

# AI goes MAD



## Deep learning imaging atmospheric Cherenkov telescopes



D. Nieto ([d.nieto@ucm.es](mailto:d.nieto@ucm.es))

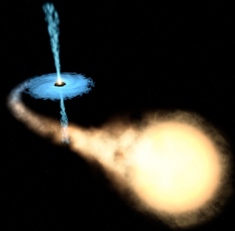
Facultad de Ciencias Físicas

UCM - IPARCOS

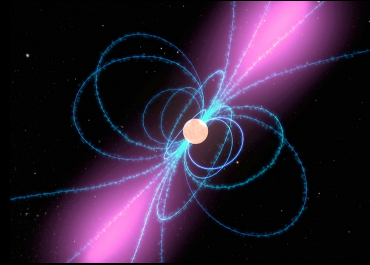


- Very-high-energy astrophysics in a (very-small) nutshell
- Imaging atmospheric Cherenkov telescopes
- Enhancing IACTs with deep learning

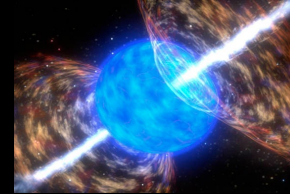




Gamma-ray Binaries



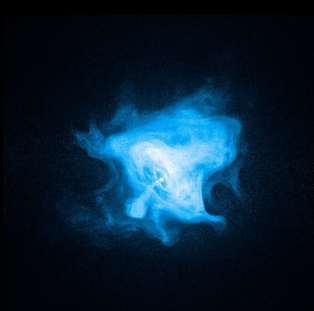
Pulsars



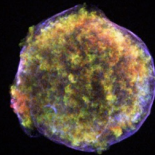
Gamma-ray Bursts



Compact-object mergers



Pulsar Wind Nebulae



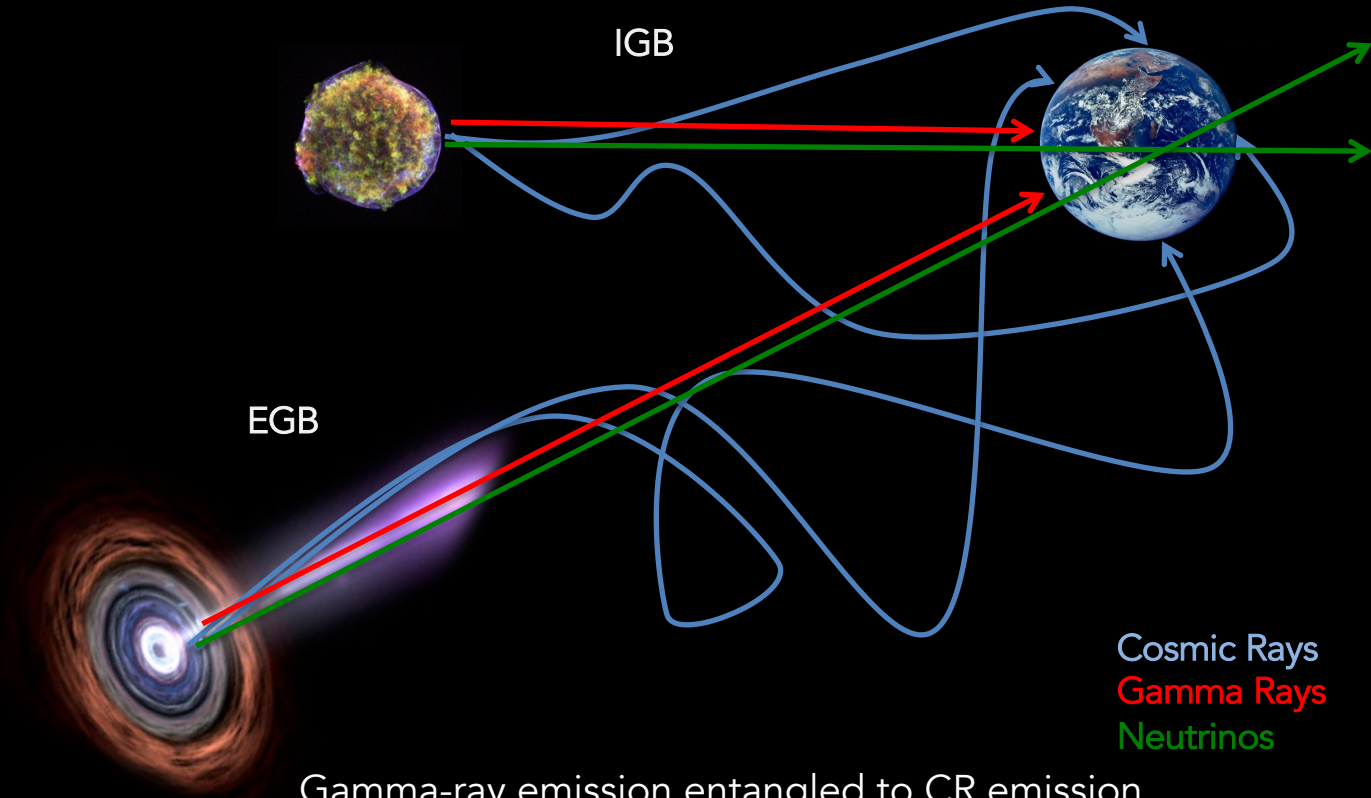
Supernova Remnants



Starburst Galaxies



Active Galactic Nuclei



EGB

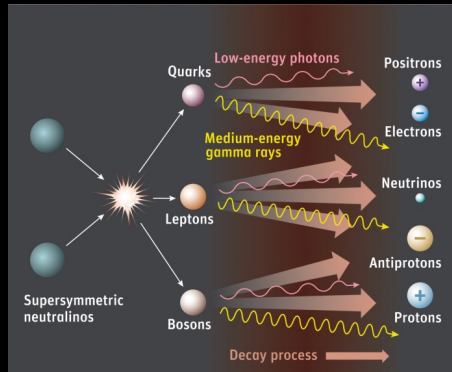
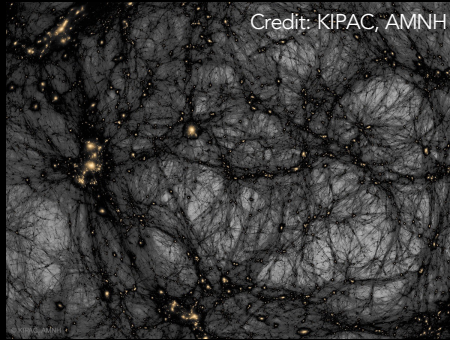
IGB

Cosmic Rays  
Gamma Rays  
Neutrinos

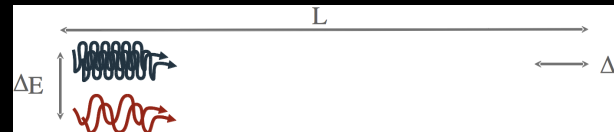
Gamma-ray emission entangled to CR emission  
Could carry signatures of hadronic/leptonic production

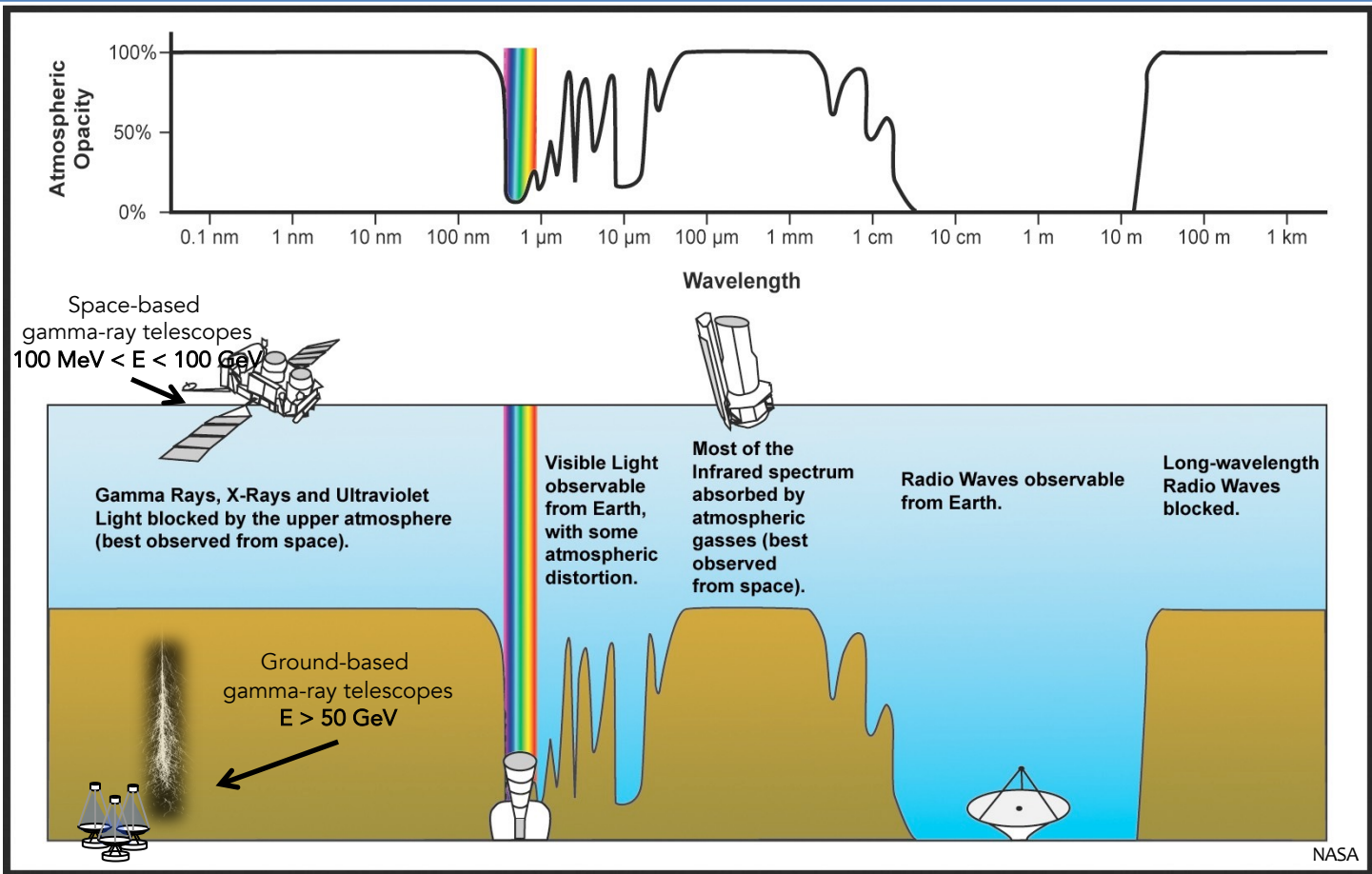


## Dark matter searches



## Lorentz invariance









## Particle showers produced in Earth's atmosphere by gamma-ray, proton, and carbon-13

- Initial particle energy: 400 GeV
- Animation time: Shower reaching ground
- **Charged particles: Red dots**
- **Cherenkov light: Blue dots**

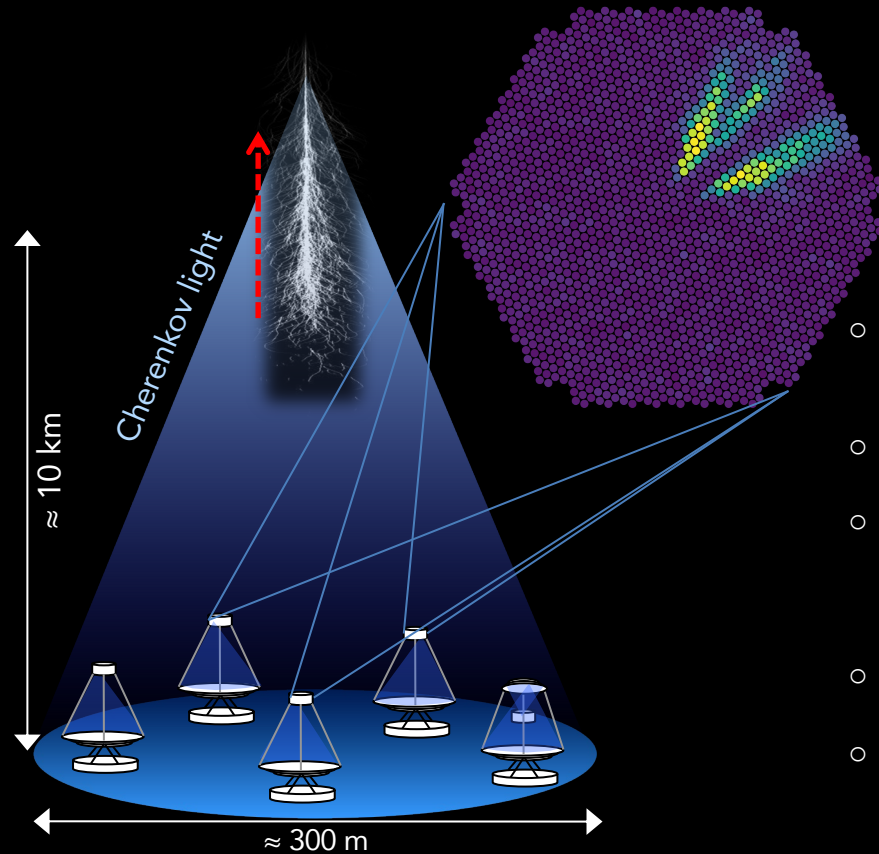
Visit <http://veritas.sao.arizona.edu>

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VERITAS & Harvard Smithsonian Center for Astrophysics

