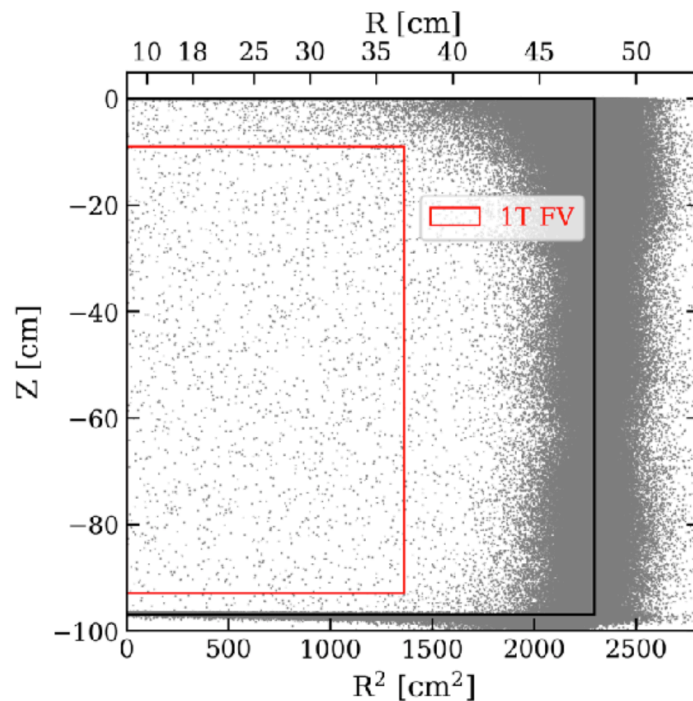
Solar neutrinos

Materials $\leftrightarrow$ radio-assay & GEANT4

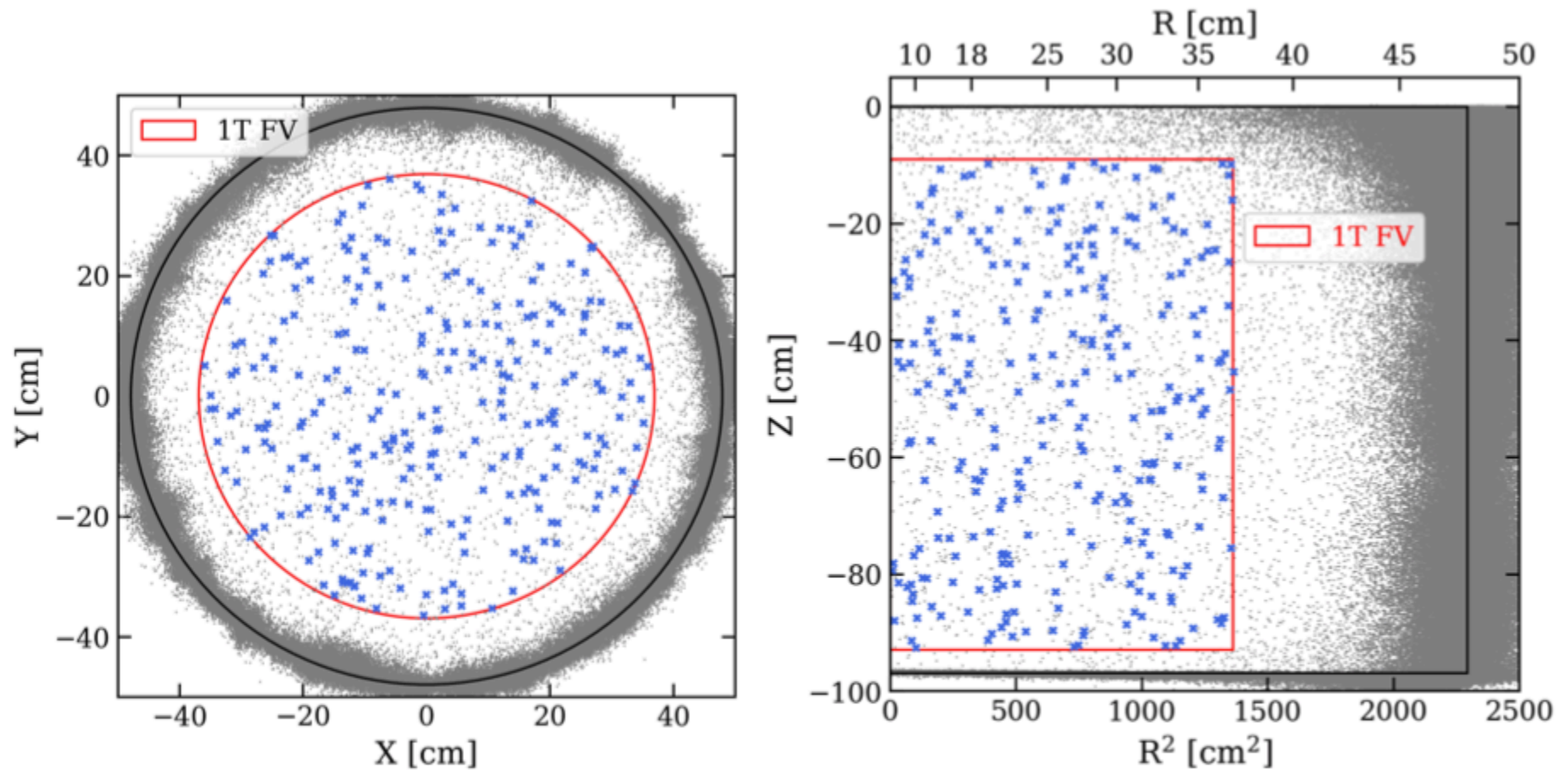
Time-dependency

Data Selection and Detection Efficiency

- **Science Run I:** Feb. 2017 - Feb. 2018 → 226.9 live days
- Fiducial volume: 1 tonne
- Energy range for single scatter events: $[1, 210]$ keV_{ee}
- Data quality cuts
- Include reconstruction efficiency & threshold at 10% detection efficiency



