



Primordial black holes in an early matter era and stochastic inflation

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This talk is based on [1912.01638], [2001.08220] and [2006.14597] [1,2,3]. Full list of references at the end!

Overview and Introduction

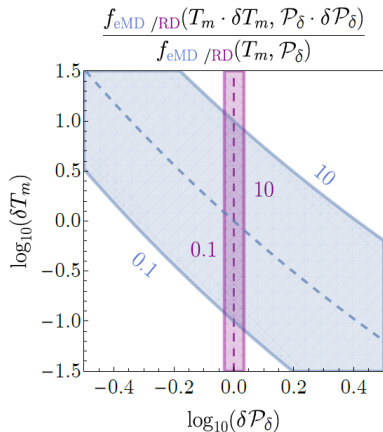
- Primordial Black Holes are relevant dark matter candidates. They are interesting because they do not require **physics beyond inflation**.
- A large window of masses remains **viable** (much smaller than LIGO observations) [4,5,6,8]

$$10^{-16} M_{\odot} \lesssim M_{\text{PBH}} \lesssim 10^{-11} M_{\odot}.$$

- Their **astrophysical signatures** (gravitational waves, lensing, etc.) could be probed within the next decade [7].
- We wish to determine the effects on the PBH abundance of
 - 1 The **equation of state** of the Universe at the time of their formation.
 - 2 The **stochastic inflation** formalism.
- We explore these aspects in the context of a numerical inflationary model, and an analytical one.

PBHs from Inflation

PBHs are black holes formed in the early universe by mechanisms **different to stellar collapse**. For PBHs to form, we need **large density fluctuations** $\delta = \delta\rho/\rho$, produced during **inflation** [9].



One can show that

$$f_{\text{PBH}}(T_m, \mathcal{P}_\delta) = \Omega_{\text{PBH}}/\Omega_{\text{DM}} \propto \beta_{\text{MD/RD}},$$

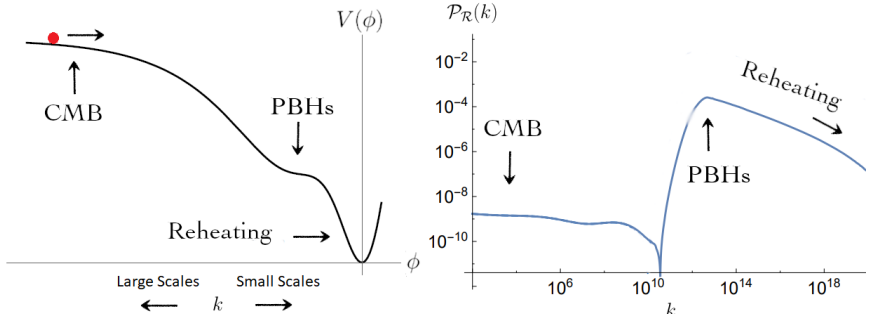
with [15,16]

$$\beta_{\text{RD}}(\mathbf{k}) \propto \frac{1}{\sqrt{\mathcal{P}_\delta}} \int_{\delta_c}^{\infty} \exp\left(-\frac{\delta^2}{2\mathcal{P}_\delta}\right) d\delta,$$

$$\beta_{\text{MD}}(\mathbf{k}) \propto \mathcal{P}_\delta \exp\left[-\alpha \left(\frac{\mathcal{I}^4}{\mathcal{P}_\delta}\right)^{1/3}\right].$$

The latter takes into account **non-sphericity** and **angular momentum**.

The **power spectrum** $\mathcal{P}_\delta(\mathbf{k})$ encodes how these fluctuations are distributed, can be computed, and is **measured**. $\mathcal{P}_\delta(\mathbf{k}) \sim \mathcal{P}_\mathcal{R}(\mathbf{k}) \sim H^4/\dot{\phi}^2$ (slow-roll).



Figures from [3,10]. The PBH masses are $M_{\text{RD}} \propto k^{-2}$ and $M_{\text{MD}} \propto k^{-3}$. Collapse during matter-domination has two big advantages,

- 1 The **power spectrum** required to get a significant PBH abundance is much smaller than in RD ($\mathcal{P}_{\text{RD}} \sim 10^{-2}$ vs $\mathcal{P}_{\text{MD}} \sim 10^{-4}$).
- 2 The abundance is **much less sensitive** to small changes in $\mathcal{P}_\mathcal{R}$.

The Simplest Model

Consider a scalar field coupled to gravity in the **Jordan frame** [10]

$$\mathcal{S} = \int d^4x \sqrt{-g} \left[-\frac{1}{2}(M_p^2 + \xi\phi^2)R + \frac{1}{2}g_{\mu\nu}\partial^\mu\phi\partial^\nu\phi - V(\phi) \right].$$

We can redefine the fields as $\Omega^2 \equiv 1 + \xi\phi^2/M_p^2$ and $g_{\mu\nu} \rightarrow \Omega^2[\phi]g_{\mu\nu}$,

$$\Omega^2 \frac{dh}{d\phi} = \left[\Omega^2 + \frac{3}{2}M_p^2 \left(\frac{d\Omega^2}{d\phi} \right)^2 \right]^{1/2},$$

where h is obtained by solving this equation and is such that the kinetic term is **canonically normalized**,