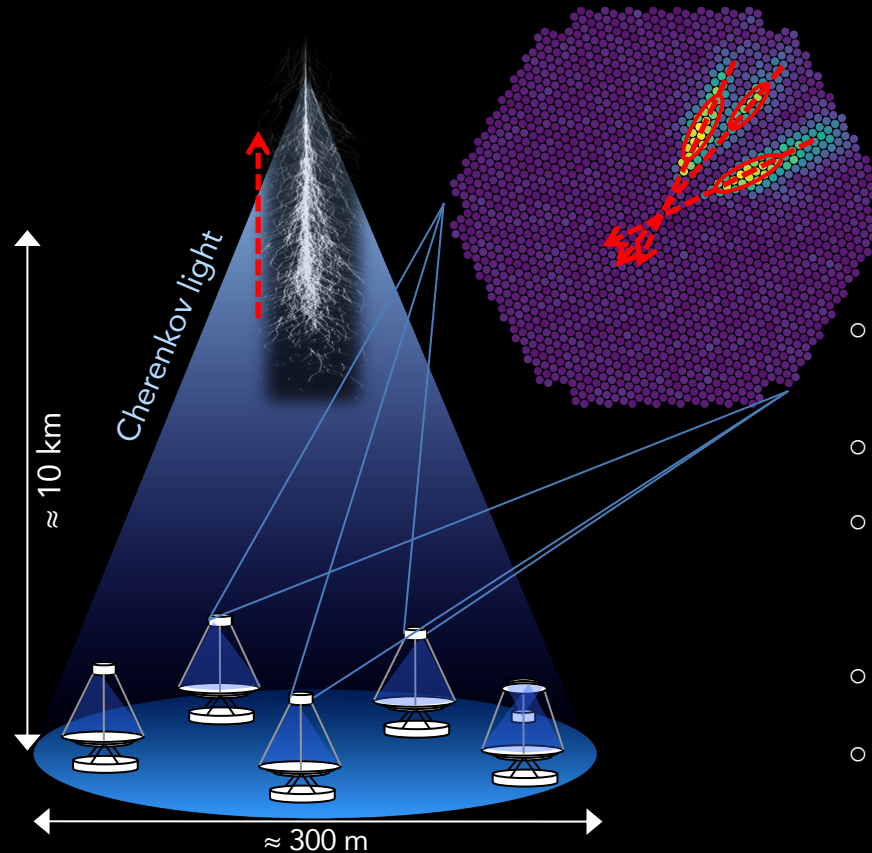


<https://apoc.lasca.ccv.esocd/ap202224.html>

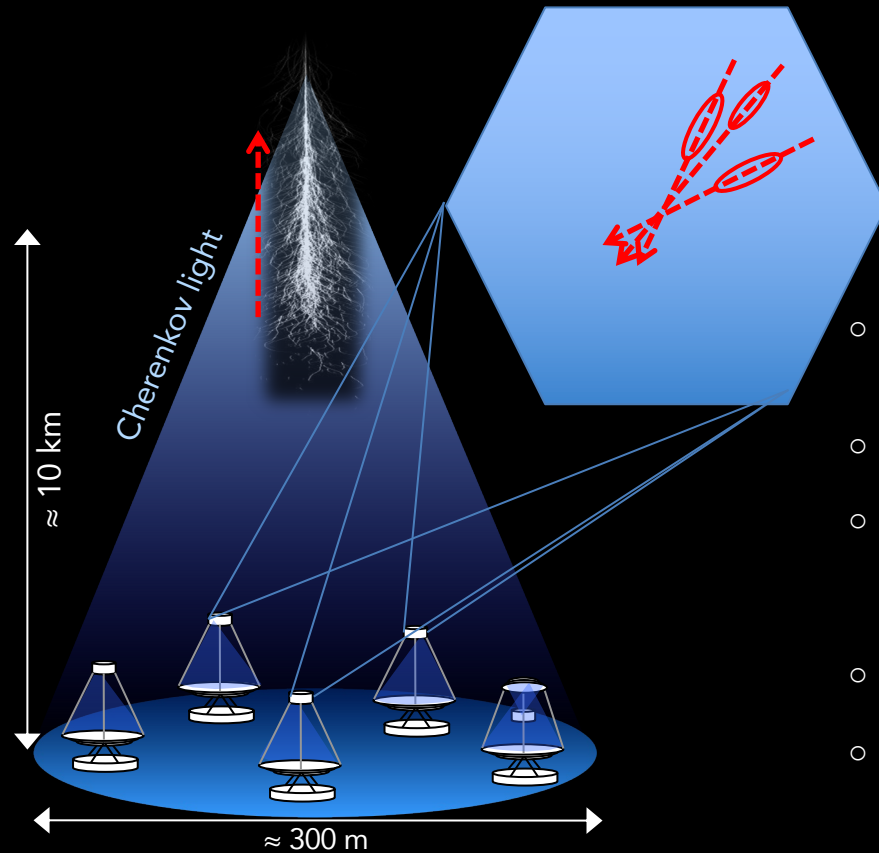




- Detection of extended air showers using the atmosphere as a calorimeter
- Huge  $\gamma$ -ray collection area ( $\sim 10^5 \text{ m}^2$ )
- Large background from charged CR
  - Partly irreducible ( $e^-/e^+$ , single-EM, with current methods)
- Energy window: tens GeV - tens TeV
- Event reconstruction from image:
  - Type of primary event
  - Primary energy estimation
  - Primary arrival direction

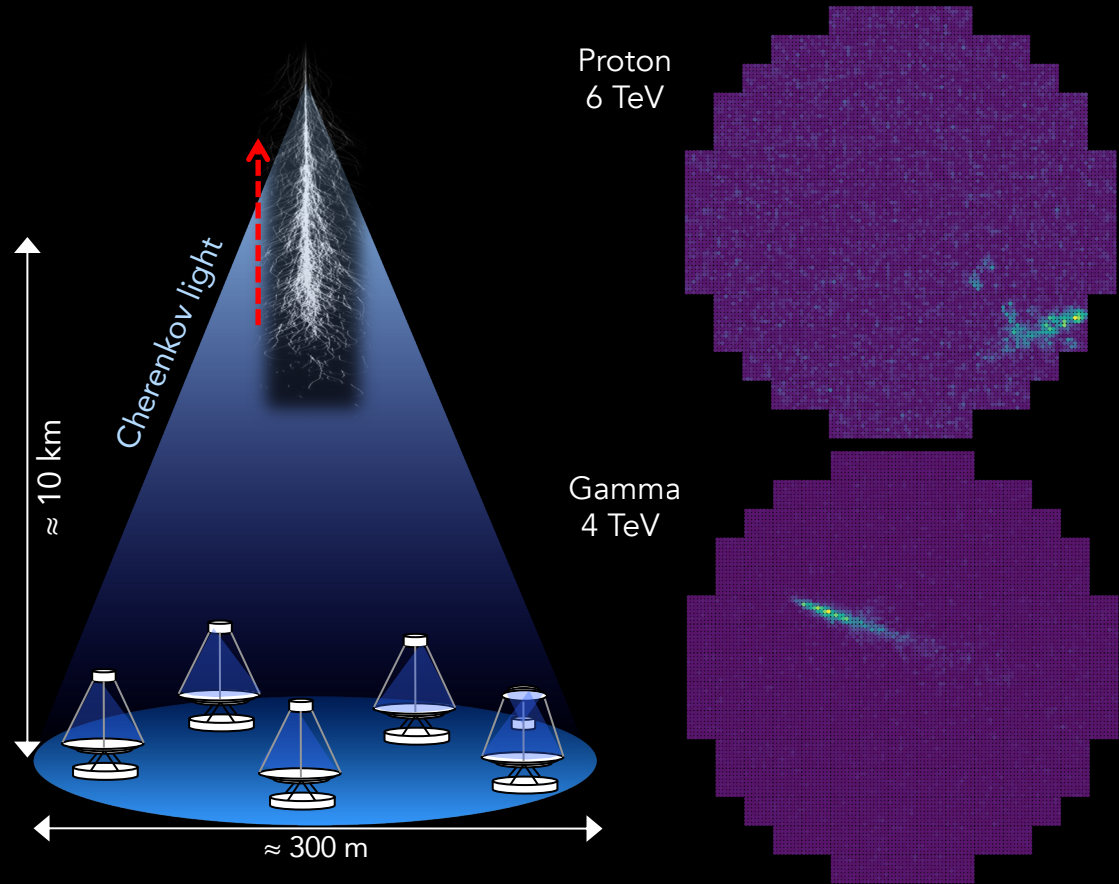


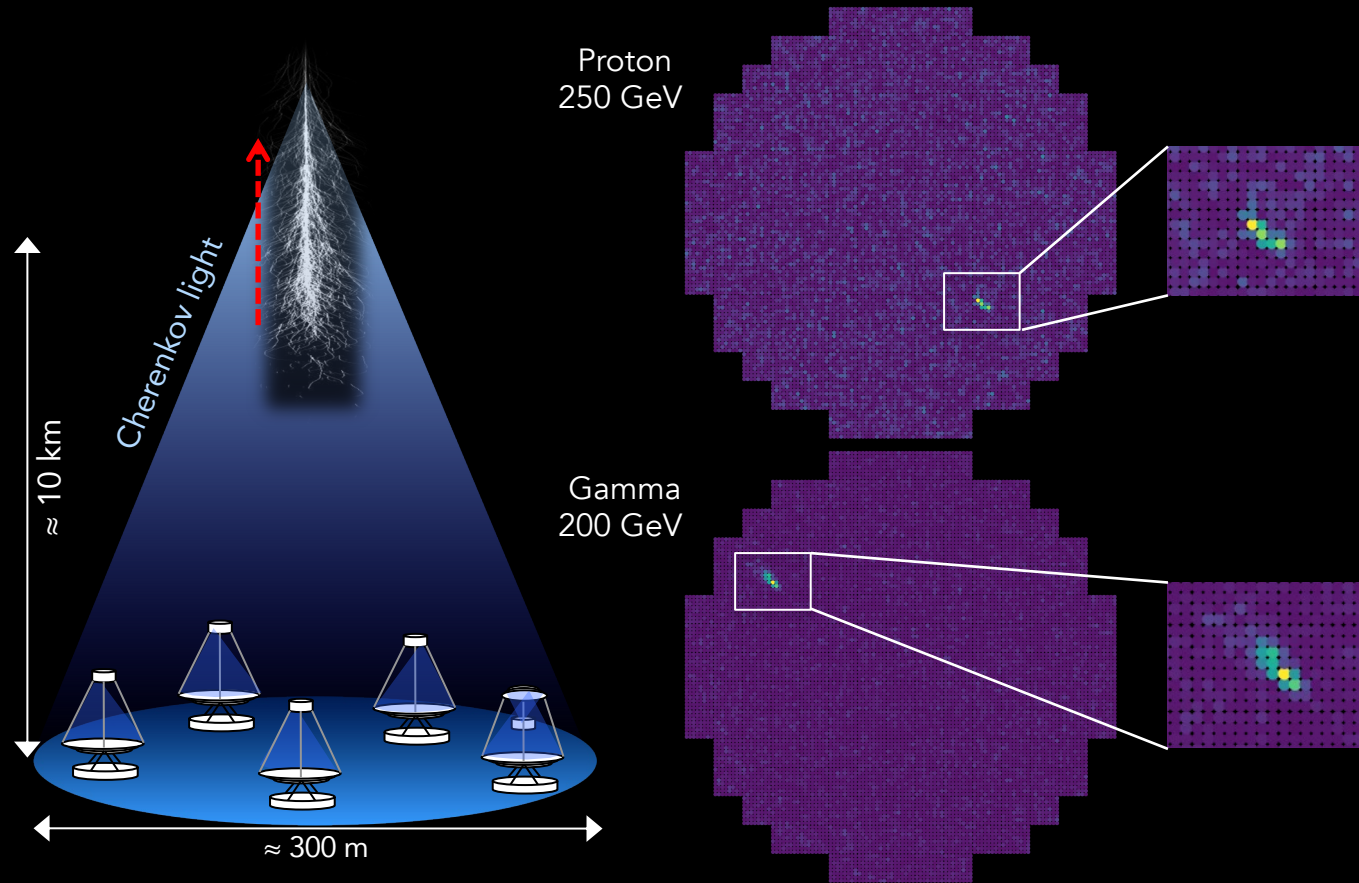
- Detection of extended air showers using the atmosphere as a calorimeter
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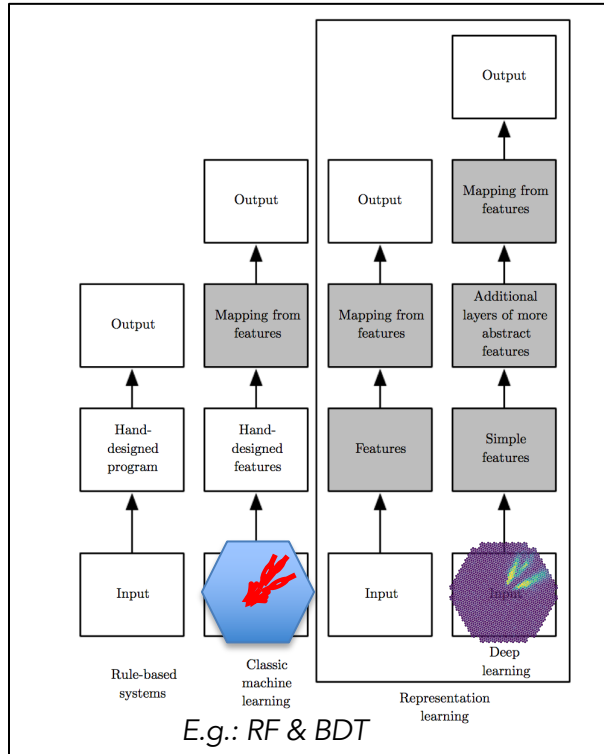
- Detection of extended air showers using the atmosphere as a calorimeter
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- Energy window: tens GeV - tens TeV
- Event reconstruction from image:
  - Type of primary event
  - Primary energy estimation
  - Primary arrival direction







Output: event type,  
energy, incoming direction



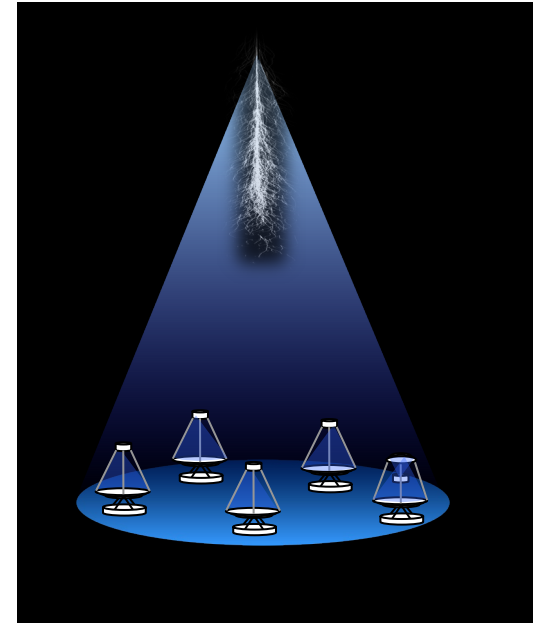
E.g.: RF & BDT

Input: observed events

Problem:  
supervised learning requires labelled data

Solution:  
to simulate your data!

Problem:  
how well does your  
simulation represent  
the real world?





- Stereoscopy:
  - Stereoscopic view of the extended air showers
  - Compact “videos” rather than single snapshots
  - Events effectively recorded in 4D!