Distribution of Events



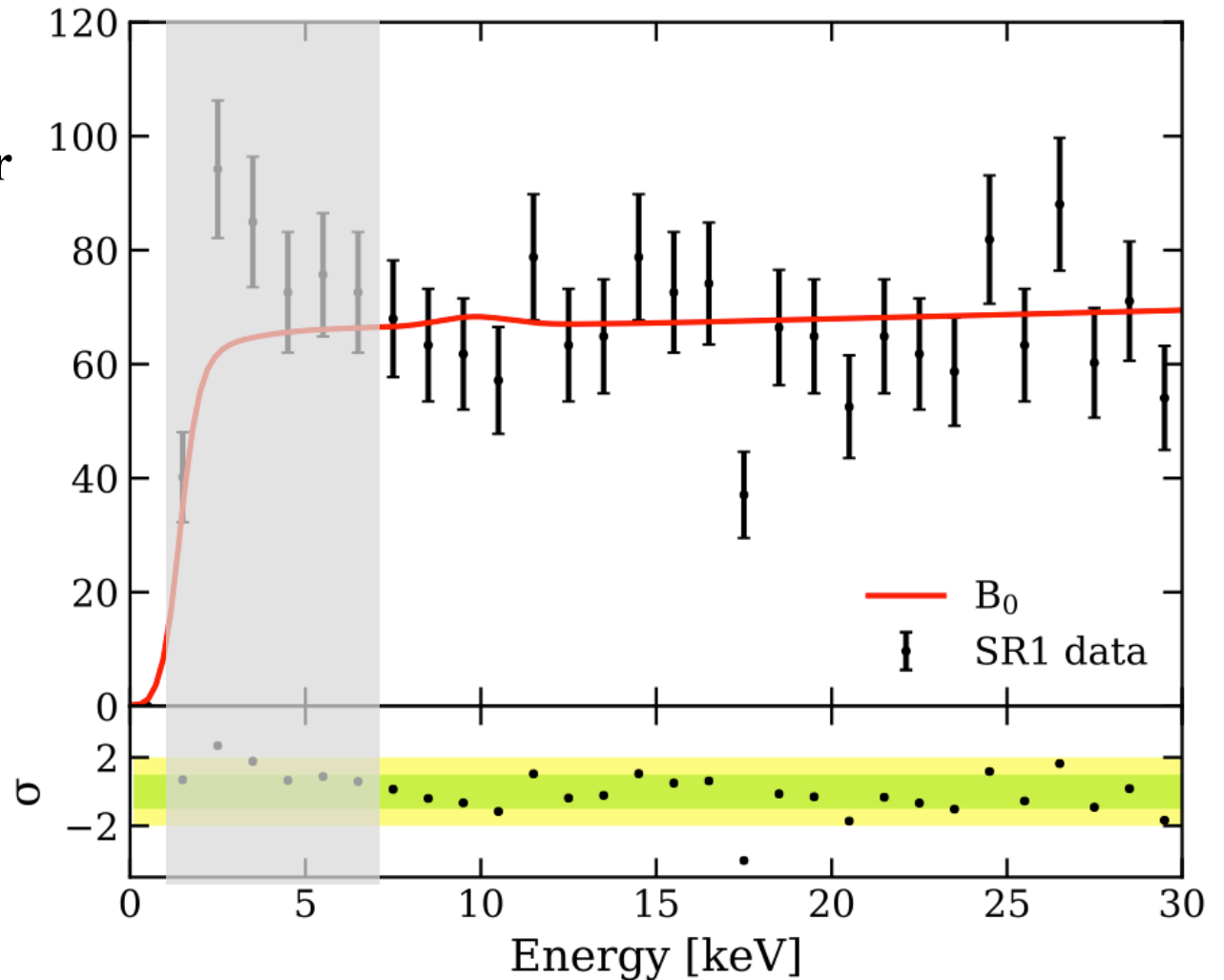
- [1 - 120] keV
- [1 - 7] keV

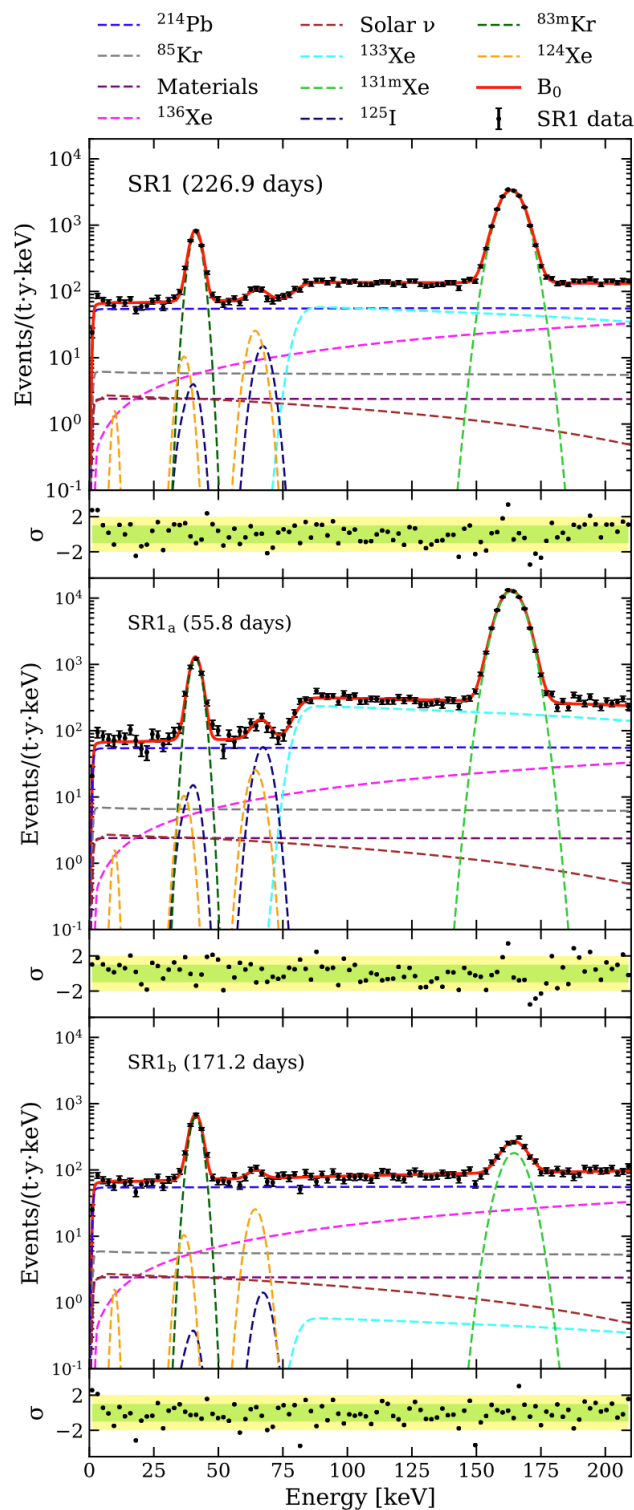
The Result

- Exposure: 0.65 t*y
- Single scatter events within [1,210] keV_{ee}
- Nice agreement at higher recoil energies

➔ Excess between 1-7 keV:
285 events observed
(232 ± 15) expected from best-fit

Explanation #1:
3.5σ fluctuation





- Good fit observed over most of the energy range
- Consistent with expectations
- Unbinned maximum likelihood fit profiling over nuisance parameters:

$$\begin{aligned}
 \mathcal{L}(\mu_s, \mu_b, \theta) &= \text{Pois}(N | \mu_{\text{tot}}) \\
 &\times \prod_i^N \left(\sum_j \frac{\mu_{b_j}}{\mu_{\text{tot}}} f_{b_j}(E_i, \theta) + \frac{\mu_s}{\mu_{\text{tot}}} f_s(E_i, \theta) \right) \\
 &\times \prod_m C_{\mu_m}(\mu_{b_m}) \times \prod_n C_{\theta_n}(\theta_n), \\
 \mu_{\text{tot}} &\equiv \sum_j \mu_{b_j} + \mu_s,
 \end{aligned}$$

➔ **(76 ± 2) events / (t*y*keV) in [1,30] keV window**

Lowest bg rate ever achieved in this energy range

Explanation #2:

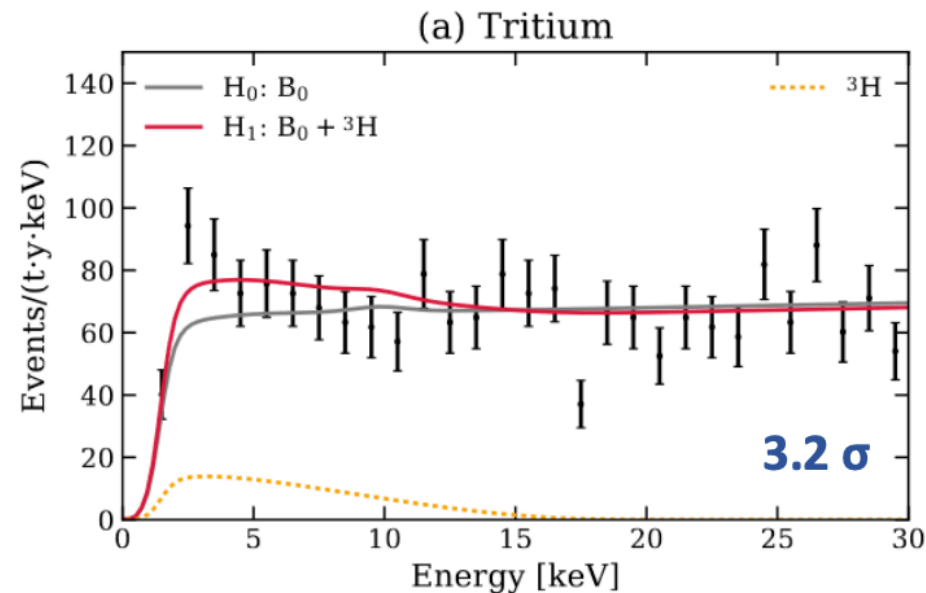
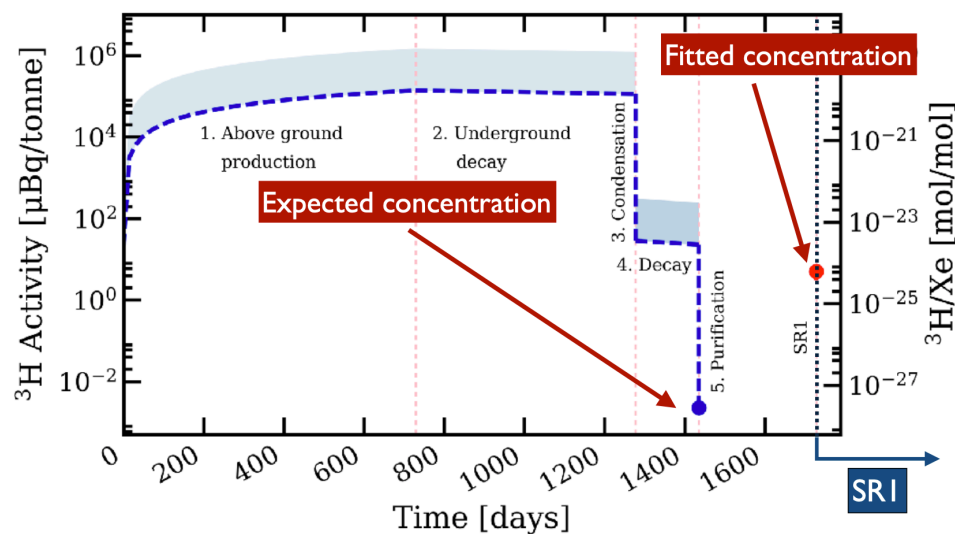
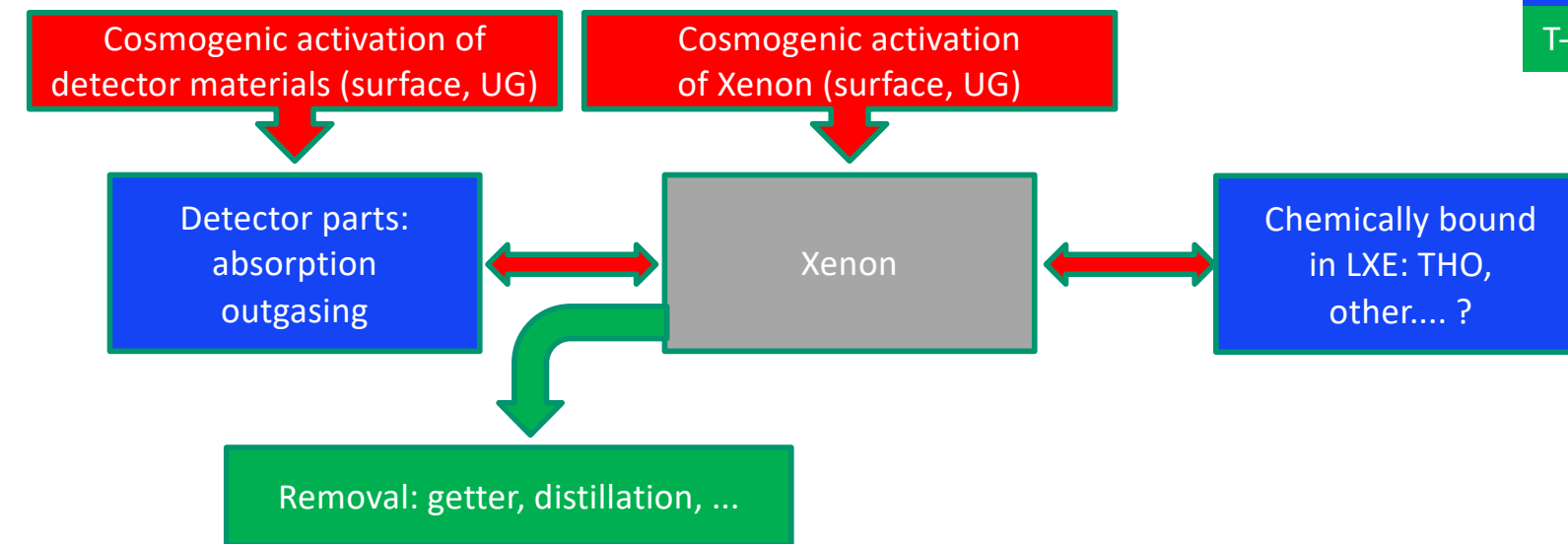
Some unexpected new background?

Not expected, but being discussed: E.g. Tritium, ...