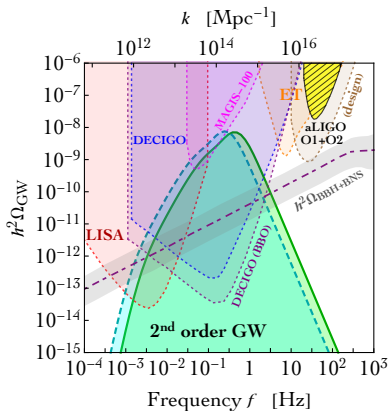
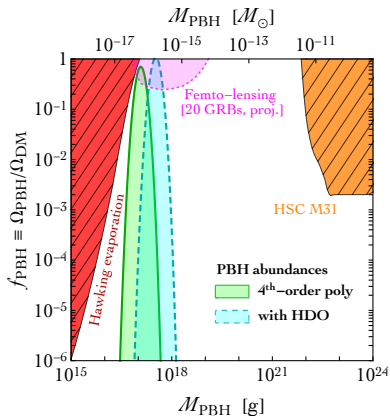
$$\mathcal{S} = \int d^4x \sqrt{-g} \left[-\frac{1}{2}M_p^2 R + \frac{1}{2}g_{\mu\nu}\partial^\mu h\partial^\nu h - V(\phi(h))/\Omega^4 \right].$$

Arguably the simplest potential is a polynomial ($\phi(h)$ is monotonic)

$$U(h) \equiv \frac{V}{\Omega^4} = \frac{a_2\phi^2 + a_3\phi^3 + a_4\phi^4}{(1 + \xi\phi^2/M_p^2)^2} \Big|_{\phi=\phi(h)}$$

The main issue is adjusting the **spectral index**, which is in tension with **evaporation bounds**, $n_s^{\text{pred}} \simeq 0.949$ but $n_s^{\Lambda\text{CDM}} = 0.9649 \pm 0.0042$.

- 1 Extend ΛCDM , since $n_s^{\Lambda\text{CDM}+N_{\text{eff}}+dn_s/d\log(k)} = 0.950 \pm 0.011$ [11]
- 2 Add higher-dimensional operators $c_n \phi^n / \Lambda^{n-4}$ (expected anyway)



The Stochastic Formalism

What is Stochastic Inflation?

In [stochastic inflation](#), quantum fluctuations backreact on the classical trajectory of the inflaton, modifying its background evolution [\[10,12,13,14\]](#),

$$\frac{d\bar{\phi}}{dN} = -\frac{\partial_{\phi}V}{3H^2} + \frac{H}{2\pi}\xi_{\phi} \quad \rightarrow \quad \mathcal{P}_{\mathcal{R}} \ll 1 \quad (\text{slow roll})$$

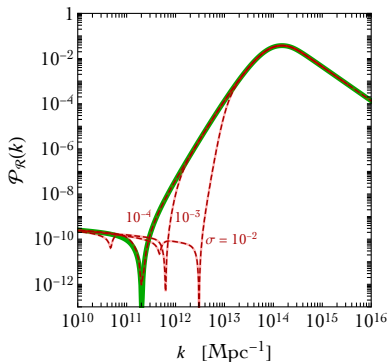
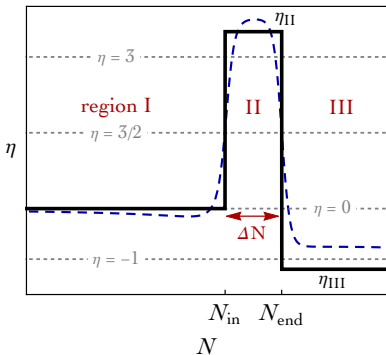
The field is split into a [coarse-grained](#) part and a [perturbation](#). The field and its conjugate momentum satisfy the [Langevin equations](#),

$$\frac{d\bar{\phi}}{dt} = \frac{\bar{\pi}}{a^3} + \xi_{\phi}, \quad \text{and} \quad \frac{d\bar{\pi}}{dt} = -a^3 \frac{dV}{d\phi} \Big|_{\bar{\phi}} + \xi_{\pi},$$

where ξ_i are [noise operators](#). These are [classical stochastic variables](#), since $[\xi_{\phi}(t, \mathbf{x}'), \xi_{\pi}(t, \mathbf{x})] \rightarrow 0$ on small scales. With an [analytical approach](#) we can find explicit expressions for the noise. At leading order, fields are also [classical stochastic variables](#), $\bar{\phi} = \phi_{\text{cl}} + \delta\phi_{\text{st}}$.

Analytical Model

The enhancement of the power spectrum in **any such potential** can be understood by considering a **three-region model**, with $\eta \sim \ddot{\phi}/(H\dot{\phi})$,



The **power spectrum** is, in terms of classical stochastic variables $\delta\phi_{\text{st}}$, $\delta\pi_{\text{st}}$

$$\mathcal{P}_{\mathcal{R}} = \frac{1}{2\epsilon_{\text{cl}}} \left[D_{\phi\phi} + \underbrace{2\langle\delta\phi_{\text{st}}\delta\pi_{\text{st}}\rangle - 2(\epsilon_{\text{cl}} - \eta_{\text{cl}})\langle\delta\phi_{\text{st}}^2\rangle}_0 \right].$$

Conclusions

- The **simplest potential** that can produce PBHs is viable, provided Λ CDM is extended, or **higher-dimensional operators** are considered.
- If dark matter is in the form of PBHs, the corresponding **GW signal should be observable** by **LISA and DECIGO** if they form during RD.
- We have shown, both analytically and numerically that, at leading order, stochastic inflation **does not affect the power spectrum**, even in the presence of a USR phase.
- PBH formation in an early matter-dominated era has **significant advantages**, namely, that a **smaller enhancement** of the power spectrum is required, and the potential parameters are **less tuned**.



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