CREDIT: DESY/Milde Science Communication

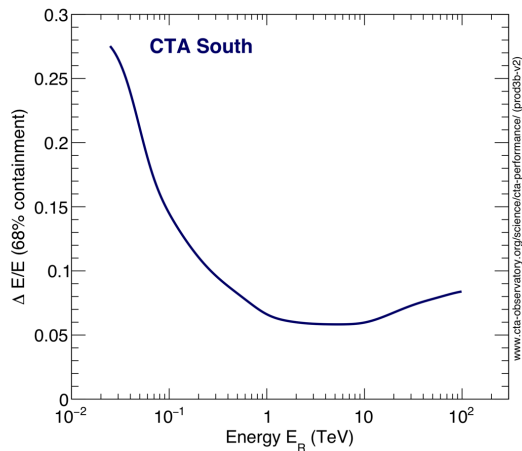
- Heterogeneity of instruments:



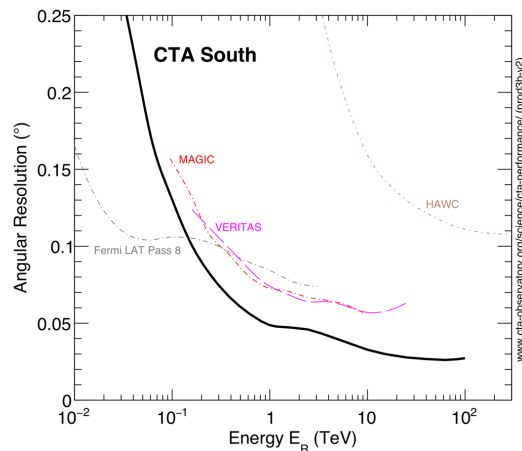
Credit: [www.cta-observatory.org](http://www.cta-observatory.org)

- Final metrics are far from trivial and entangled

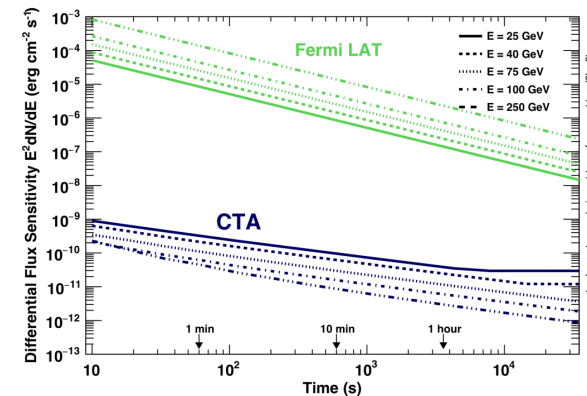
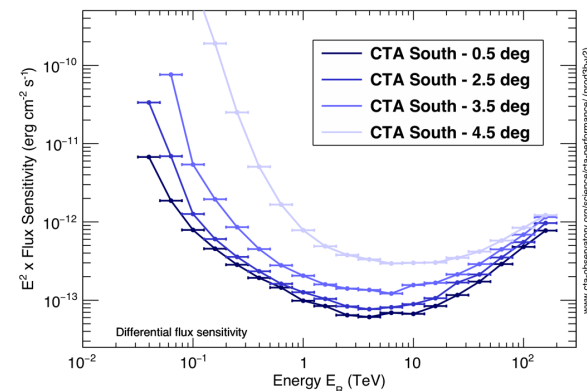
## Energy resolution



## Angular resolution



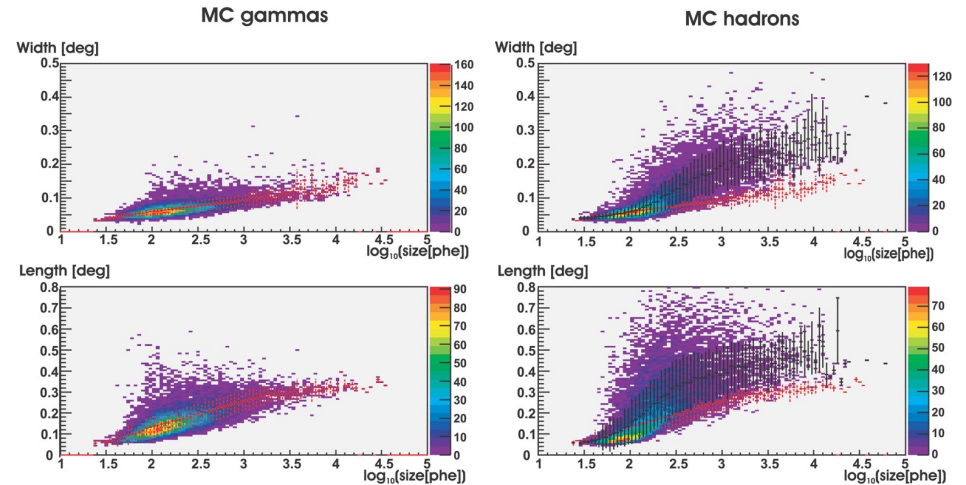
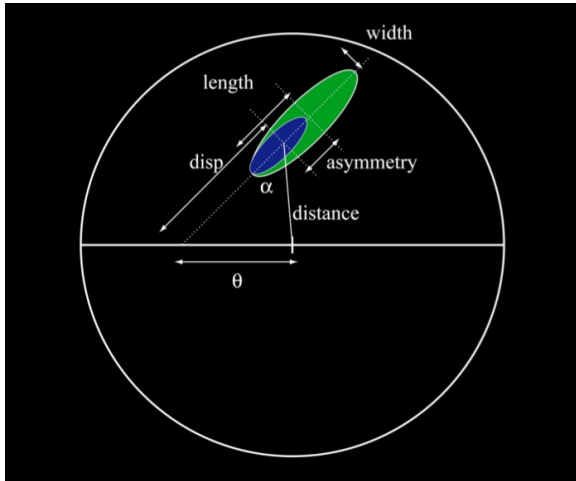
## Flux sensitivity



Credit: [www.cta-observatory.org](http://www.cta-observatory.org)



- Based on image parametrization (Hillas parameters)

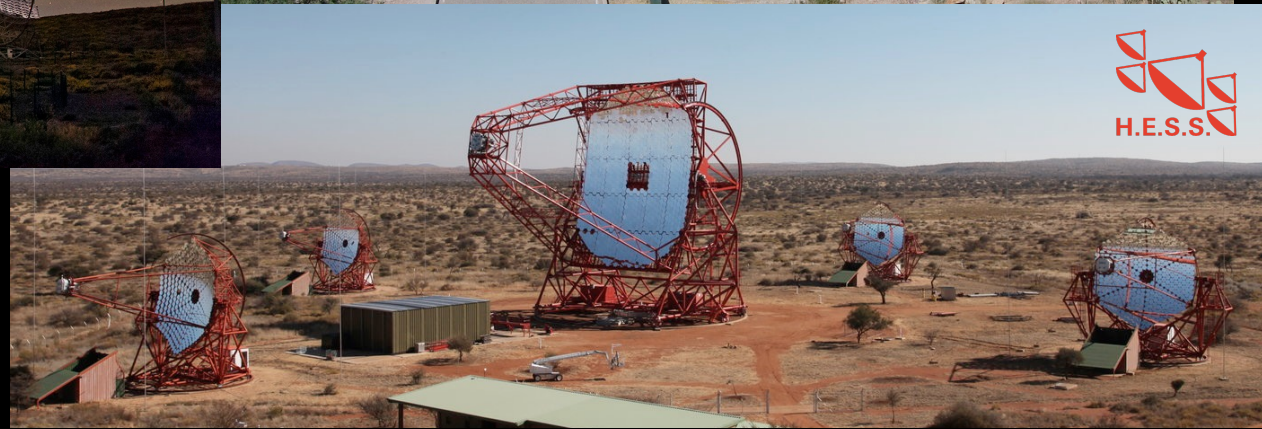


- Event type: box cuts
- Event energy: parametrization
- Event direction: parametrization

$$E = E(\text{size}, \text{distance}, h_{max})$$

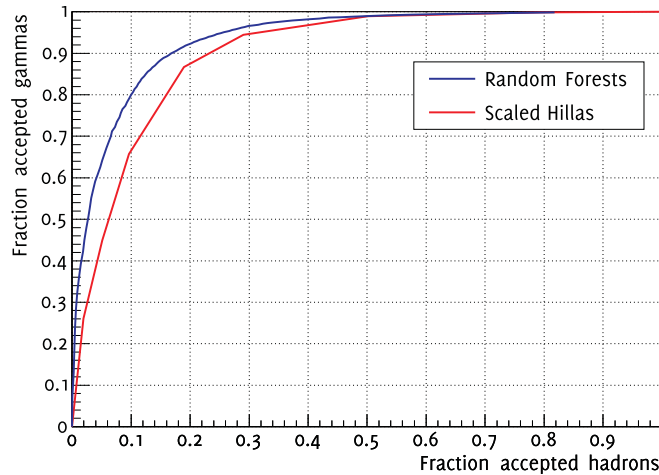
$$DISP = A(\text{SIZE}) + B(\text{SIZE}) \cdot \frac{WIDTH}{LENGTH + \eta(\text{SIZE}) \cdot LEAKAGE2}$$



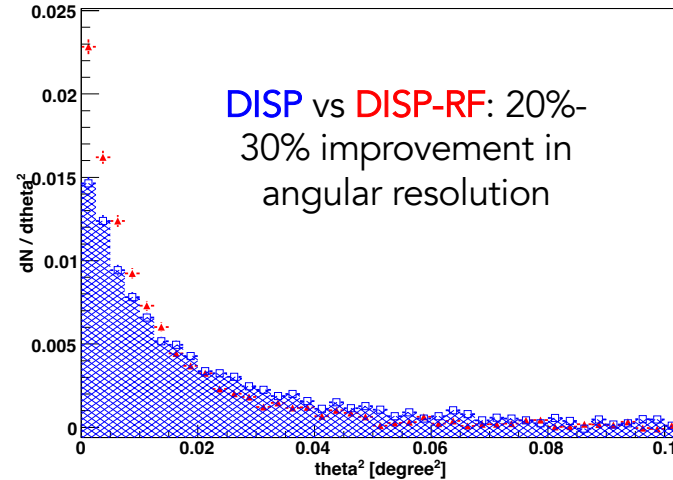




- ML method: Random Forest (RF)
- Applied to: background rejection, arrival direction



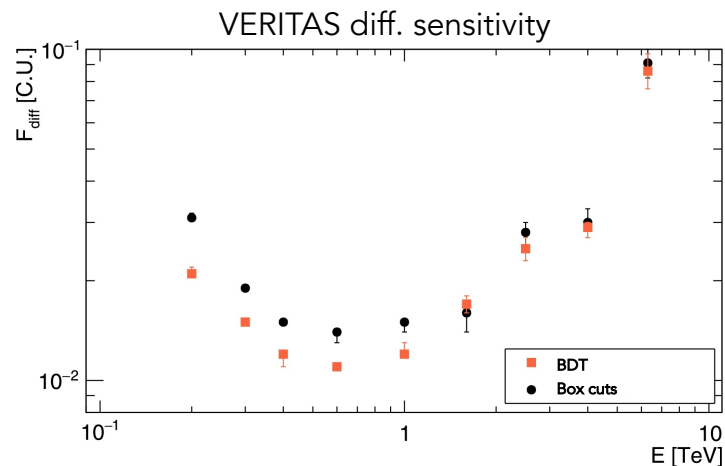
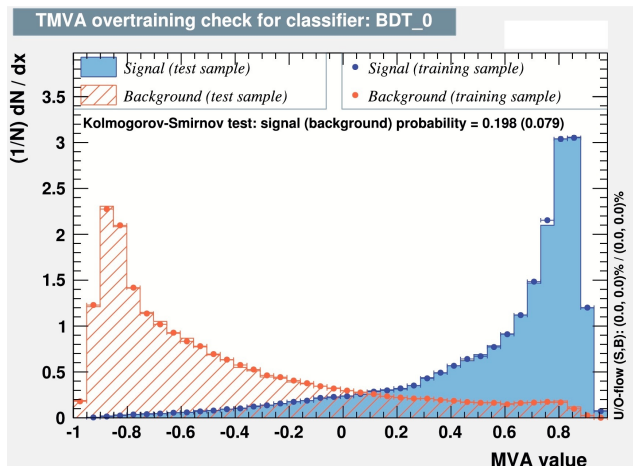
Albert et al., NIM-A 588:424-432 (2008)



Aleksic et al., A&A 524 A77 (2010)



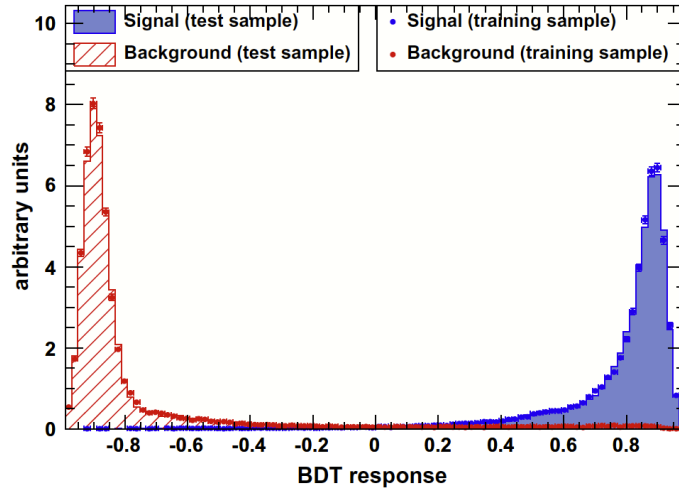
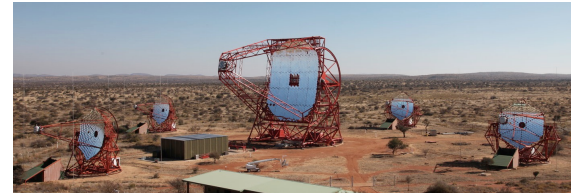
- ML method: Boosted Decision Trees (BDT)
- Applied to: background rejection



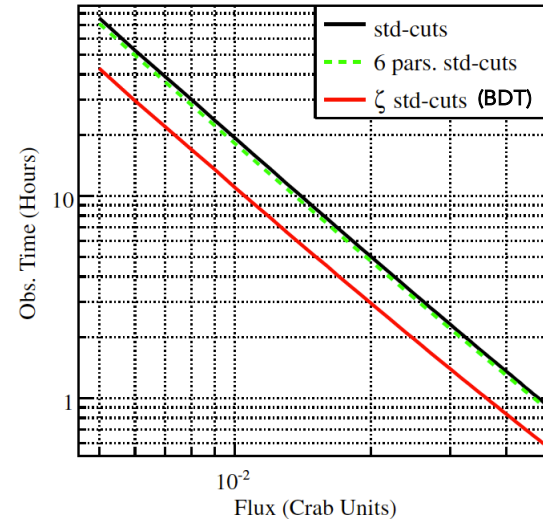
Krause et al., APP V89 P1-9 (2017)



- ML method: Boosted Decision Trees (BDT)
- Applied to: background rejection



Becherini et al., APP V34-12 P858-870 (2011)



Ohm et al., APP V31-5 P383-391 (2009)

(Results for H.E.S.S. I only)



- 5-20 fold better sensitivity w.r.t. current IACTs
- 4 decades of energy coverage: 20 GeV to 300 TeV
- Improved angular and energy resolution
- Two arrays (North/South)