T-sources & paths

T-reservoirs

T-removal



New Physics

- **A signal from where?**
- **Sun:**
 - neutrinos (exist, but CEvNS too small $\leftrightarrow$ neutrino floor)
 - $\rightarrow$ some non-standard ν interaction with electrons
 - axions or ALPS produced in the sun
- **DM density/flow**
 - some new particle
 - $\rightarrow$ not WIMPs
 - $\rightarrow$ light and not hot DM? A new light boson?
- **Diffuse background of invisible particles**
 - $\leftrightarrow$ consistency with other searches/limits

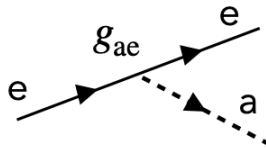
So far O(175) papers in a few months which cite the XENON1T result

$\rightarrow$ mostly theory explanations with 3 main directions: Axions, ν 's, light bosons

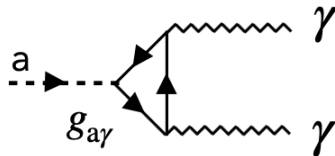
Signal Interpretation: Solar Axions?

Production:

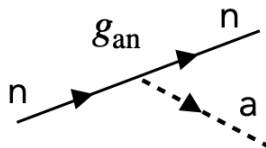
1. ABC



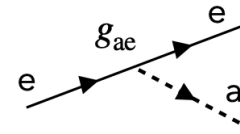
2. Primakoff



3. ^{57}Fe

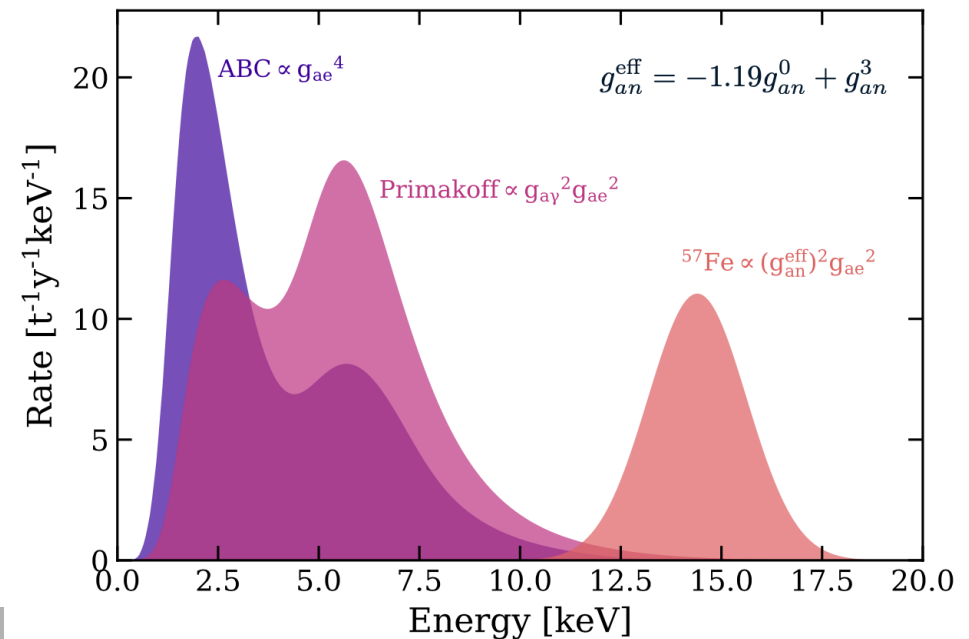


Detection via axio-electric effect

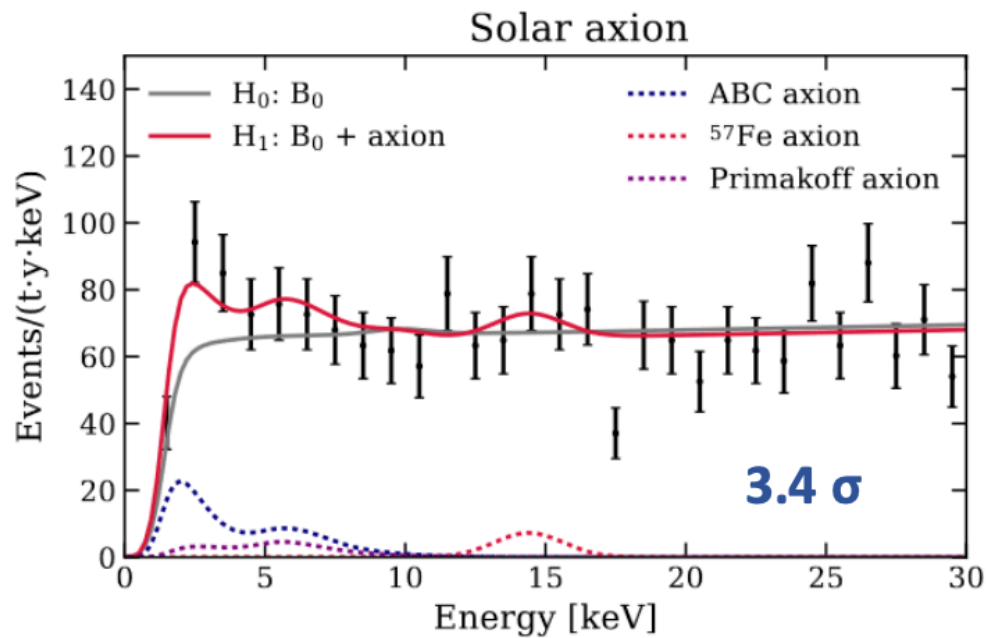


$$\sigma_{ae} = \sigma_{pe} \frac{g_{ae}^2}{\beta} \frac{3E_a^2}{16\pi\alpha m_e^2} \left(1 - \frac{\beta^2/3}{3}\right)$$

Reconstruction in XENON1T (resolution, efficiency)



[Phys. Rev. D 102, 072004](#)

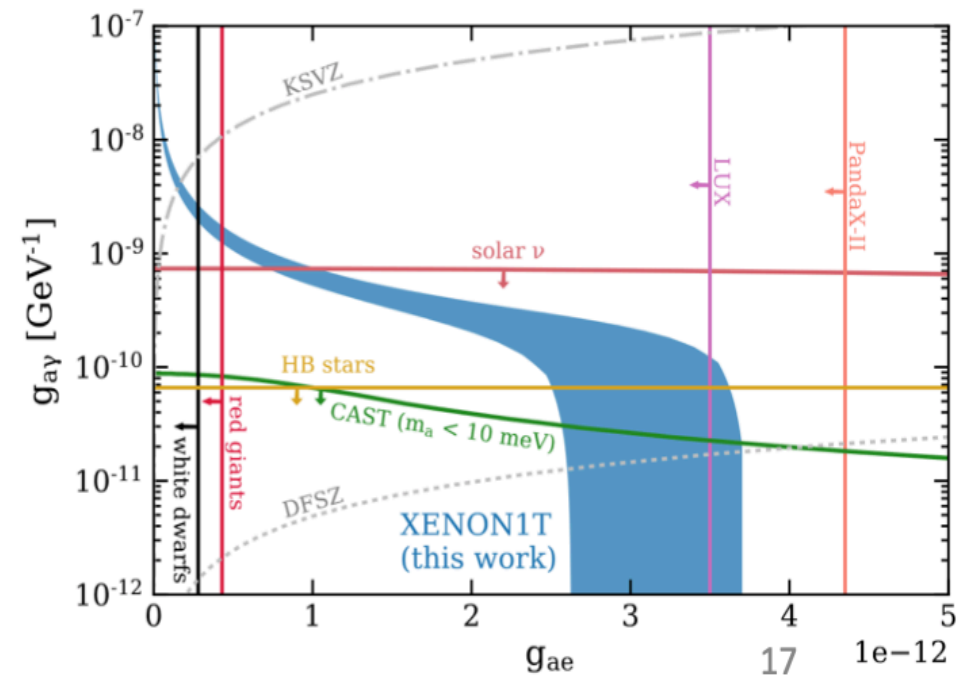


Three possible components:

- ABC
- Primakoff
- ^{57}Fe

But: Tension with constraints

- stellar cooling
- solar neutrinos



➔ Ways around?

See e.g.: XENON1T excess from anomaly-free Axionlike Dark Matter and its implications for Stellar Cooling Anomaly,

F. Takahashi, M. Yamada, W. Yin, PRL 125 (2020) 16, 161801

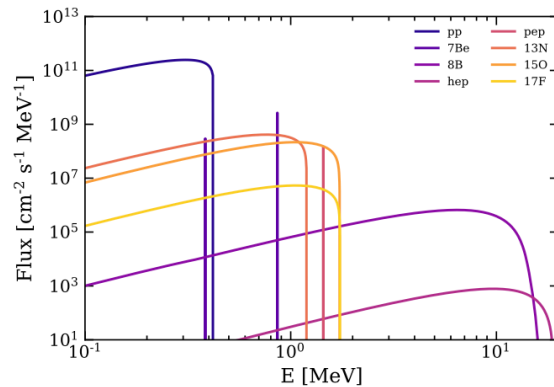
WD and RG explained simultaneously better when ALP constitutes about 10% of DM

Large Neutrino magnetic Moment

[Phys. Rev. D 102, 072004](#)

Solar neutrino spectrum

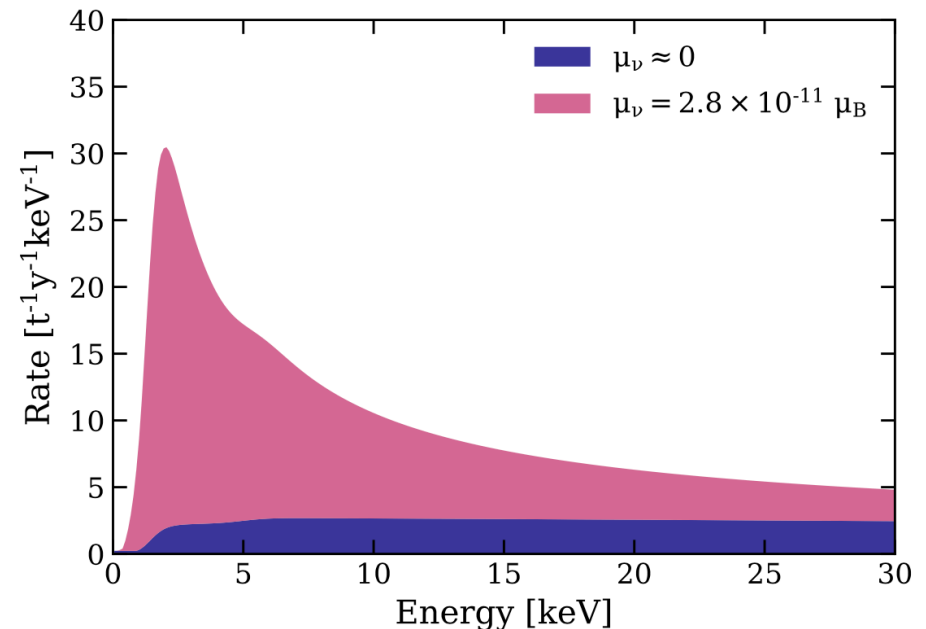
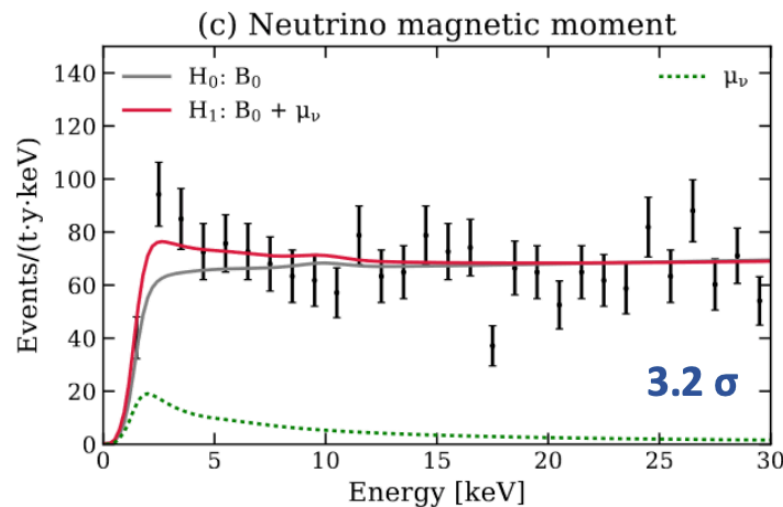
→ MeV-ish



Detection

$$\frac{d\sigma_\mu}{dE_r} = \mu_\nu^2 \alpha \left(\frac{1}{E_r} - \frac{1}{E_\nu} \right) \sim 1/E_r$$

Reconstruction in XENON1T
(resolution, efficiency, threshold)



μ_ν in the Standard Model + ν_R

Dirac: $\mathcal{L} \supset \mu_\nu \bar{\nu}_L \sigma_{\mu\nu} \nu_R F^{\mu\nu} + m_\nu \bar{\nu}_L \nu_R + \text{H.c.}$

μ_ν and ν mass operators have the same chiral structure
 $\rightarrow \mu_\nu$ typically proportional to m_ν

SM+ ν_R : $\mu_\nu = \frac{eG_F m_\nu}{8\sqrt{2}\pi^2} = 3 \times 10^{-20} \mu_B \left(\frac{m_\nu}{0.1 \text{ eV}} \right)$

Transition mag. moment for Majorana ν 's:

$$\mu_{ij} = -\frac{3eG_F}{32\sqrt{2}\pi^2} (m_i \pm m_j) \sum_{\ell=e,\mu,\tau} U_{\ell i}^* U_{\ell j} \frac{m_\ell^2}{m_W^2} \rightarrow \mathcal{O}(10^{-23}) \mu_B$$

→ **BSM models significantly enhance μ_ν**
 e.g. **MSSM with L violation by R-parity violation $\sim \lambda'$**

$$\mu_\nu \sim \lambda'^2 / (16\pi^2) m_\ell^2 A_\ell / M_{\tilde{\ell}}^4$$

$A_l \leftrightarrow$ SUSY breaking
 trilinear coupling

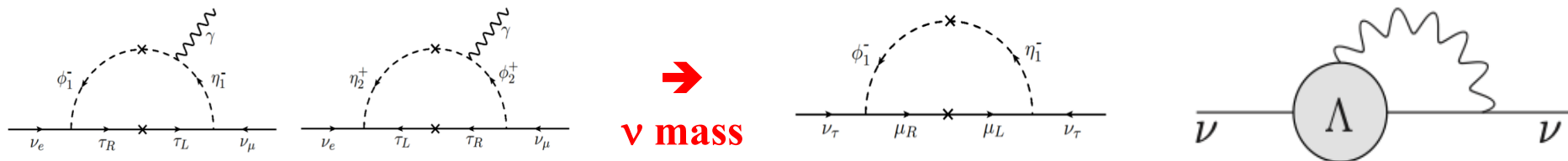
$M_{\tilde{\ell}} \leftrightarrow$ slepton mass

BUT → $\mu_\nu \leq 10^{-13} \mu_B$

Rather general: Most BSM models with TeV-ish scales allow/predict $\mu_\nu \leq 10^{-13} \mu_B$

Pushing higher often leads to two problems:

- light new particles that should have been discovered
- intrinsic relation between magnetic moment and radiative neutrino masses



→ **neutrino mass shifts which are much bigger than allowed w/o fine-tuning**

But: Symmetries can avoid problems

See e.g.: [ML, B. Radovčić, J. Welter, JHEP 07 \(2017\) 139](#)

symmetries for ν mass patterns $\Rightarrow$ impact on $m_\nu \leftrightarrow \mu_\nu$ relation

[K.S. Babu, S. Jana, ML, JHEP 10 \(2020\) 040](#)

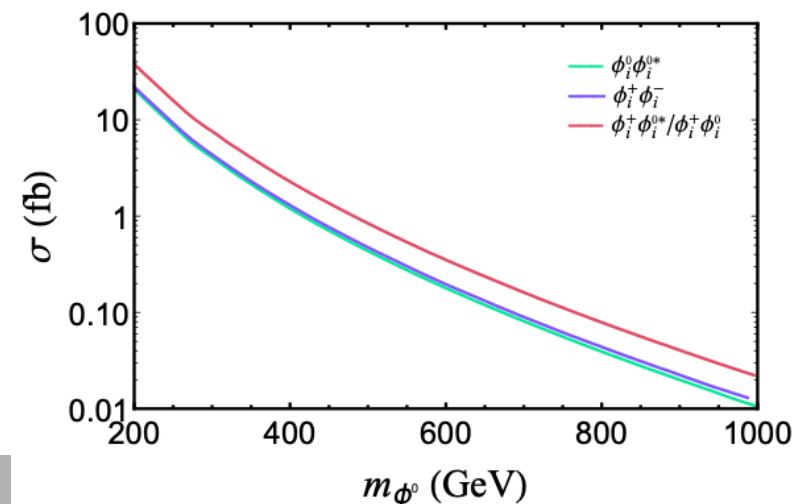
Horizontal $SU(2)_H$ broken by muon Yukawa coupling

Main point:

$$\mathcal{L}_{\text{mag.}} = (\nu_e^T \quad \nu_\mu^T) C^{-1} \sigma_{\mu\nu} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} F^{\mu\nu} \quad \longleftrightarrow \quad \mathcal{L}_{\text{mass}} = (\nu_e^T \quad \nu_\mu^T) C^{-1} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

$\mathcal{L}_{\text{mass}}$ is not invariant $\Rightarrow m_\nu = 0$ in the $SU(2)_H$ limit while μ_ν is allowed
+ corrections $\Rightarrow$ elegantly generates the correct ν mass scale

$\Rightarrow$ LHC prospects

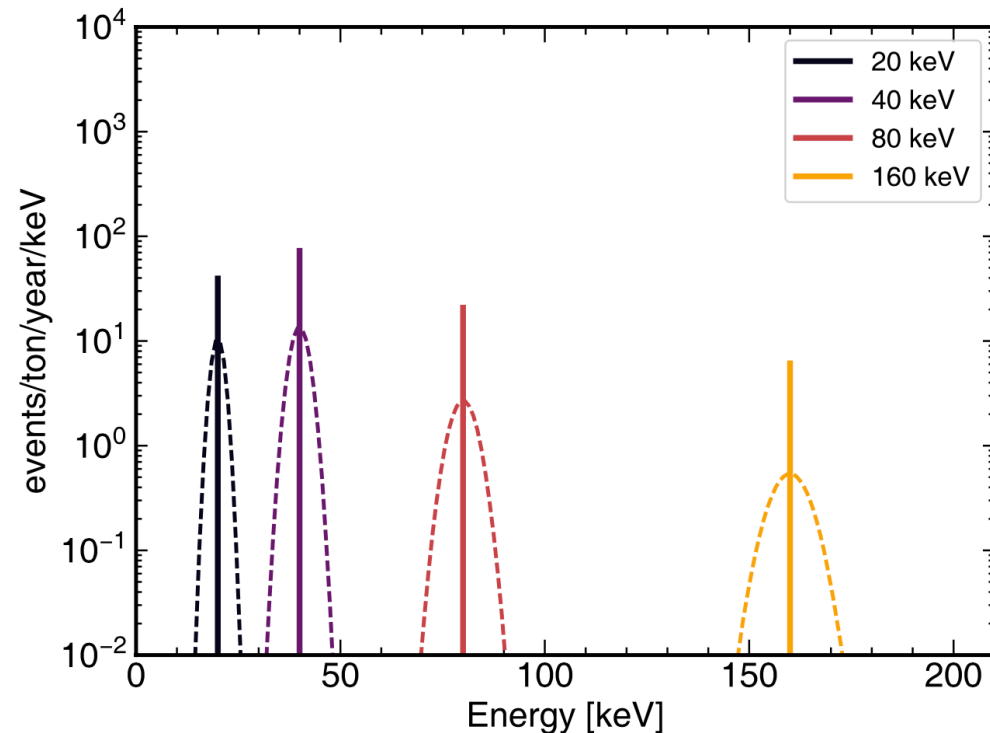


Bosonic Dark Matter

E.g. axion-like particles (ALPs) – not related to strong CP problem, but interesting
→ no strict mass-coupling relation

$$R \simeq \frac{1.5 \times 10^{19}}{A} g_{ae}^2 \left(\frac{m_a}{\text{keV}/c^2} \right) \left(\frac{\sigma_{pe}}{b} \right) \text{kg}^{-1} \text{d}^{-1}$$

→ Expect a monoenergetic peak around the rest mass



Many Solutions: Hidden Dark Sectors

Receipe: Dark sector + light particles + weak coupling

A few examples:

- Light new physics in XENON1T
[C. Boehm, D. Cerdeno, M. Fairbairn, P. Machado, A. Vincent, arXiv:2006.11250](#)
- Light vector mediators facing XENON1T data
[D. Aristizabal Sierra, V. De Romeri, L.J. Flores, D.K. Papoulias, PLB 809 \(2020\) 135681](#)
- Shining dark matter in Xenon1T
[G. Paz, A. Petrov, M. Tammara, J. Zupan, arXiv: e-Print:2006.12462](#)
- Mirror Dark Matter and Electronic Recoil Events in XENON1T
[L. Zu, G.W. Yuan, L. Feng, Y.Z. Fan, arXiv:2006.14577](#)
- XENON1T Anomaly: A Light Z'
[ML, Y. Mambrini, T. de Meloc, F.S. Queiroz, arXiv:2006.14590](#)
- Boosted Dark Matter Interpretation of the XENON1T Excess
[B. Fornal, P. Sandick, J. Shu, M. Su, Y. Zhao, Phys.Rev.Lett. 125 \(2020\) 16, 161804](#)

+ many more

Light Dark Sectors $\leftrightarrow$ E_R Spectrum

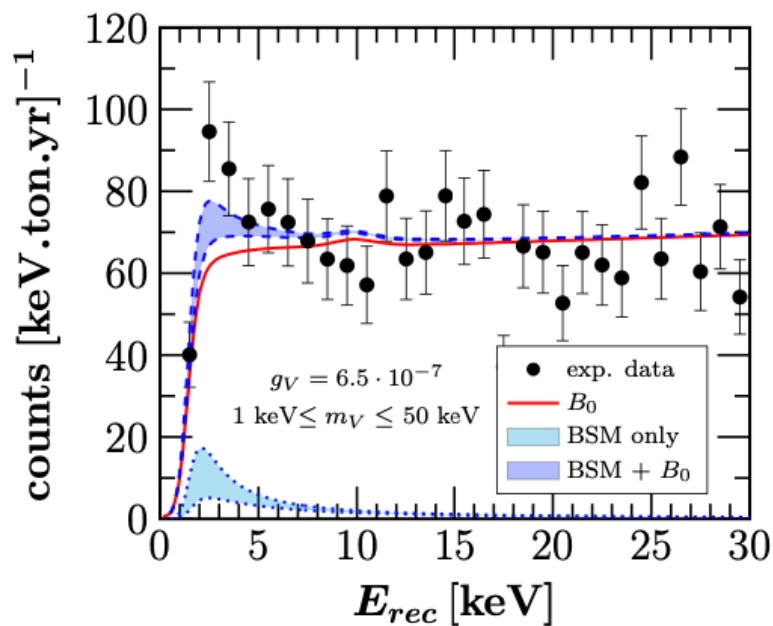
D. Sierra, V. De Romeri, L. Flores, D. Papoulias, arXiv:2006.12457

Also:

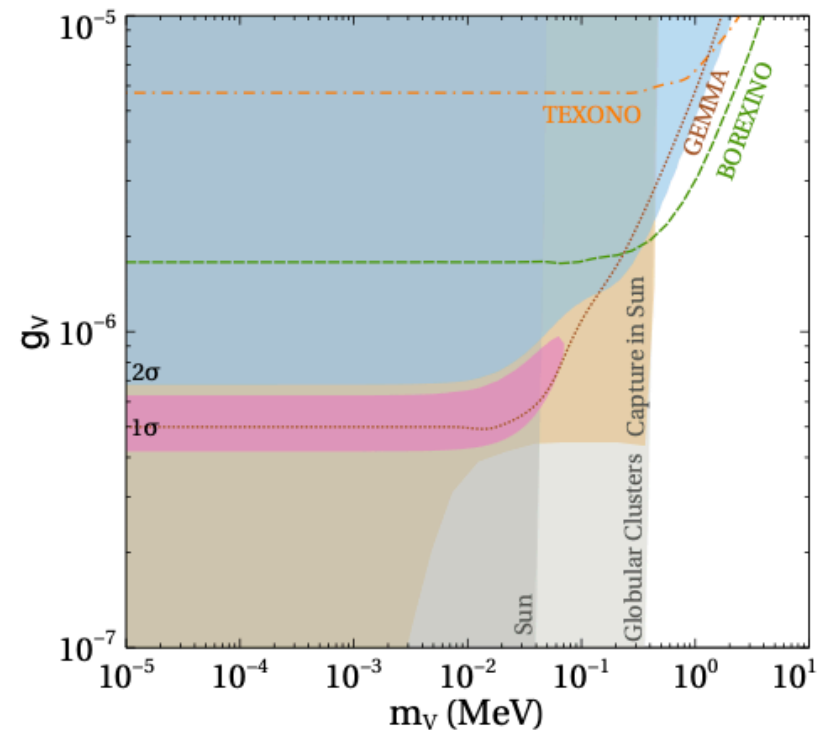
C. Boehm, D. Cerdeno, M. Fairbairn, P. A. Machado, A. Vincent, ArXiv:2006.11250

A. Bally, S. Jana, A. Trautner, PRL 125 (2020) 16, 161802

→ new neutrino interactions with leptons mediated by a light vector particle



→ 1 σ allowed, 2 σ excluded regions
in the m_V - g_V plane



comparison to limits from:

- TEXONO
- GEMMA
- Borexino
- astrophysics

DM Particles with a fast Component

K Kannike, M. Raidal, H. Veermäe, A. Strumia, arXiv:2006.10735

elastic DM+e $\rightarrow$ DM+e' scattering

DM with initial velocity: $\vec{v}_{\text{DM}}$

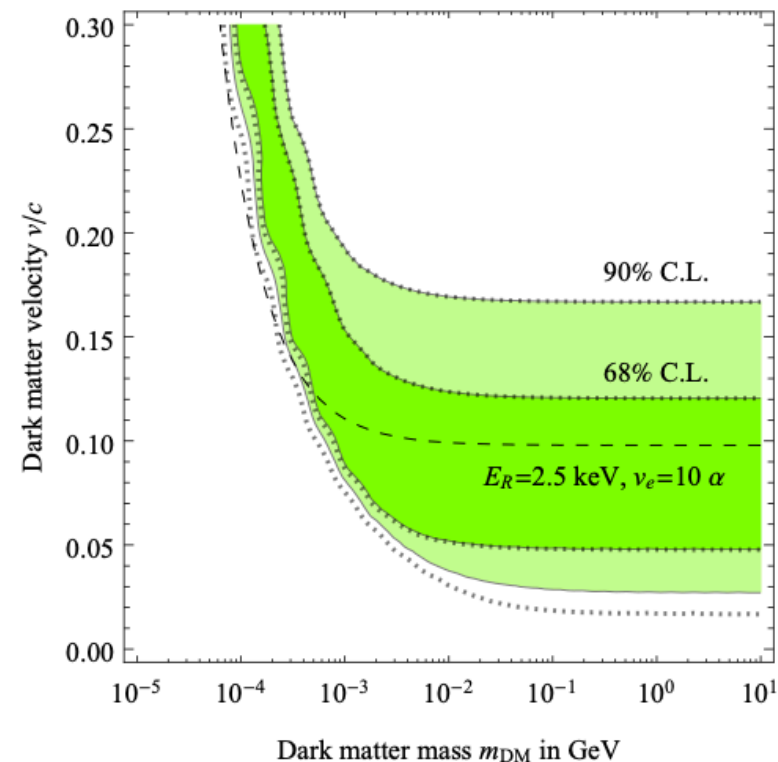
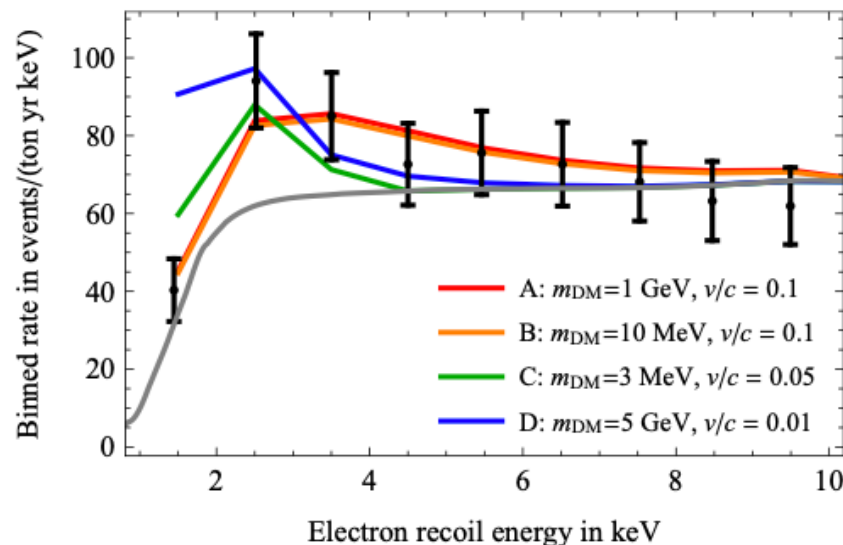
electron initial/final velocity: $\vec{v}_e \rightarrow \vec{v}'_e$

$\rightarrow$ Momentum transfer:

$\rightarrow E_R \approx 2.4\text{keV}$ for $m_{\text{DM}} \gg m_e$ with $v_{\text{DM}} \approx 0.1$

$$q \equiv m_{\text{DM}}(v'_{\text{DM}} - v_{\text{DM}}) = -2\mu v_{\text{rel}}$$

$$\simeq - \begin{cases} 2m_{\text{DM}}(v_{\text{DM}} - v_e) & \text{for } m_{\text{DM}} \ll m_e \\ 2m_e(v_{\text{DM}} - v_e) & \text{for } m_{\text{DM}} \gg m_e \end{cases}$$

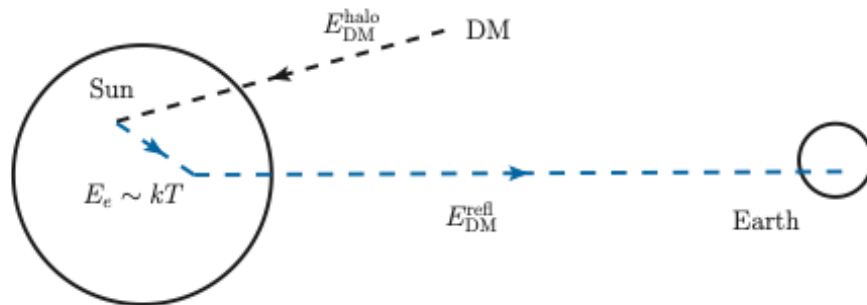


Problem: Fast component gravitationally not bound to galaxy $\rightarrow$...decays, sub-halo, ...