### Low-energy range:

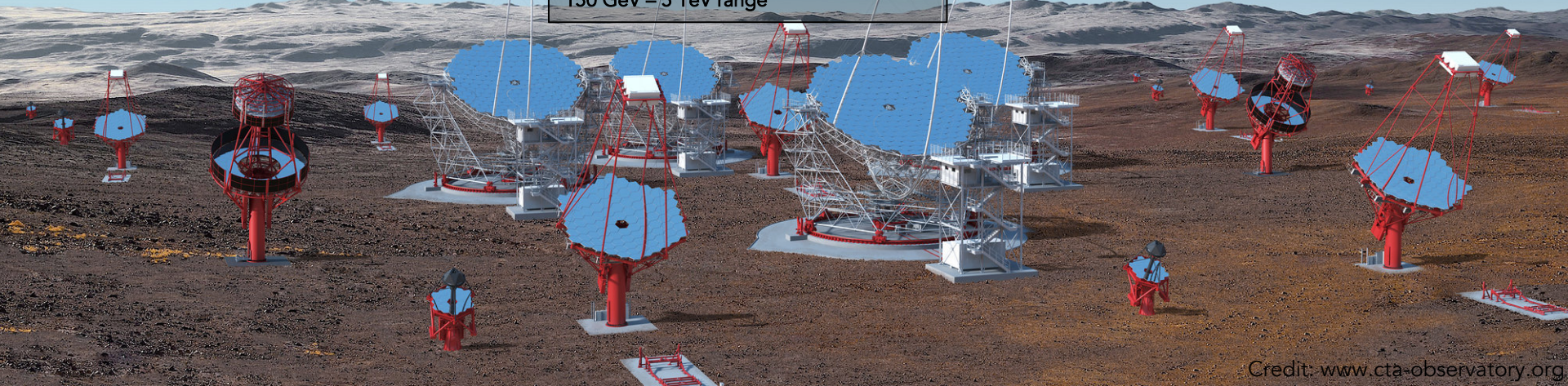
23 m  $\varnothing$   
Parabolic reflector  
4.3° FoV  
Energy threshold 20 GeV

### Mid energy-range:

12 m  $\varnothing$  modified Davies-Cotton reflector  
9.7 m  $\varnothing$  Schwarzschild-Couder reflector  
7.5° FoV  
Full system sensitivity in the  
150 GeV – 5 TeV range

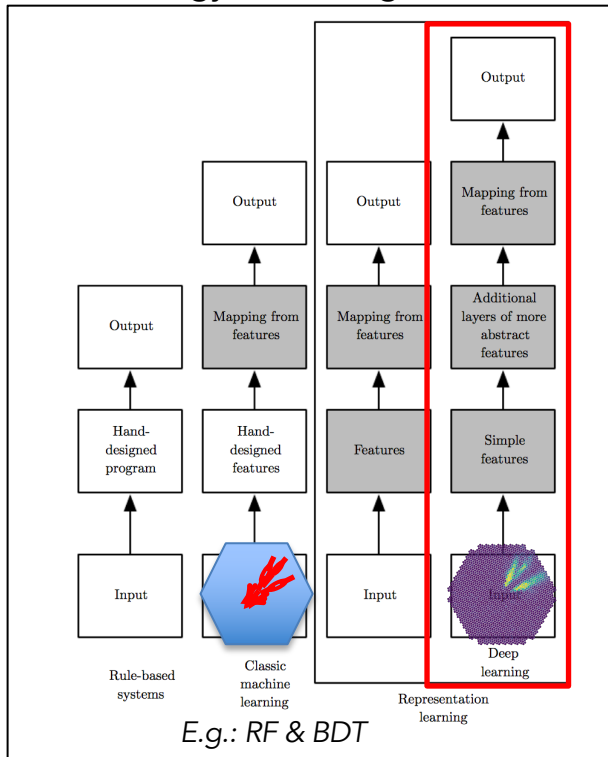
### High-energy range:

4 m  $\varnothing$  Schwarzschild-Couder reflector  
10° FoV  
Several km<sup>2</sup> area at  
multi-TeV energies

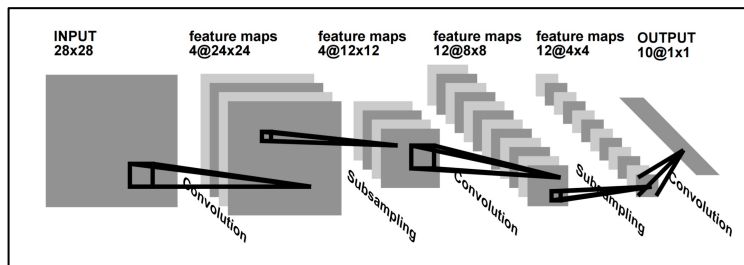


Credit: [www.cta-observatory.org](http://www.cta-observatory.org)

Output: event type,  
energy, incoming direction

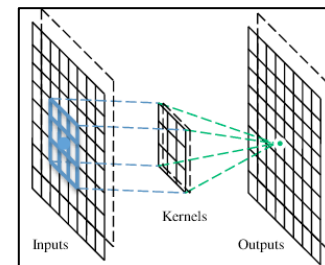


## Convolutional Neural Network (CNN)



LeCunn et al.

## Convolution



Guo et al.

- DL capable of **extracting** and mapping image features automatically with unprecedented classification accuracy. Hyper-active CS research field constantly improving
- Many HEP/Astro experiments already exploring/utilizing the technique (LIGO, LHC, MicroBooNe, NOVA, etc...)

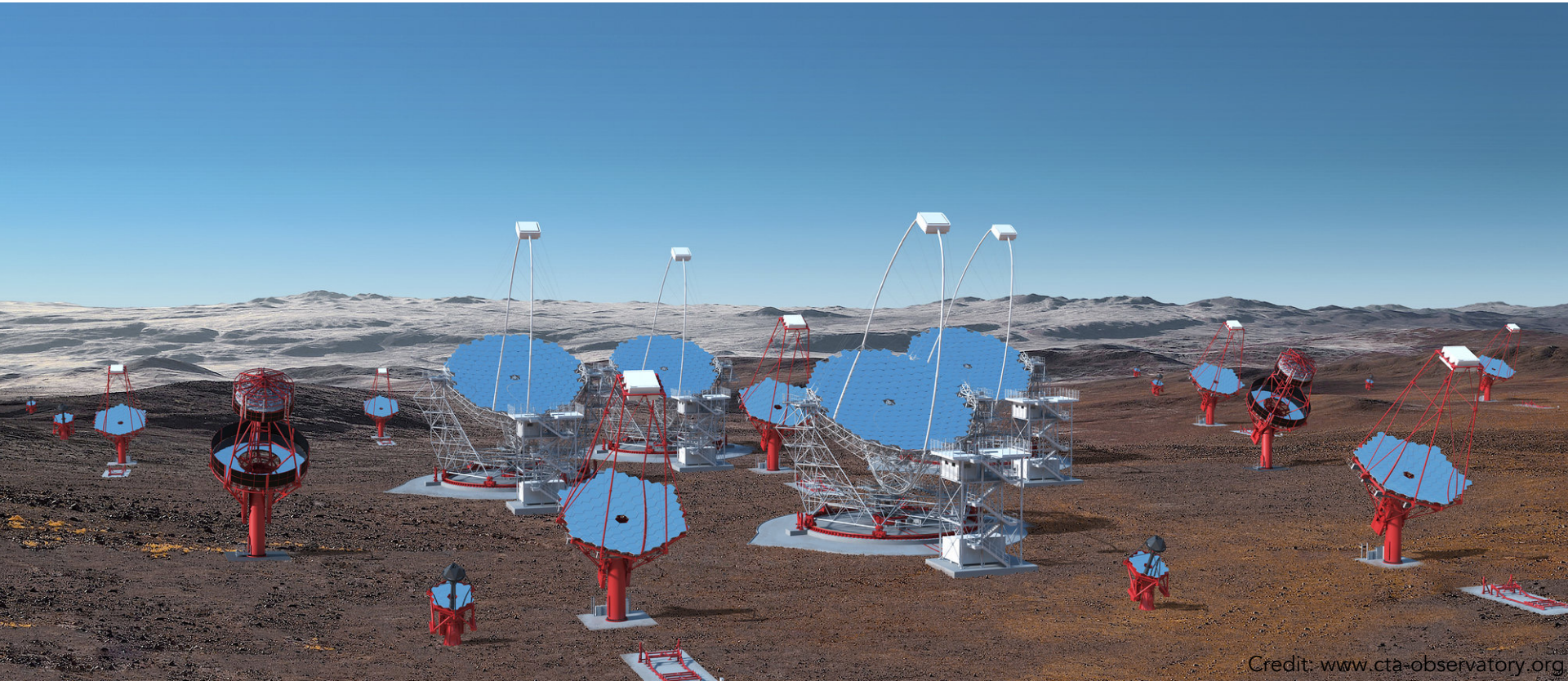
### Method:

- Use deep learning to reconstruct CTA events from non-parameterized images
  - Performance enhancement -> better sensitivity

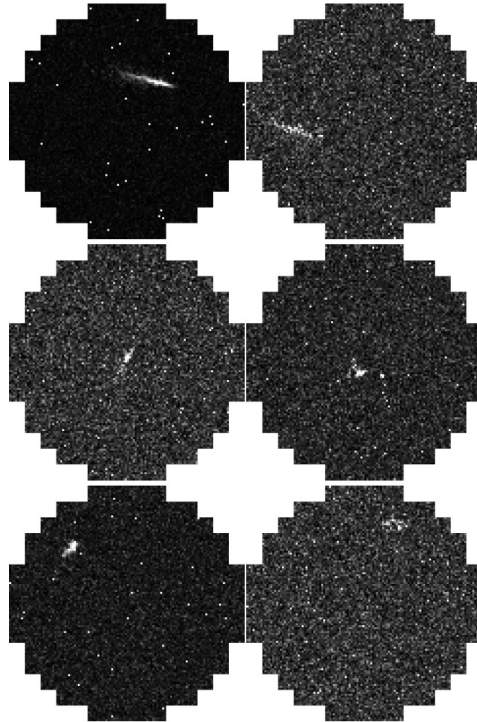
### But there are risk...

- MC reliability (e.g. network selecting some features from your MC not present in real data)





Credit: [www.cta-observatory.org](http://www.cta-observatory.org)



- Single telescope
- Square pixels
- Only signal charge (no timing)
- Single task: classification

- Three energy bins:

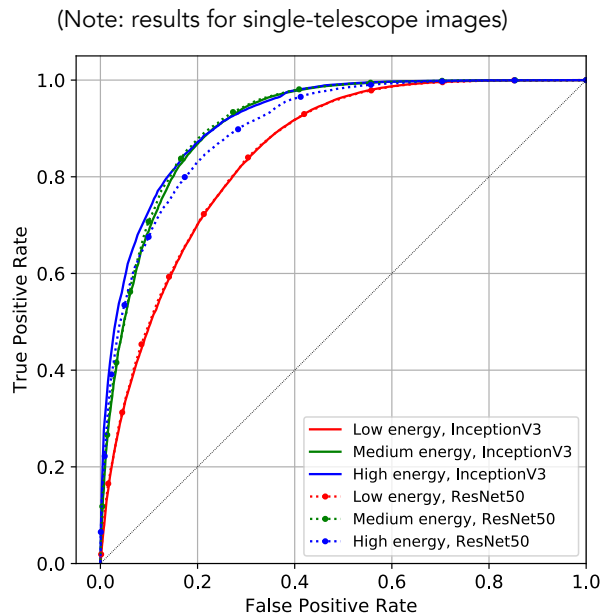
Bin	$E_{min}$ [TeV]	$E_{max}$ [TeV]	$N_{gamma}$	$N_{proton}$
Total			4160578	6518742
Low Energy	0.1	0.31	727316	499909
Medium Energy	0.31	1	657397	245912
High Energy	1	10	642034	147012

- Sanity cuts prior to BDT training:

Cut  
 $0 \leq \sqrt{MCxoff^2 + MCyoff^2} \leq 3$   
 $-2 < MSCW < 2$   
 $-2 < MSCL < 5$   
 $EChi2S \geq 0$   
 $ERecS > 0$   
 $0 < EmissionHeight < 50$   
 $dES \geq 0$



- Classification happened!

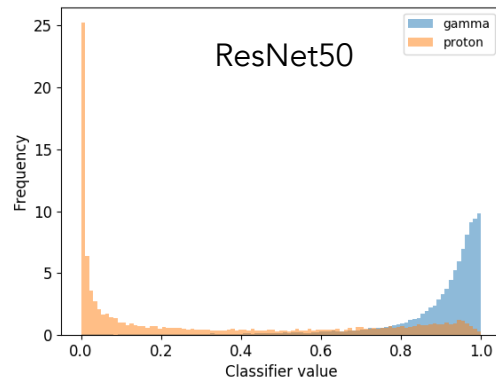
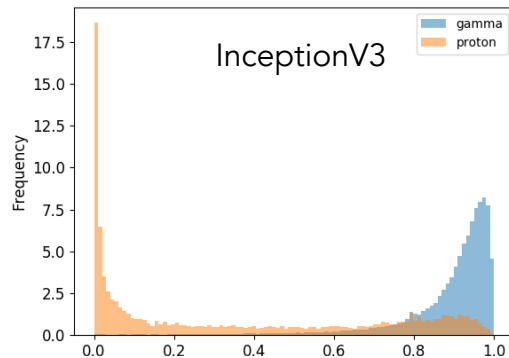


Area Under the Curve

Model/Energy	Low E.	Med. E.	High E.
InceptionV3	84.7%	91.1%	92.0%
ResNet50	84.8%	91.4%	90.2%

100% -> perfect classification  
50% -> random classification

Medium energies  
(0.3 TeV < E < 1 TeV)



- High-level Python package for using deep learning for IACT event reconstruction
- Configuration-file-based workflow and installation with conda drive reproducible training and prediction
- Supports any TensorFlow model that obeys a generic signature
- Open source on GitHub:

<https://github.com/ctlearn-project/ctlearn>

<https://pos.sissa.it/358/752>

DOI [10.5281/zenodo.3345947](https://doi.org/10.5281/zenodo.3345947)

(Latest release: **CTLearn v0.5.2**, 02/02/22)