Sun heated MeV-Scale Dark Matter

Y. Chen, M.Y. Cui, J. Shu, X. Xue, G.W. Yuan, Q. Yuan, arXiv:2006.12447

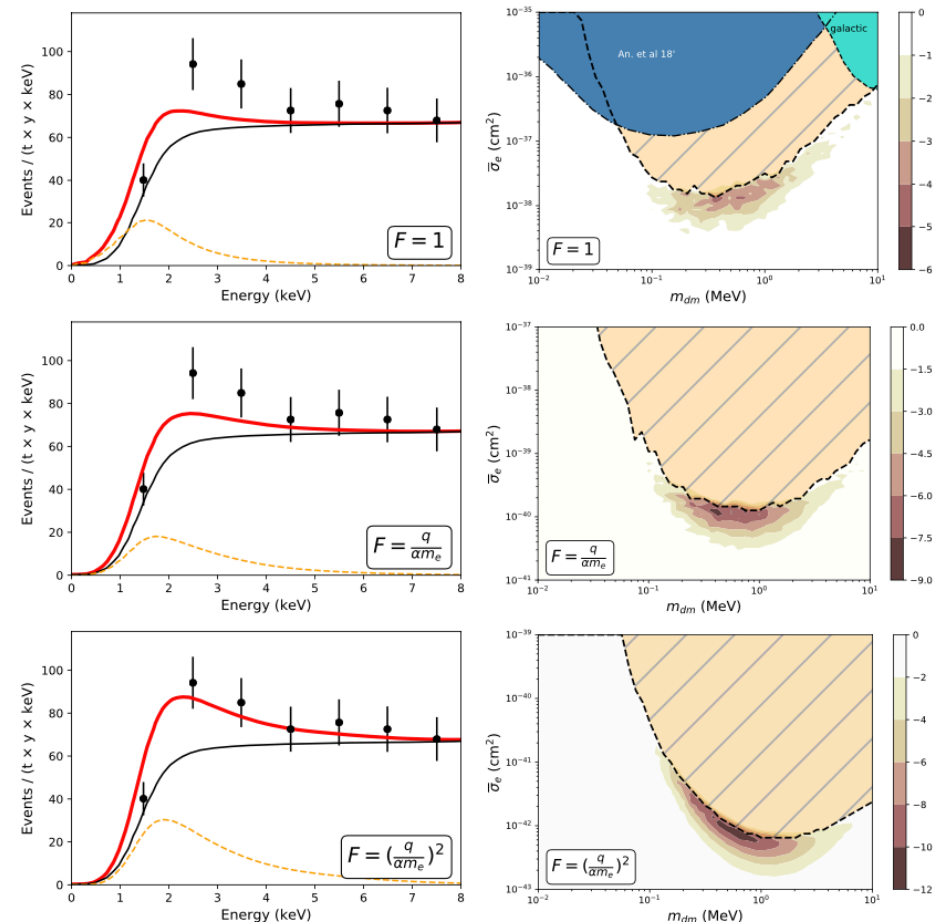
Explain signal by the **MeV-scale dark matter** heated inside the Sun ($\approx 1.5 \times 10^7$ K)



- high-temperature plasma inside the Sun
 - heat-up light DM particles to keV energies
- H. An, M. Pospelov, J. Pradler, A. Ritz,
PRL120, 141801 (2018)

$$\Phi_{\text{heat}} \sim \frac{\Phi_{\text{halo}}}{4} \times \begin{cases} \frac{4S_g}{3} \left(\frac{R_{\text{core}}}{d} \right)^2, & R_{\text{core}} \ll \lambda \\ S_g \left(\frac{R_{\text{scatt}}}{d} \right)^2, & R_{\text{core}} \gg \lambda \end{cases}$$

- same DM-electron interaction in the detector
- Best fit, $F(\dots)$, XENON1T limits
- **Expect annual modulation w/o v 's or axions**

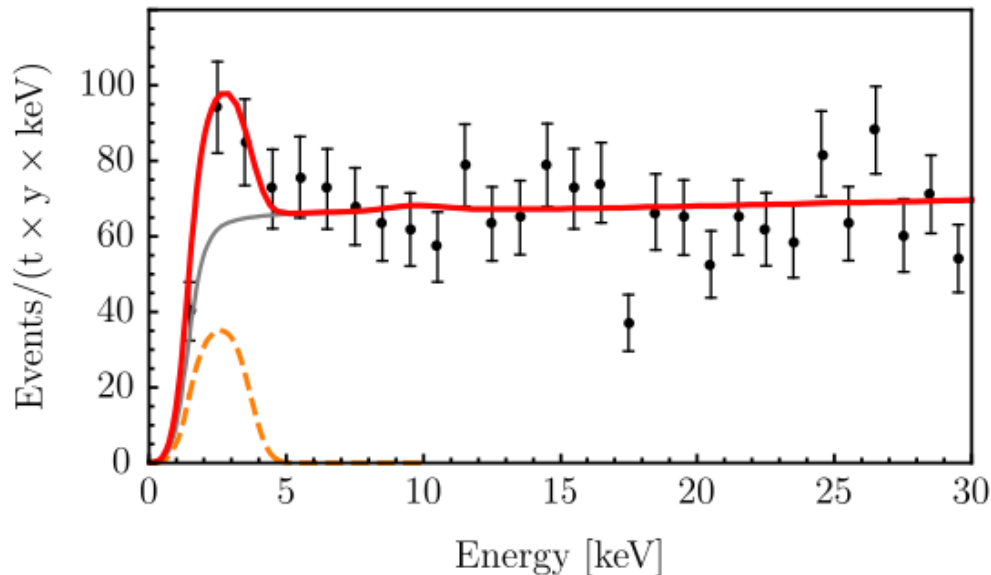


Boosted Dark Matter

B. Fornal, P. Sandick, J. Shu, M. Su, Y. Zhao, PRL 125 (2020) 16

BDM: particles with velocities $\gg$ typical of virialized dark matter

→ naturally produce keV electron recoils

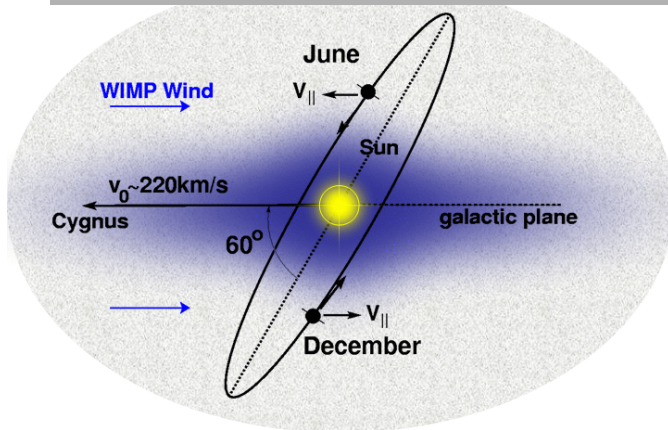


required BDM-electron scattering cross sections can be easily realized in simple models, e.g. with a heavy vector mediator

- BDM flux → could originate from the Galactic Center or from halo DM annihilation
- daily modulation of the BDM signal expected for mediator masses < 10 - 100 GeV

$$L_{fs,E} \simeq 60 \text{ m} \times \left(\frac{10^{-28} \text{ cm}^2}{\sigma_{\text{elec}}} \right)$$

Connection to the DAMA Annual Modulation?

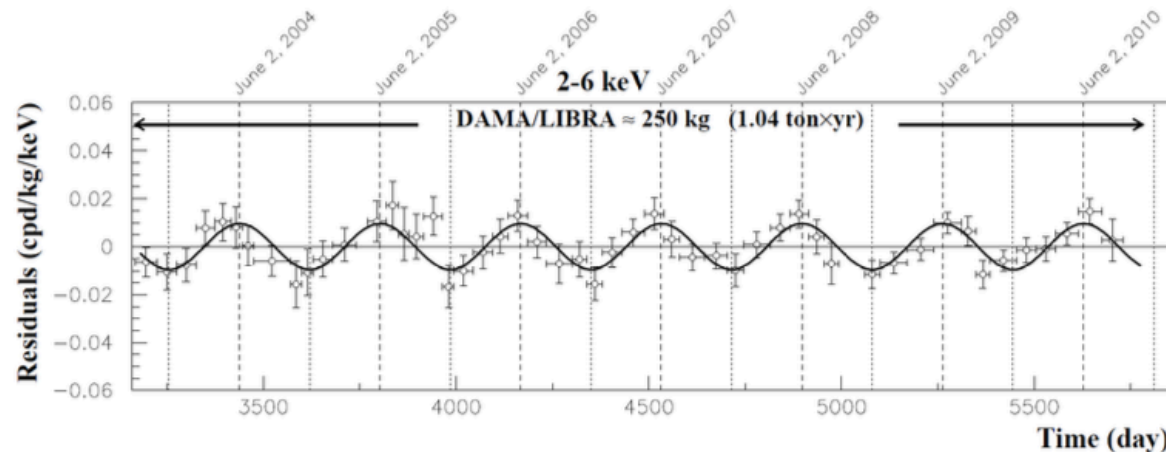


moving thru the WIMP wind around the sun
→ small annual modulation on top of average rate

DAMA/LIBRA

1.33 t*year exposure of NaI crystals (13 annual cycles)

Annual modulation at 12.9σ
→ DM or something else?



Various periodic backgrounds (atmosphere $\leftrightarrow$ μ flux, water levels $\leftrightarrow$ n, Rn, ...)