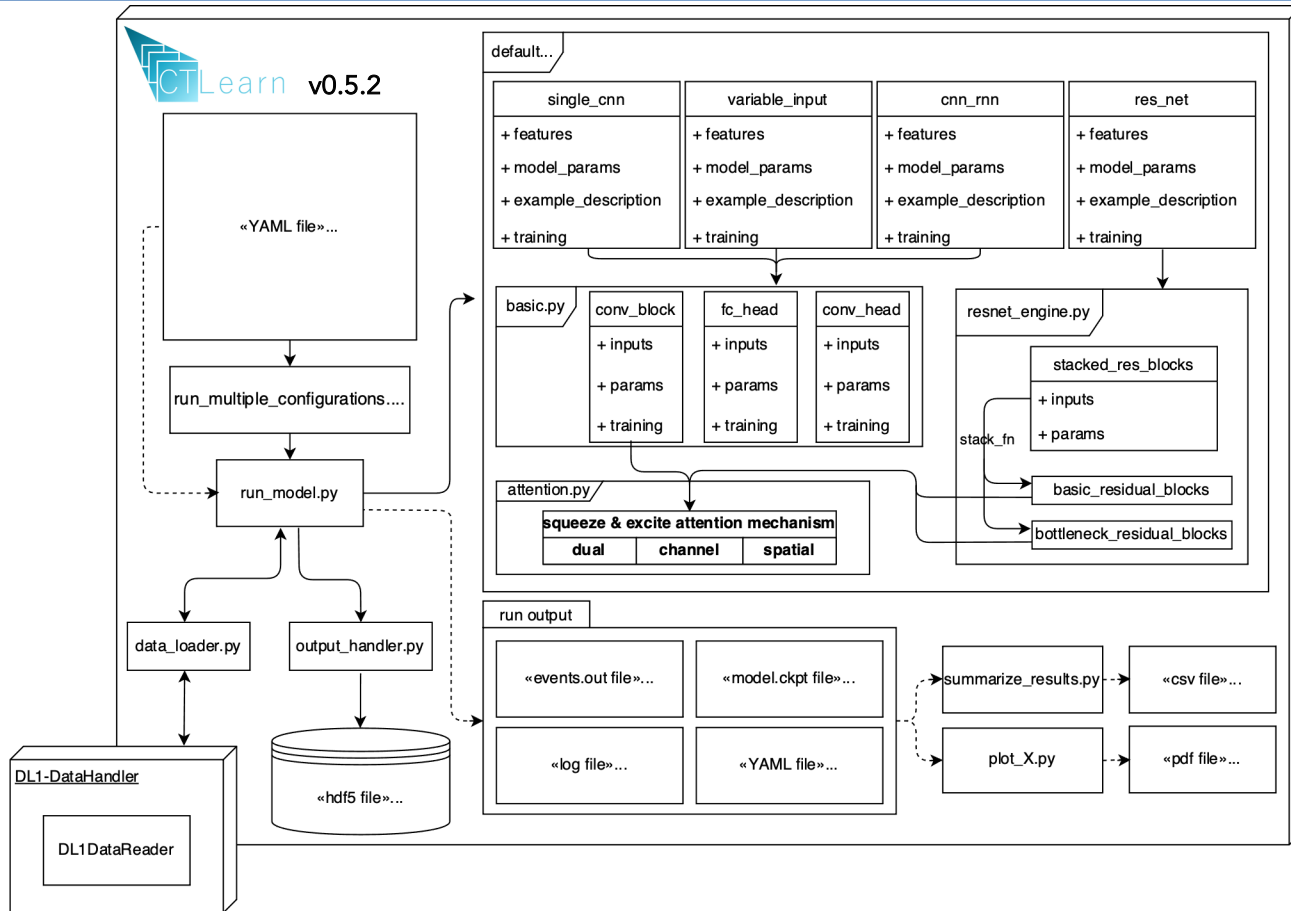
#### Core developers

Tjark Miener, DN (IPARCOS-UCM)

Ari Brill, Qi Feng (Columbia)

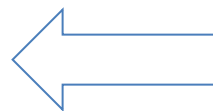
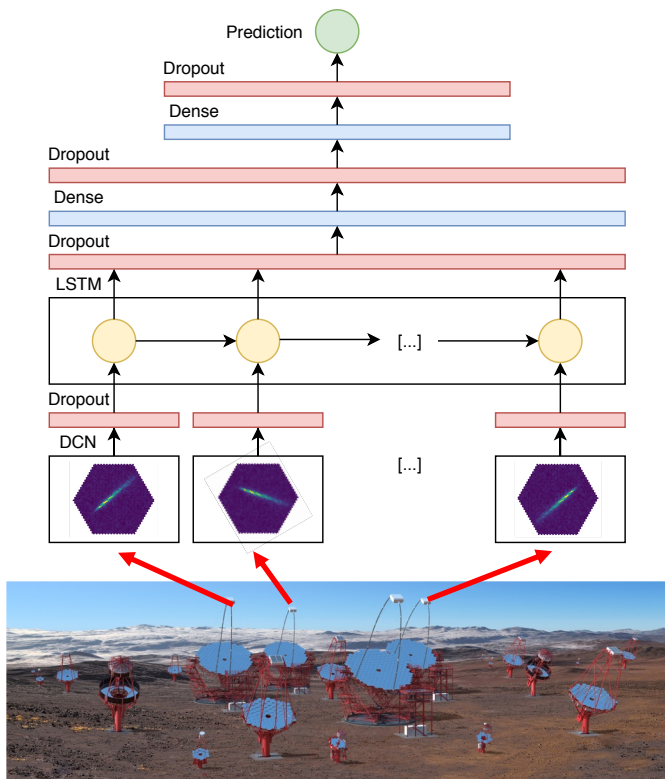
Bryan Kim (UCLA, now at Stanford)

(See contributors [here](#))

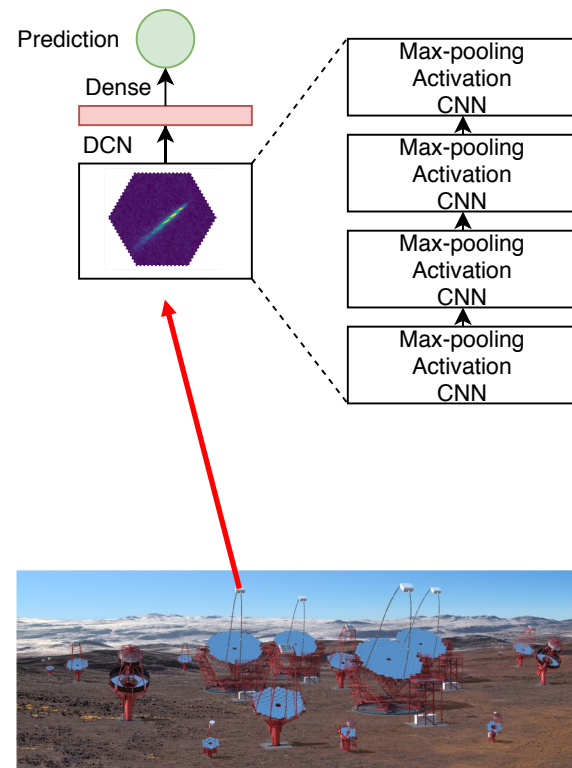




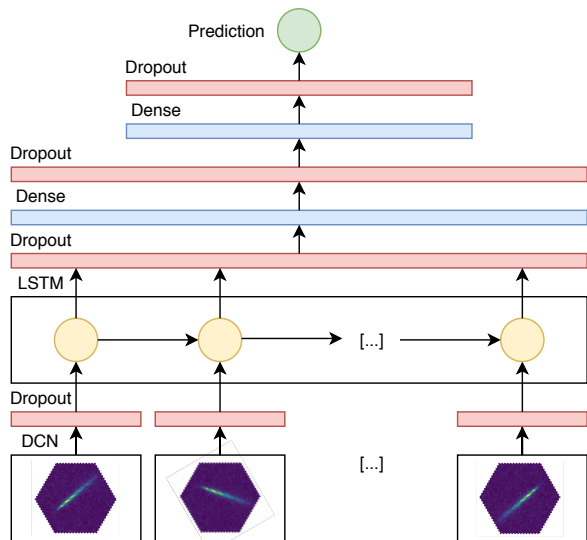
## CNN-RNN model



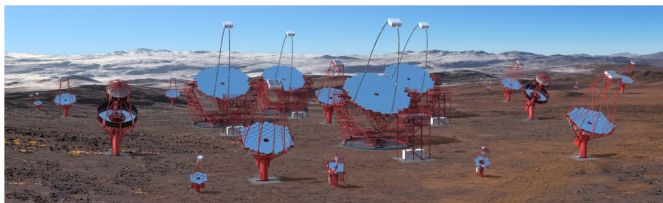
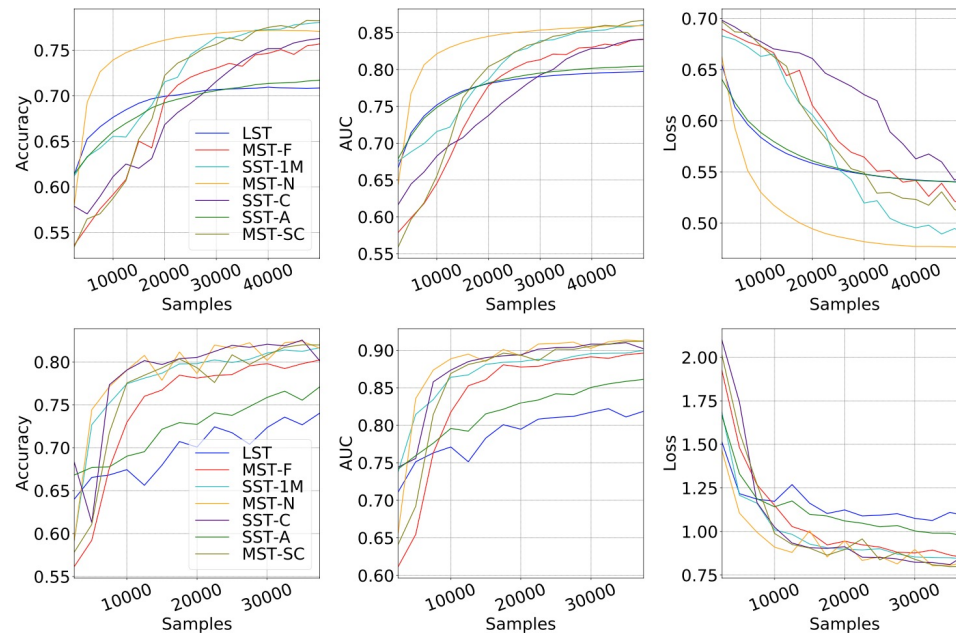
## Single-tel model



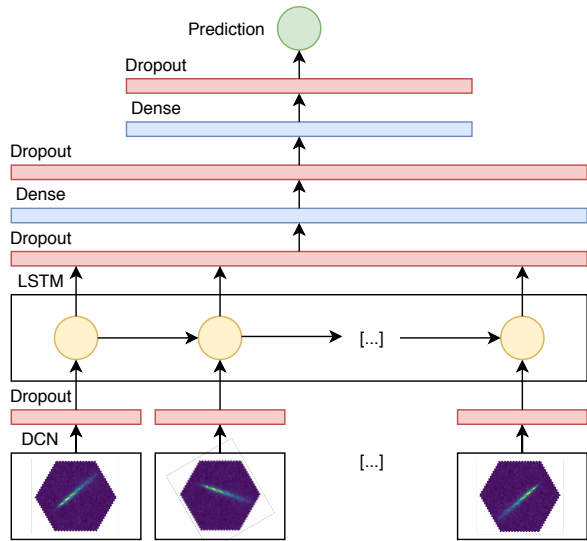
## CNN-RNN model



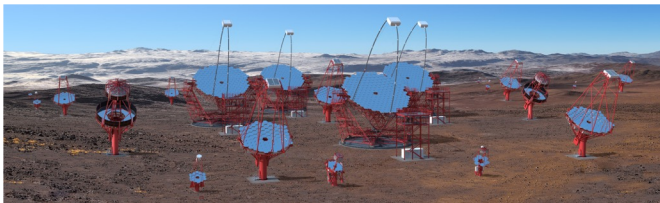
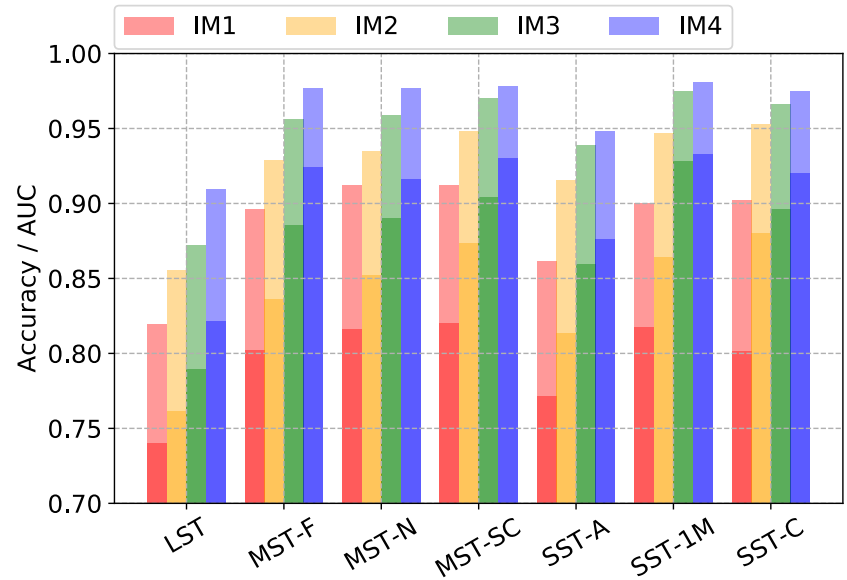
## Gamma/hadron classification



## CNN-RNN model

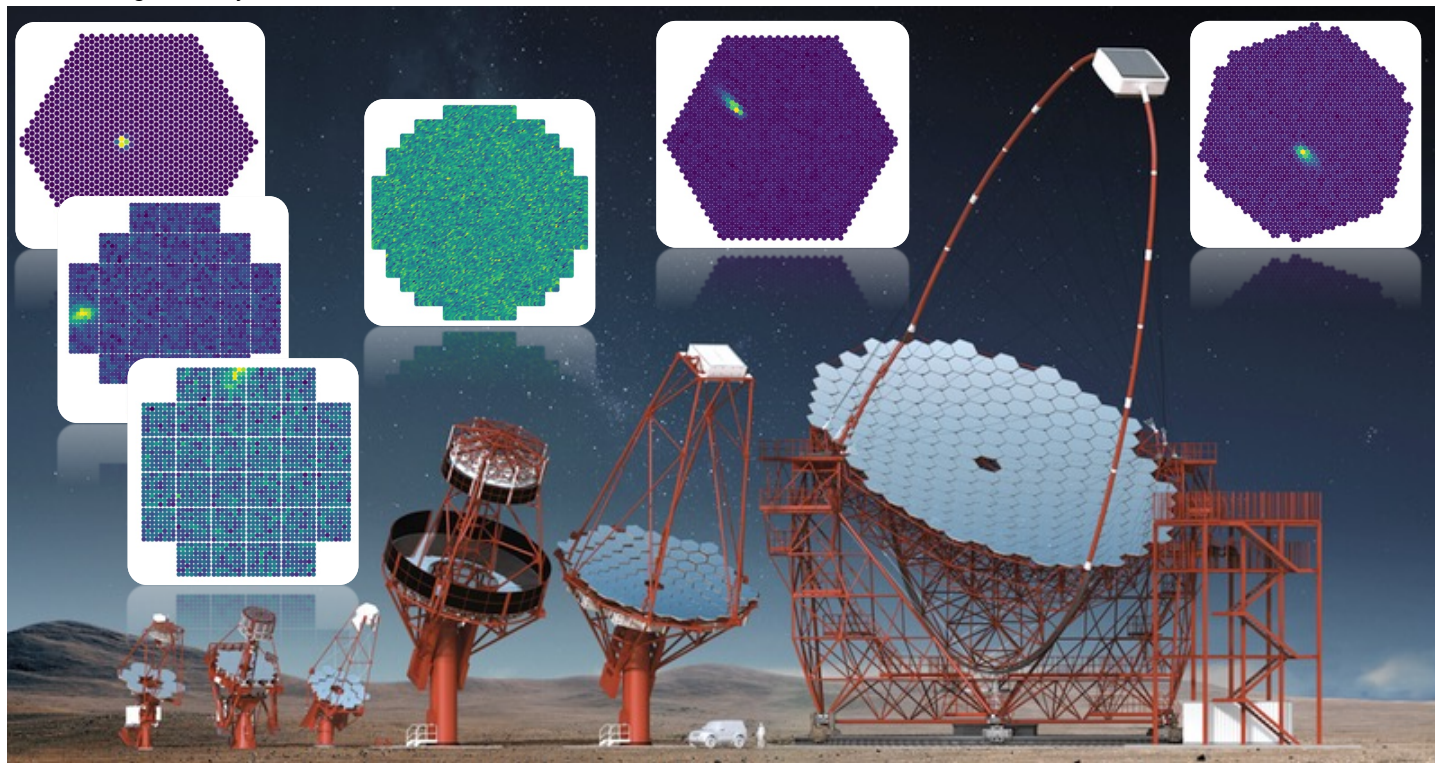


## Gamma/hadron classification



- Heterogeneity of instruments:

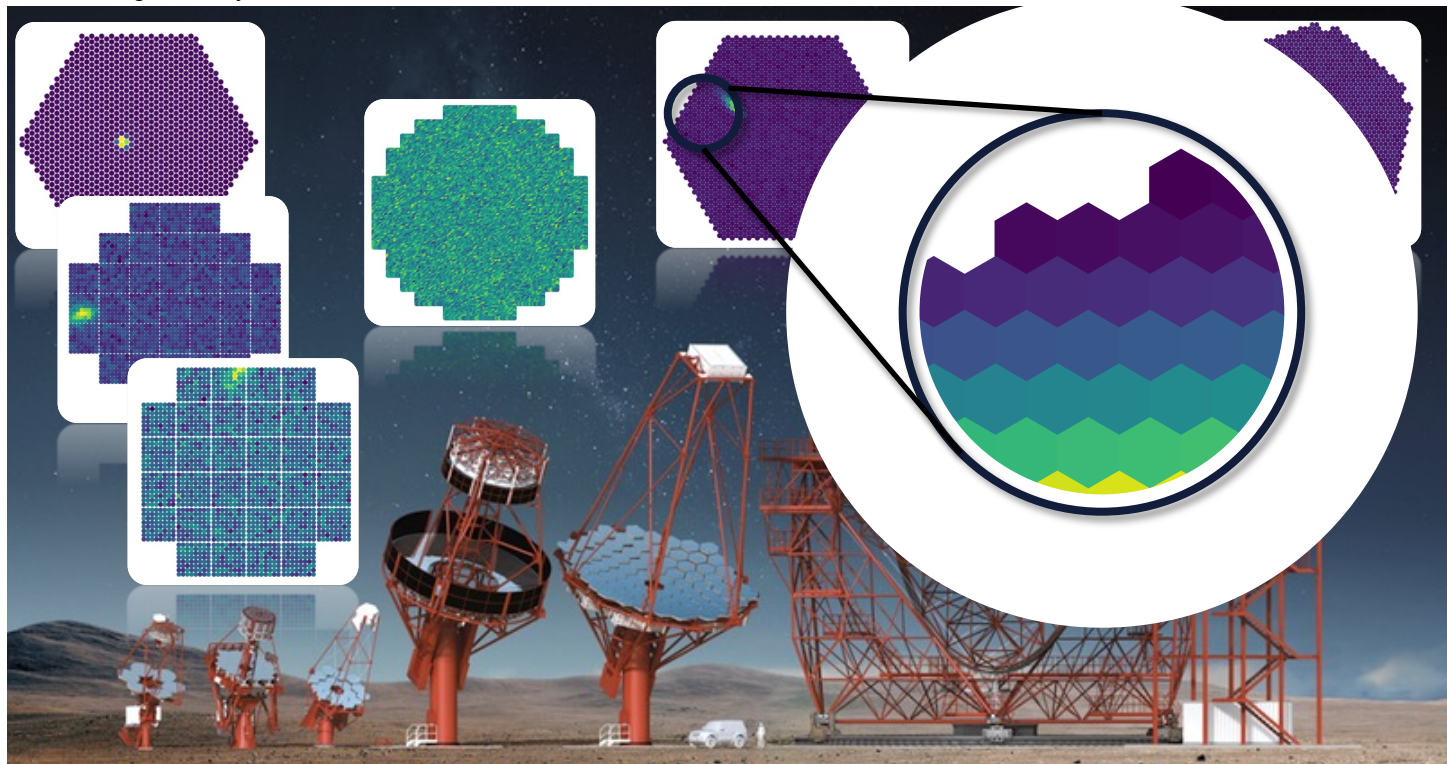
Camera images courtesy of T. Vuillaume





- Heterogeneity of instruments:

Camera images courtesy of T. Vuillaume



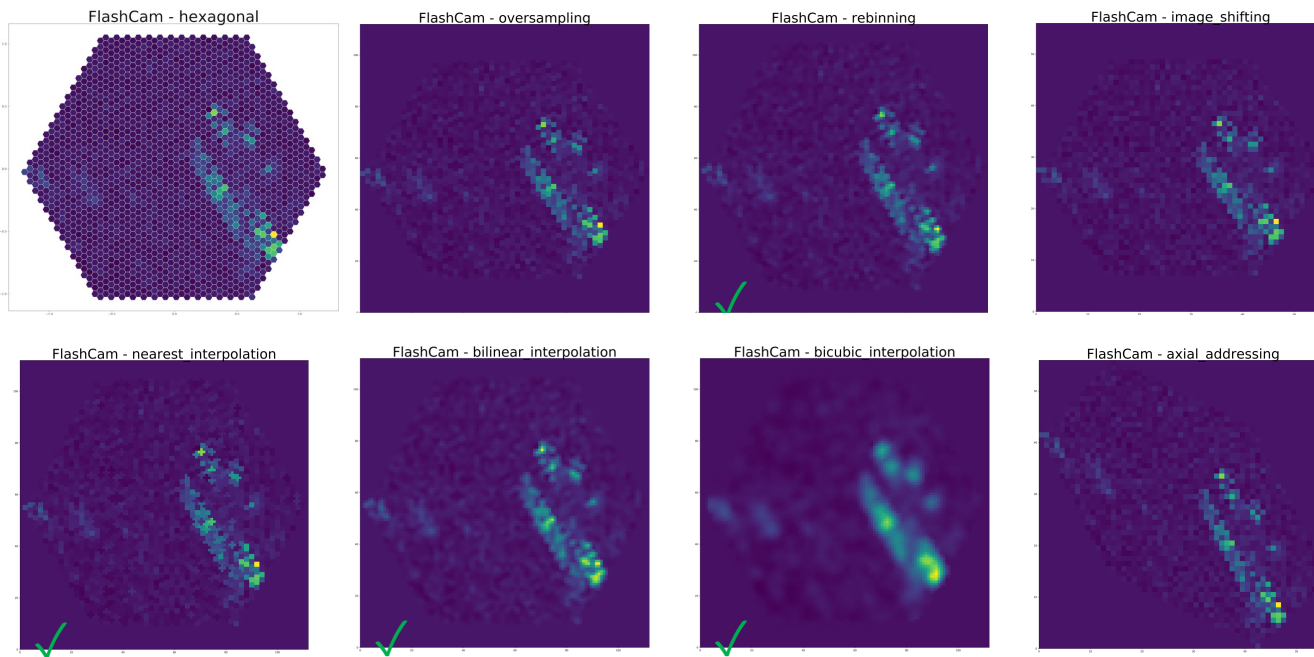
- Image mapping (preprocessing)



A. Brill, B. Kim, Q. Feng  
D. Nieto, T. Miener,  
et al.



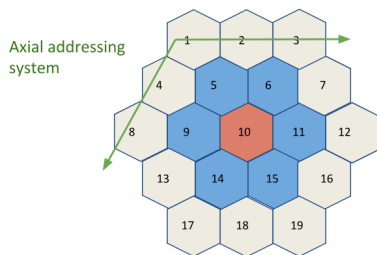
<https://github.com/ctlearn-project/>



✓ Angles and distances preserved

- Hexagonal convolution

- Convolution



Convolution kernel

Index matrix

1	2	3		
4	5	6	7	
8	9	10	11	12
	13	14	15	16
		17	18	19

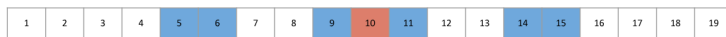
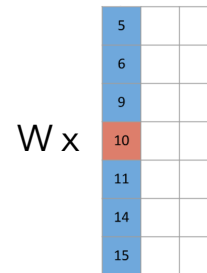


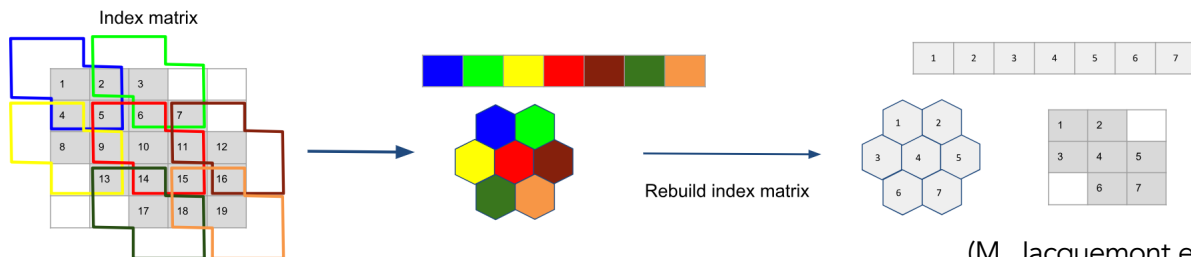
Image stored as a vector

T. Vuillaume,  
M. Jaquemont, et al.

<https://github.com/IndexedConv>

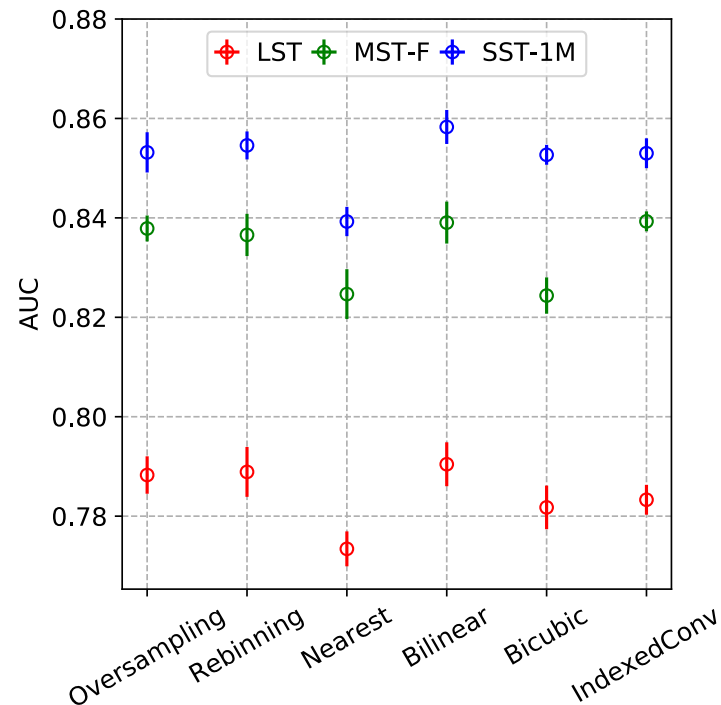
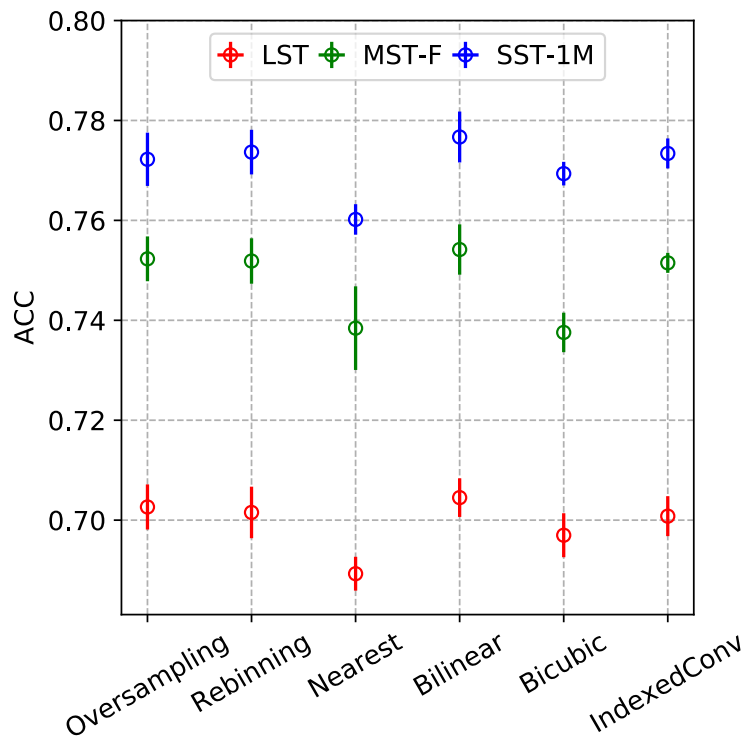


- Pooling

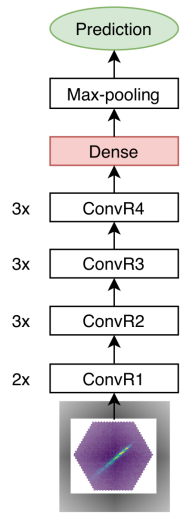


(M. Jacquemont et al. 2019)

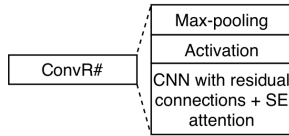
- Comparison of methods for classification task



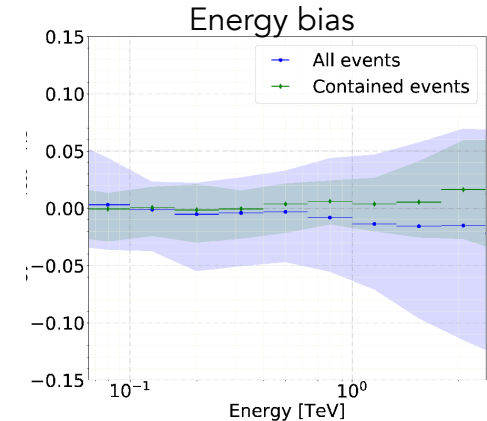
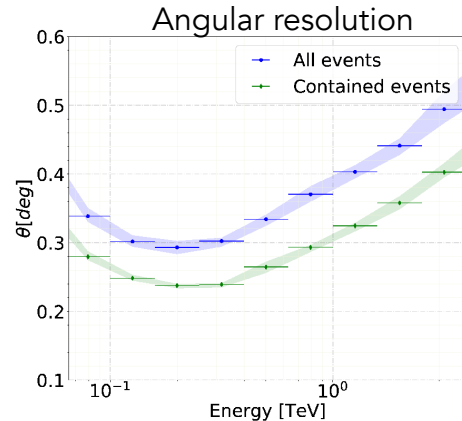
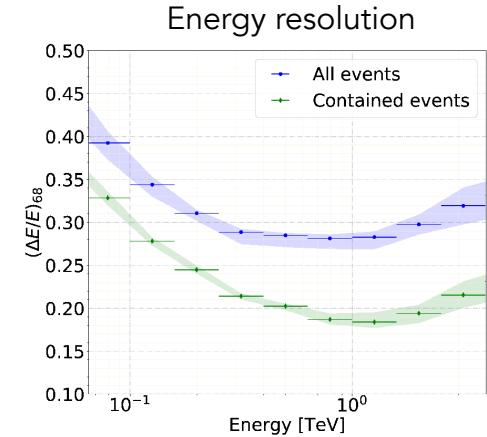
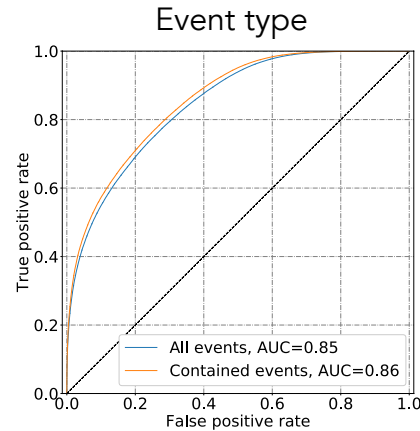
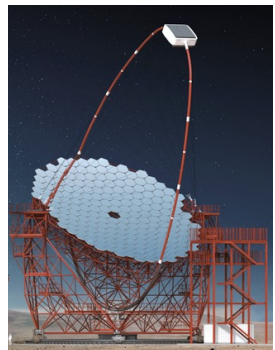




## Thin-ResNet model



Full-event reconstruction for single-telescope data achieved!

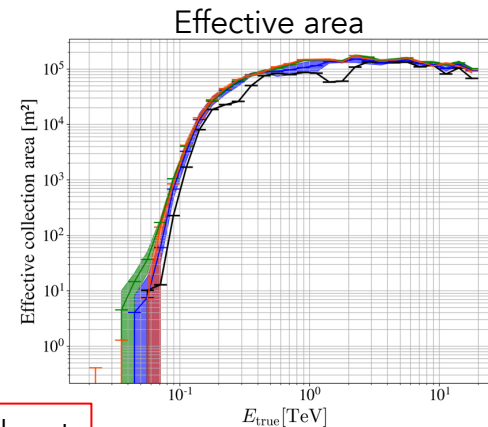
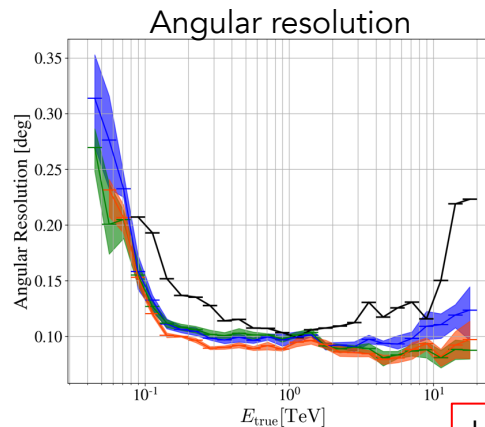


[D. Nieto et al. ADASS XXX 2020](#)

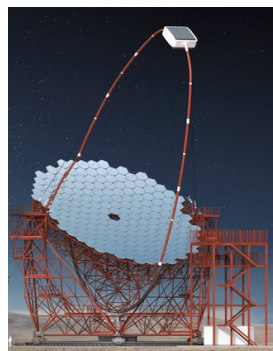
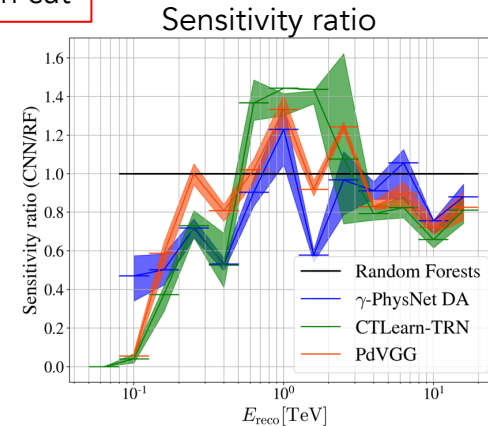
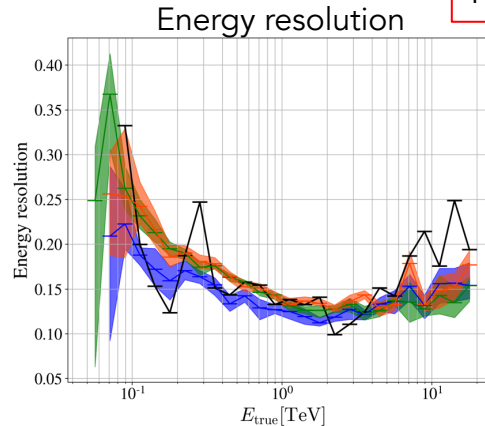


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- Crosschecking three different implementations
- Same datasets, same cuts
- Different models
- Comparison against standard analysis (RF)



High cut

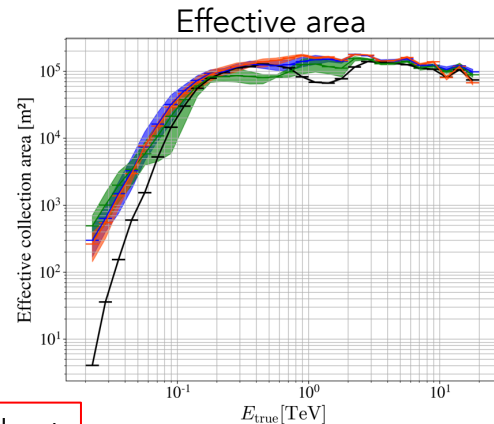
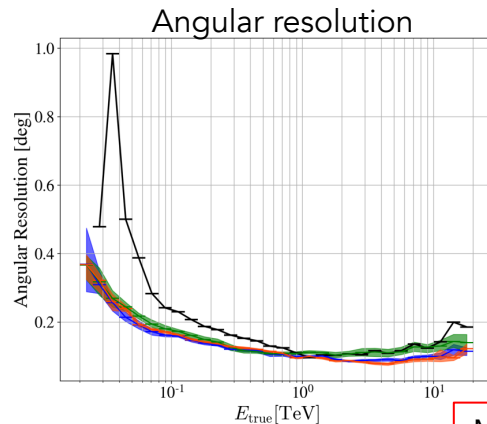


[P. Grespan et al. PoS\(ICRC2021\) 771](#)

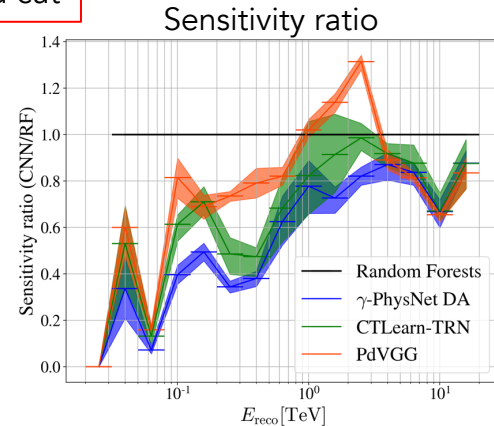
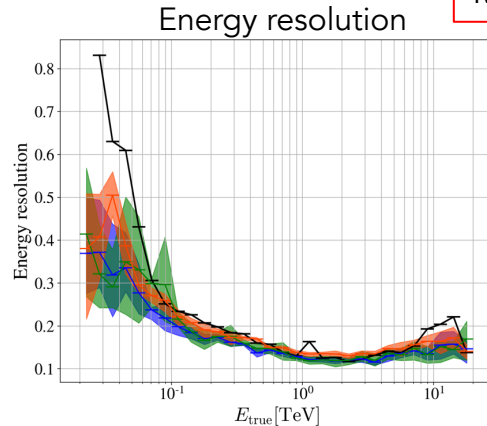


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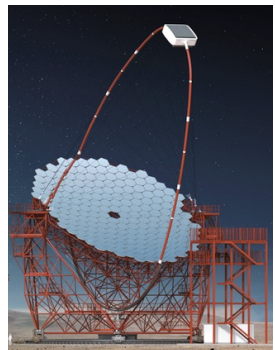
- Crosschecking three different implementations
- Same datasets, same cuts
- Different models
- Comparison against standard analysis (RF)



Mid cut



[P. Grespan et al. PoS\(ICRC2021\) 771](#)

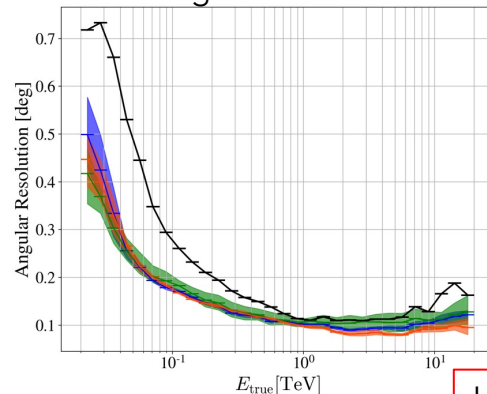




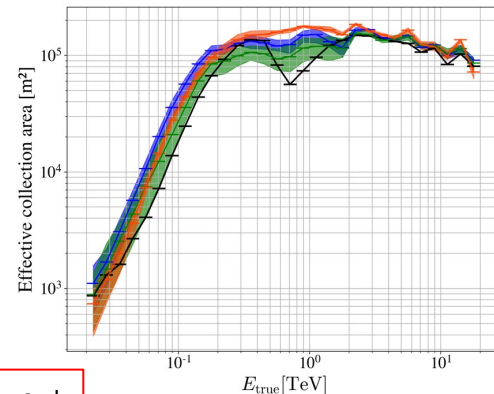
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DI PADOVA

- Crosschecking three different implementations
- Same datasets, same cuts
- Different models
- Comparison against standard analysis (RF)

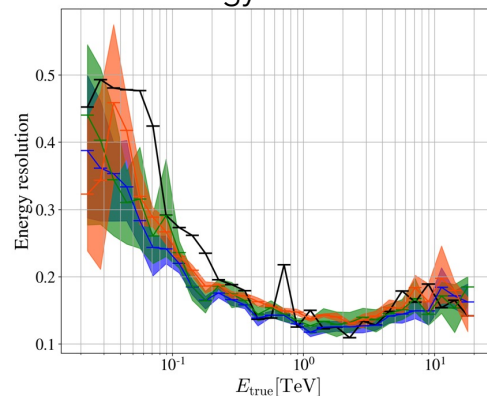
### Angular resolution



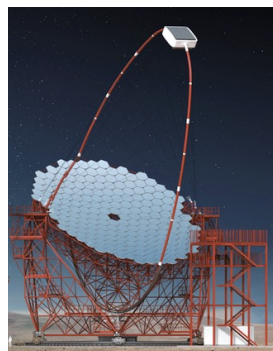
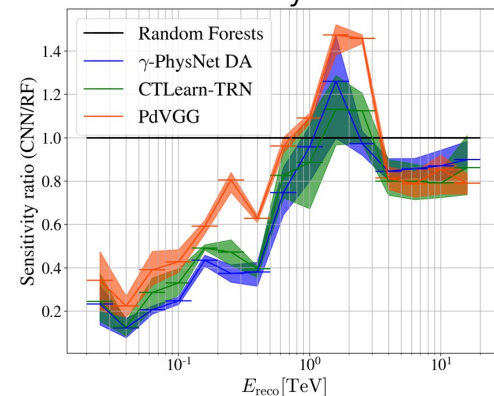
### Effective area



### Energy resolution



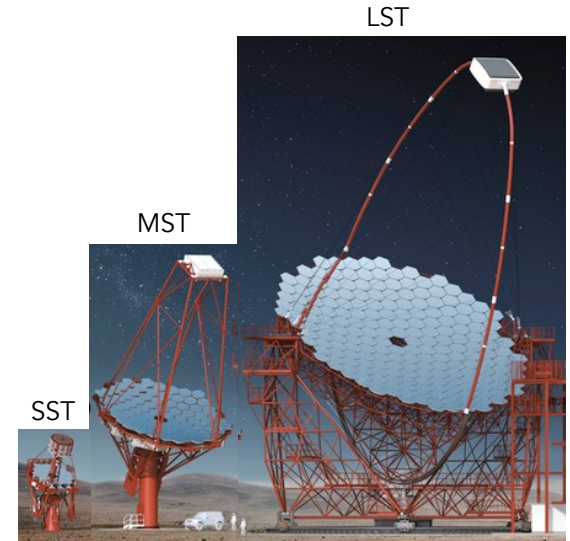
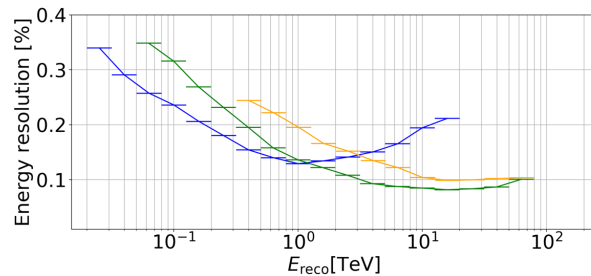
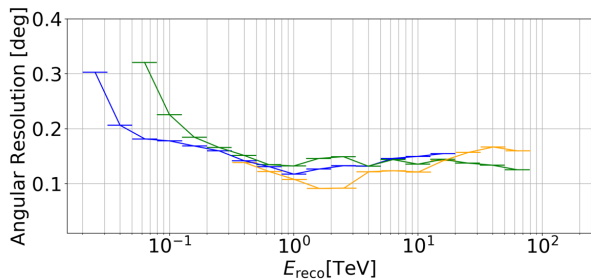
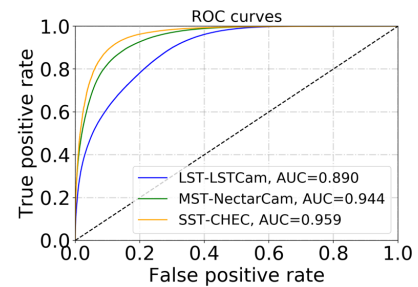
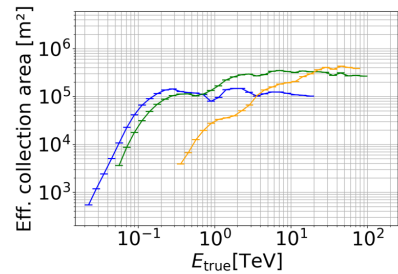
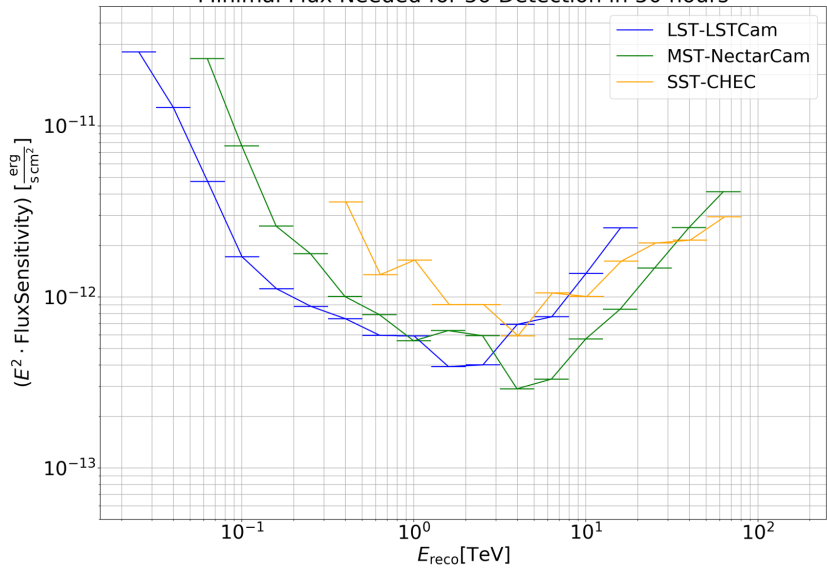
### Sensitivity ratio