Problem:

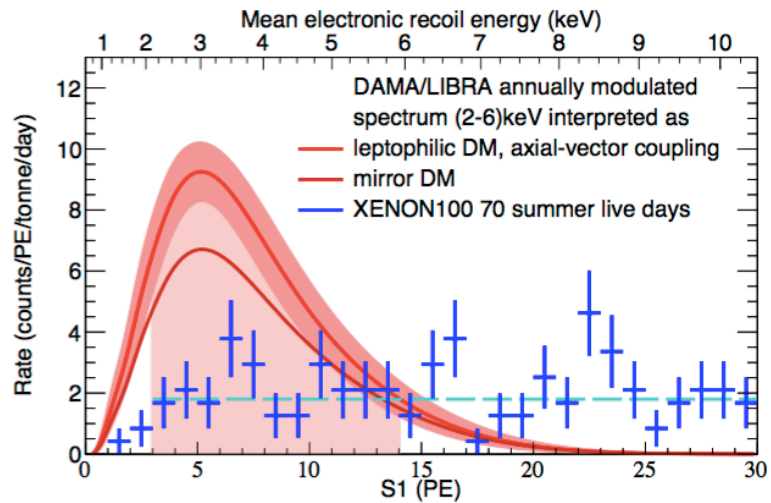
- Backgrounds: So far no accepted explanation
- Signal: Other detectors (direct detection, indirect detection, LHC) do not see the corresponding overall rate which matches to the modulation

Proposed way out: DM particles which **scatter on electrons** (leptophilic...)

→ would be seen by DAMA/LIBRA, but not by others

Modulation of Electronic Recoils in XENON100

477 life days (48kg*year) aquired 2010-2014; improved signal & bckg. modelling

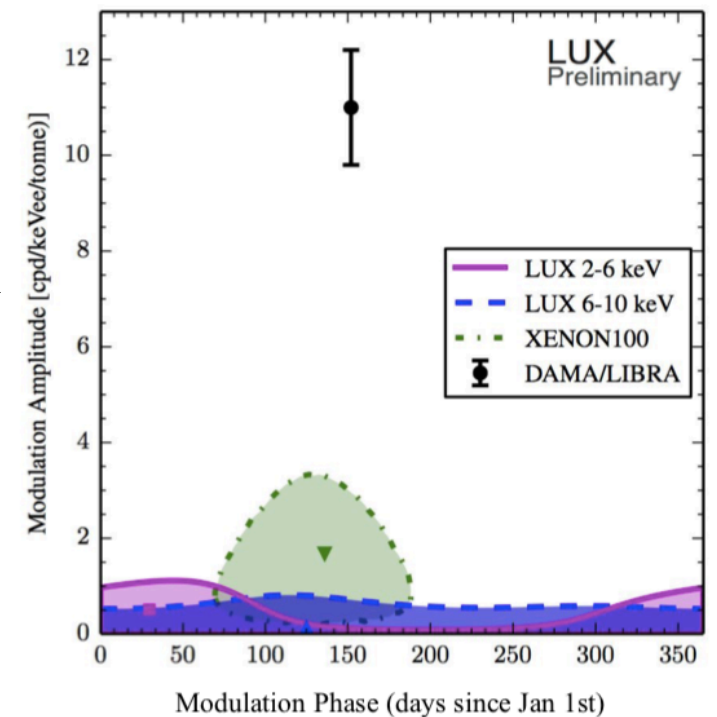
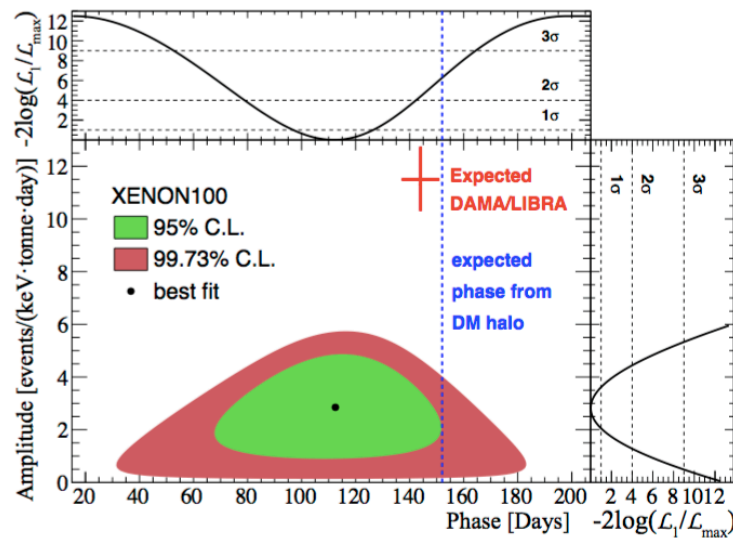


→ DAMA signal excluded @ 5.7σ

→ leptophilic models excluded

→ DAMA modulation not understood

LUX: J. Xu
@UCLA DM
→ no modulation



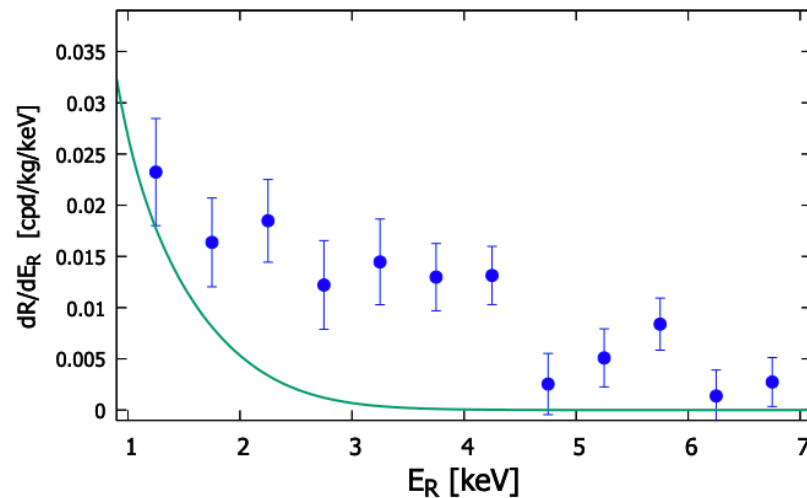
Future: check DAMA → clarify modulation → new projects:
SABRE, COSINUS, COSINE-100, ANAIS, KIMS-NaI, DM-Ice

Could DAMA/LIBRA see the same Physics?

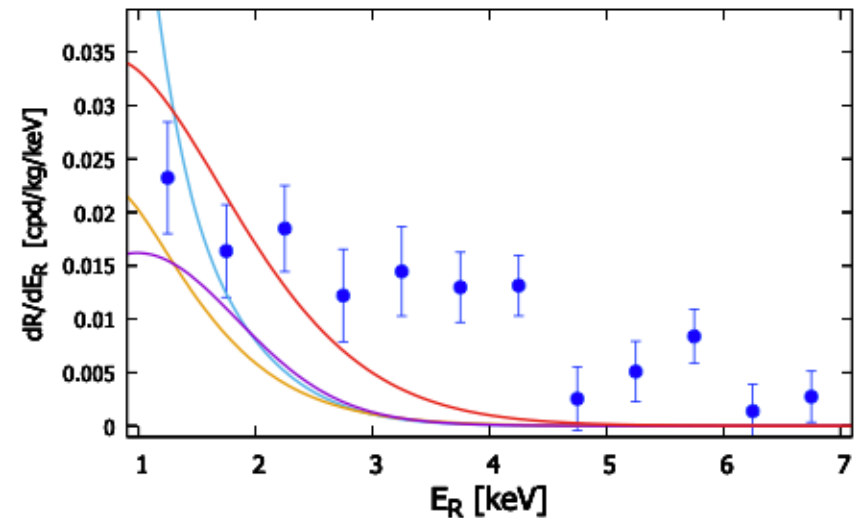
R. Foot, arXiv: 2011.02590 ; D. Adams, S. Jacobsen, Ch. Kelso, arXiv:2011.03079

Assume DM that might explain DAMA/LIBRA

→ what should XENON1T see in the electron recoil spectrum



Comparison of DAMA/LIBRA data with model predictions which fit the XENON1T excess



Does not fit even if one believes that a small energy scale offset could exist

Summary of the current Situation

Excess between 1-7 keV:

285 events observed
 (232 ± 15) expected
from best-fit

Interpretations :

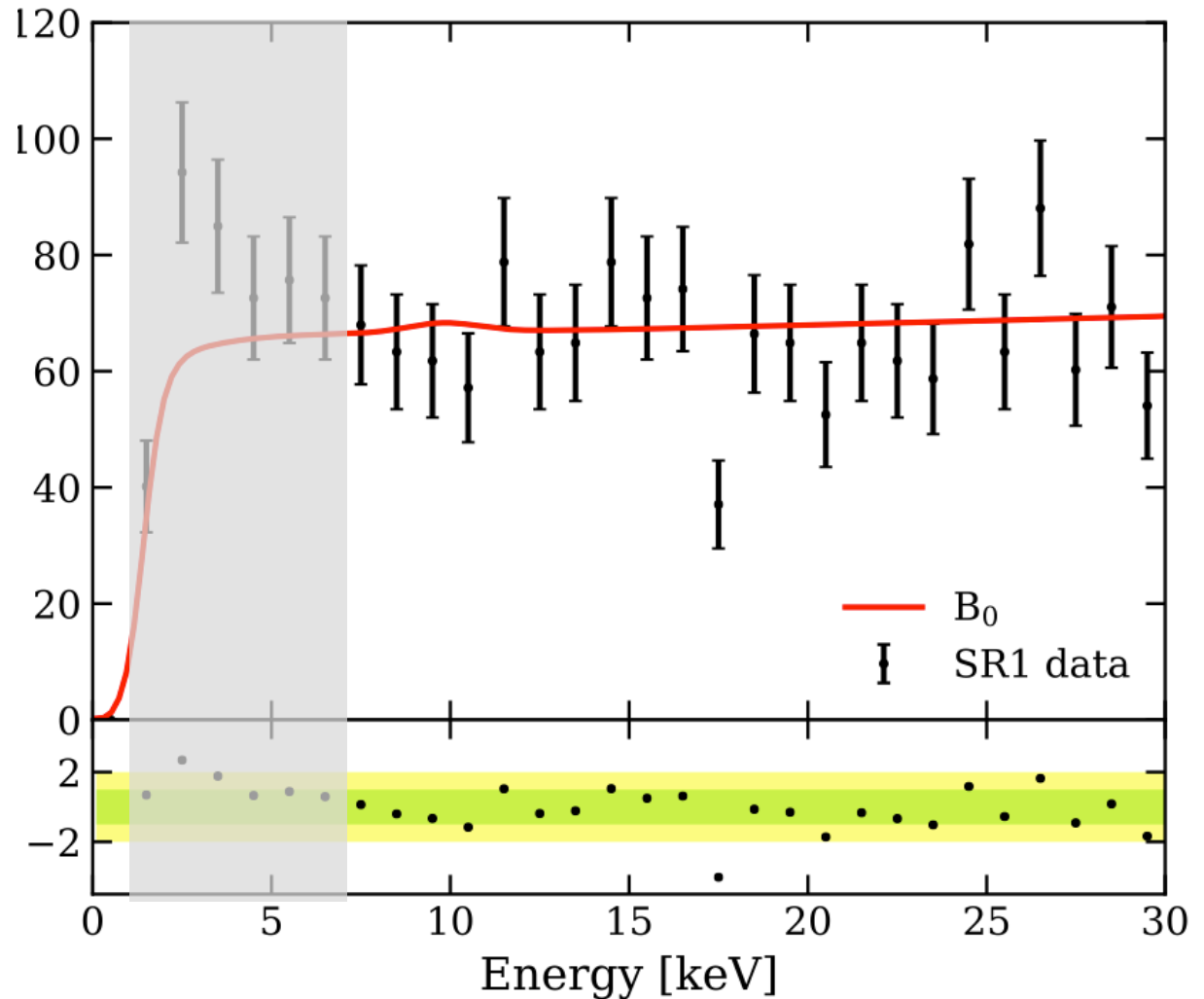
a) A fluctuation

b) Some new background

- Tritium
- ^{37}Ar
- ...

c) New physics

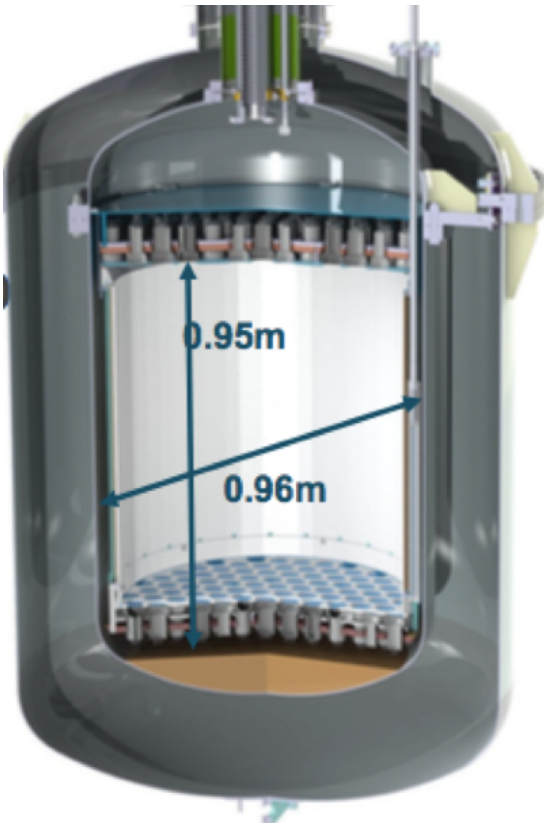
- solar axions
 - large ν mag. Moment
 - bosonic DM, dark Z, ...
- >100 papers in 2 months



All $\sim 3\sigma \rightarrow$ Collect more data with XENONnT

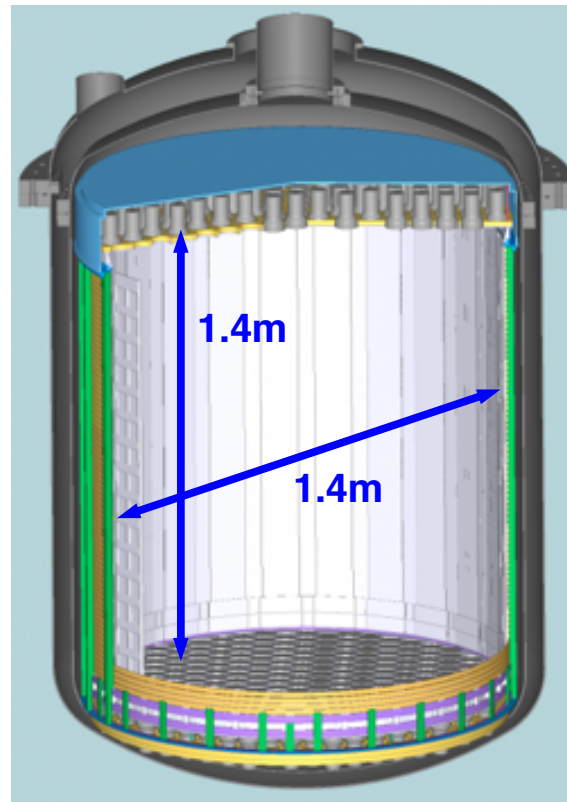
The XENONnT Upgrade

XENON1T



2012-2018
3.2t LXe
running

XENONnT



2019-2023
ca. 8t LXe
under preparation
goal @50GeV: $1.6 \cdot 10^{-48} \text{ cm}^2$

being prepared while XENON1T runs → switching gears

Existed/operational/tested:

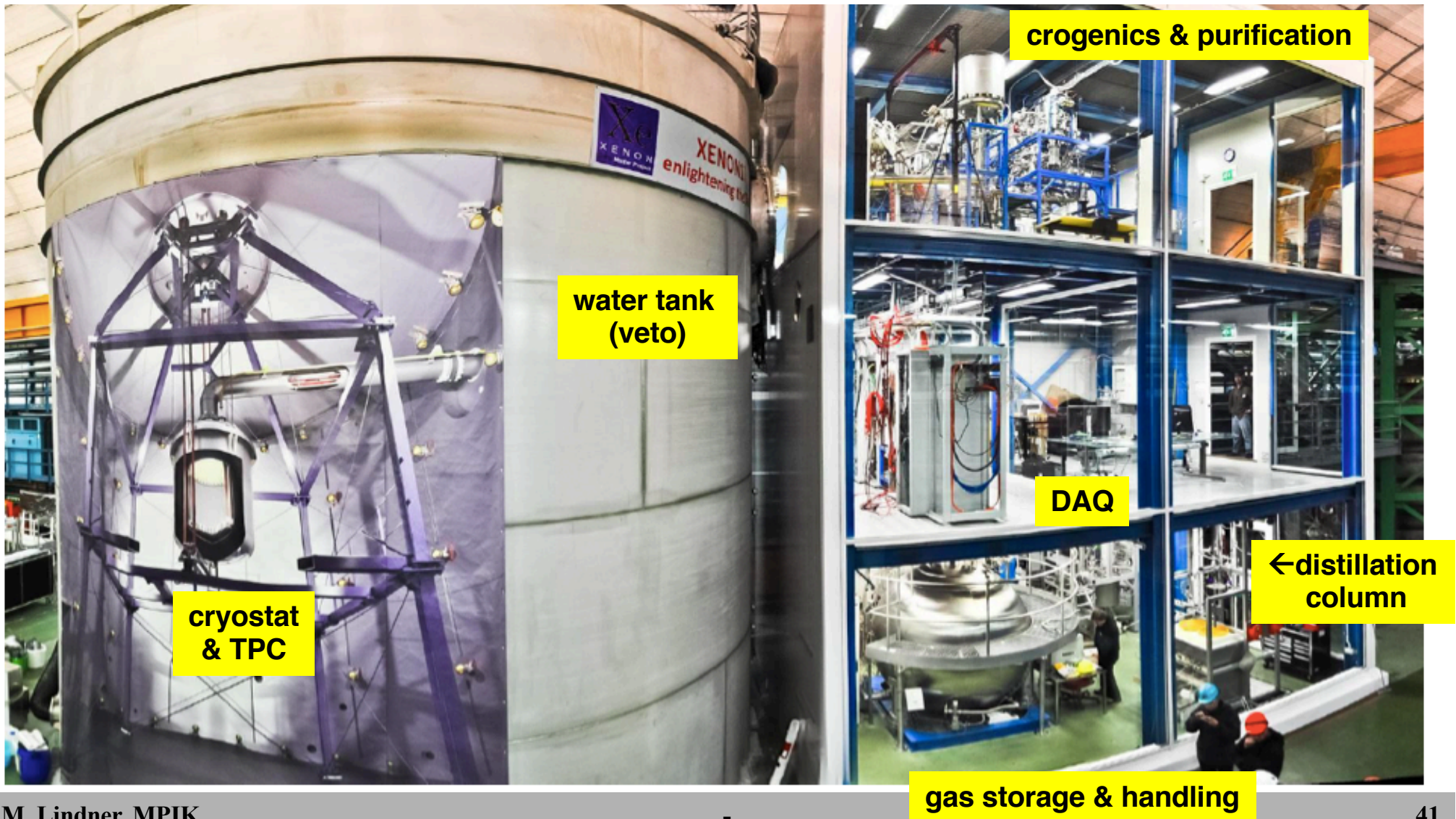
muon veto
cryostat support
outer cryostat
in-LXe cabling
LXe storage system (Restox)
cryogenic system
purification system
Kr removal
DAQ & 95% electronics
slow control system
calibration system
> 8t of Xenon gas & 260 PMTs
screening facilities

Added:

230 more PMTs ordered
→ being delivered & tested
new materials
TPC & inner cryostat design
n-veto
 γ and Rn screening
Rn reduction system
improved purification
2nd Restox & more Xenon gas

XENON1T → XENONnT

Changes and re-assembly done → filling → commissioning
→ data taking as soon as possible



Ways to check

XENONnT: Much more statistics with a few months of data

- **Fluctuation? $\leftrightarrow$ does the signal persist?**
- **Does the signal show an annual modulation?**
 - in phase with the distance earth-Sun? $\leftrightarrow$ solar axions, large μ_ν
 - in phase with the preferred direction of DM flow? $\leftrightarrow$ light bosons
- **Ideas for diffuse particle flows w/o modulation?**
- **How does the signal scale with the detector surfaces and volume?**

Further improve understanding of tiny backgrounds in XENON1T

- **Further consistency checks**
- **Study T-production**
- **Try to measure backgrounds (like we do for other isotopes)**

Conclusions

- **The WIMP search will continue**
 - XENONnT is getting ready...
- **Direct detection will make good progress soon (XENONnT, LZ, ...)**
 - even better WIMP sensitivity
 - sensitivity to axions, neutrino physics (DEC, $0\nu\beta\beta$, solar ν 's, SN, coherent scattering,...)
 - low E_R excess may be statistics, background or new physics
 - ➔ more pronounced with more data from XENONnT?
 - ➔ annual modulation?
 - ➔ ...
- **Substantial impact even if nothing will be found**