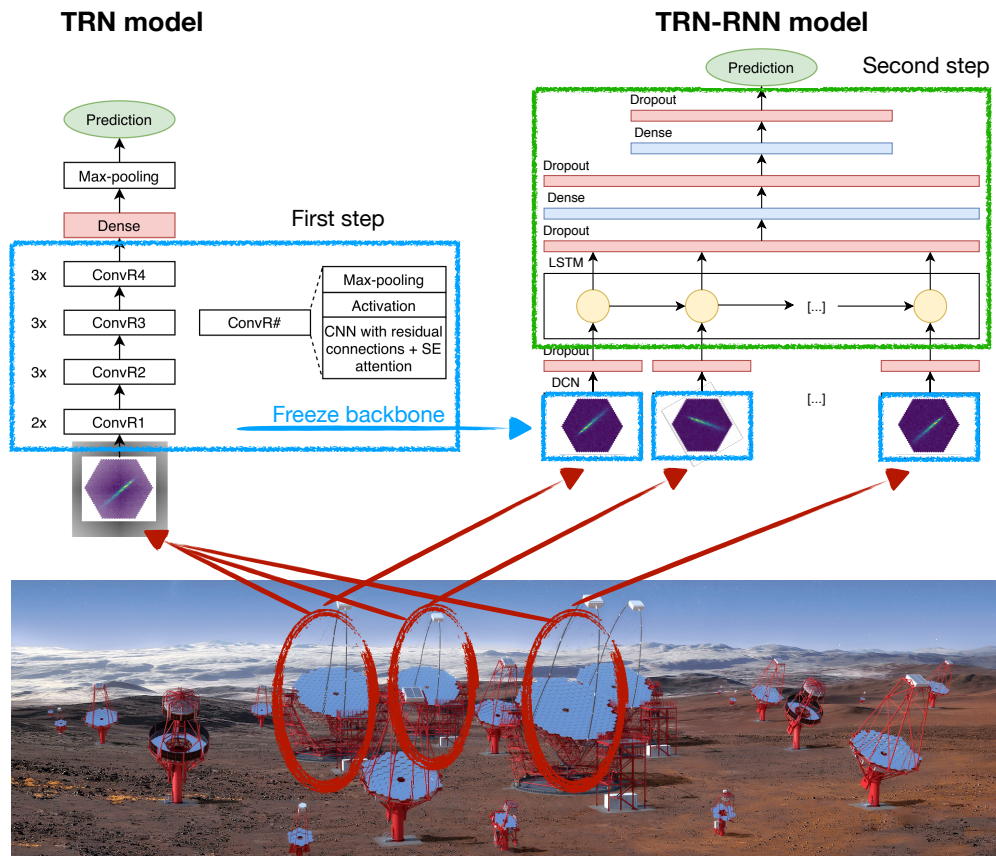


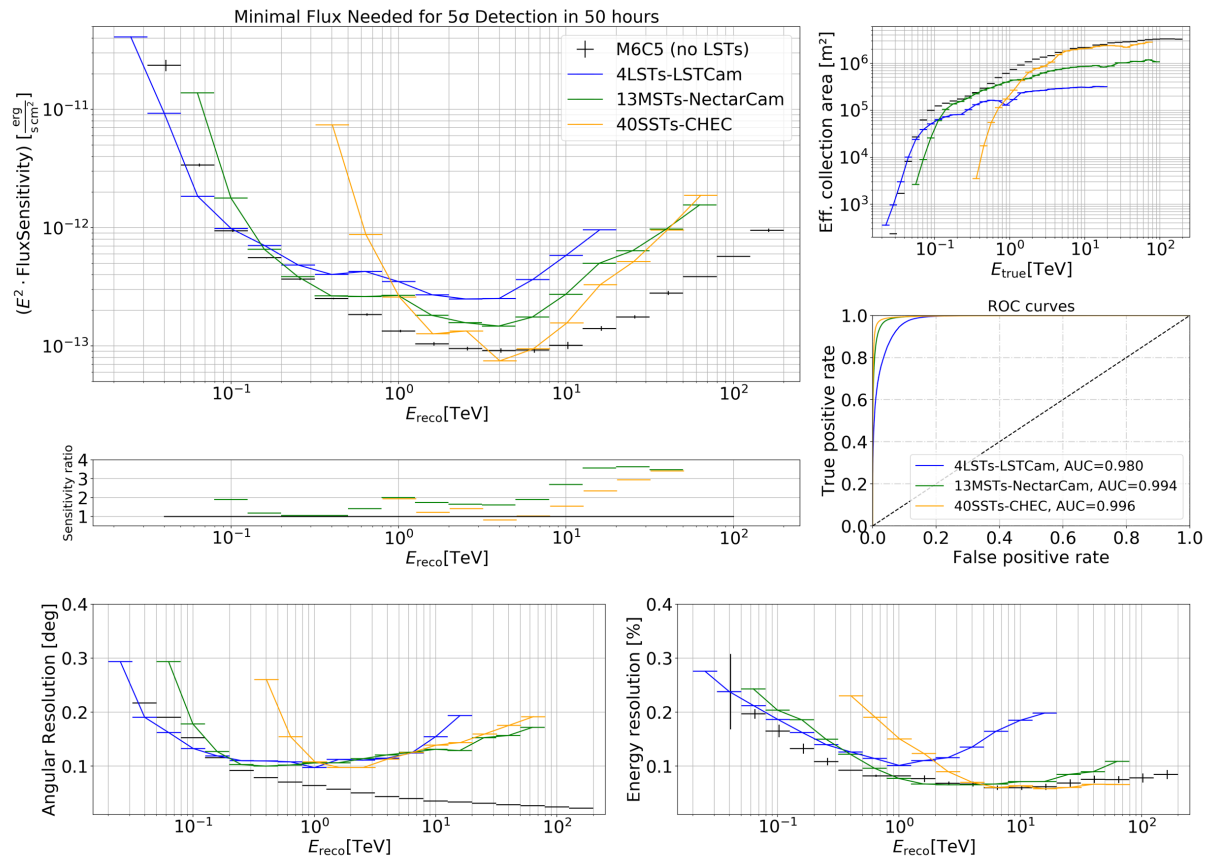
[P. Grespan et al. PoS\(ICRC2021\) 771](#)

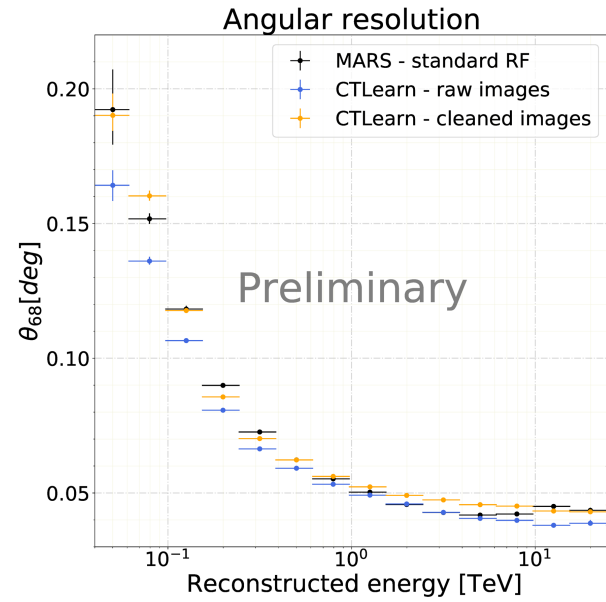
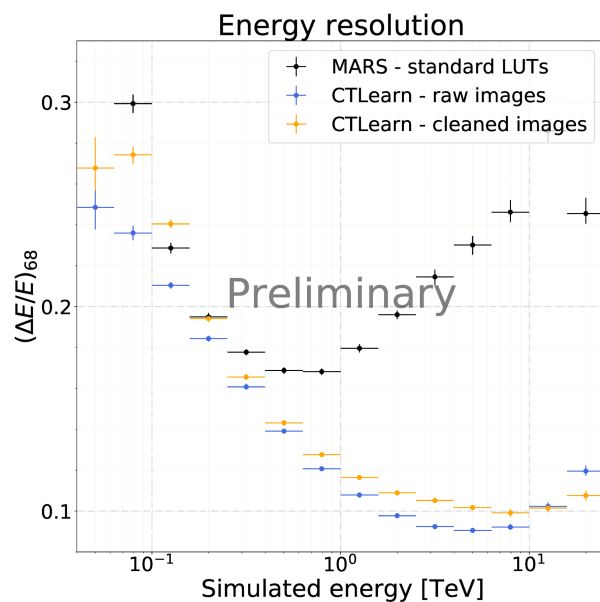
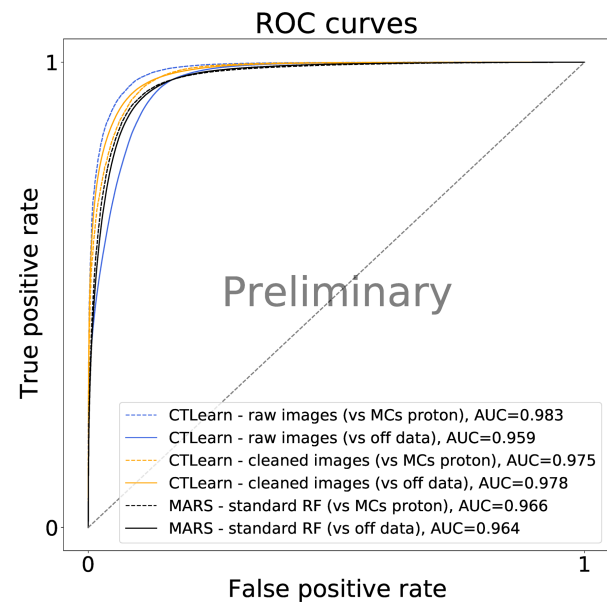
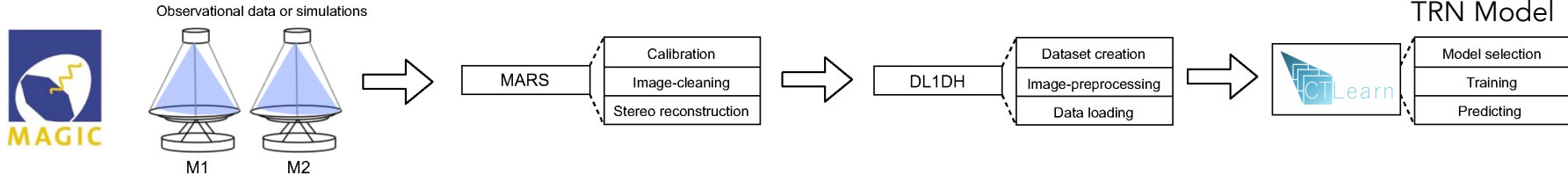


Minimal Flux Needed for  $5\sigma$  Detection in 50 hours







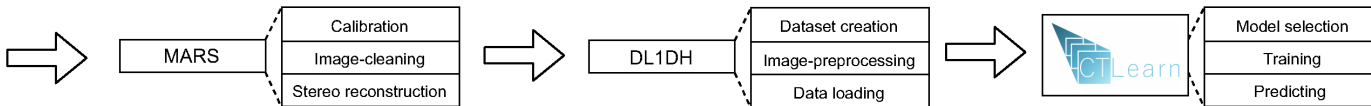
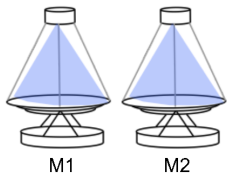


[T. Miener et al. 2021 \(ADASS XXXI\)](#)

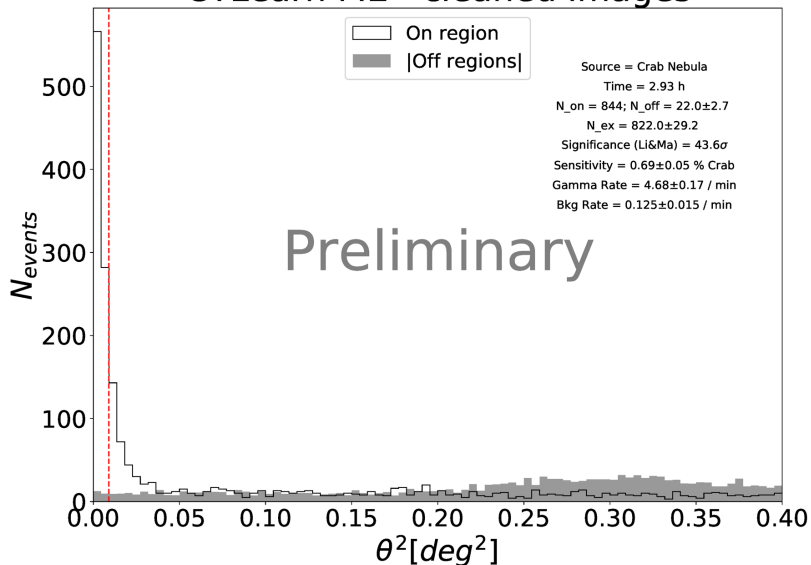




Observational data or simulations



## CTLearn ME - cleaned images



Analysis	$\gamma$ rate [/min]	bkg rate [/min]	Sen. [% Crab]	Sig. (Li&Ma)
MARS – ME	4.54 ± 0.16	0.119 ± 0.015	0.70 ± 0.05	43.0σ
CTLearn – ME (raw)	3.45 ± 0.14	0.133 ± 0.018	0.97 ± 0.08	36.5σ
<b>CTLearn – ME (cleaned)</b>	<b>4.68 ± 0.17</b>	<b>0.125 ± 0.015</b>	<b>0.69 ± 0.05</b>	<b>43.6σ</b>
MARS – LE	16.49 ± 0.35	3.861 ± 0.086	1.09 ± 0.03	61.1σ
CTLearn – LE (raw)	11.70 ± 0.32	3.832 ± 0.114	1.53 ± 0.05	47.5σ
CTLearn – LE (cleaned)	16.24 ± 0.35	3.872 ± 0.086	1.11 ± 0.03	60.4σ

Analysis	N <sub>on</sub>	N <sub>off</sub>	N <sub>ex</sub>
MARS – ME	819	21.0 ± 2.6	798.0 ± 28.7
CTLearn – ME (raw)	629	23.3 ± 3.1	605.7 ± 25.3
CTLearn – ME (cleaned)	844	22.0 ± 2.7	822.0 ± 29.2
MARS – LE	3579	679.0 ± 15.0	2900.0 ± 61.7
CTLearn – LE (raw)	2730	673.7 ± 20.0	2056.3 ± 56.0
CTLearn – LE (cleaned)	3536	680.7 ± 15.1	2855.3 ± 61.3

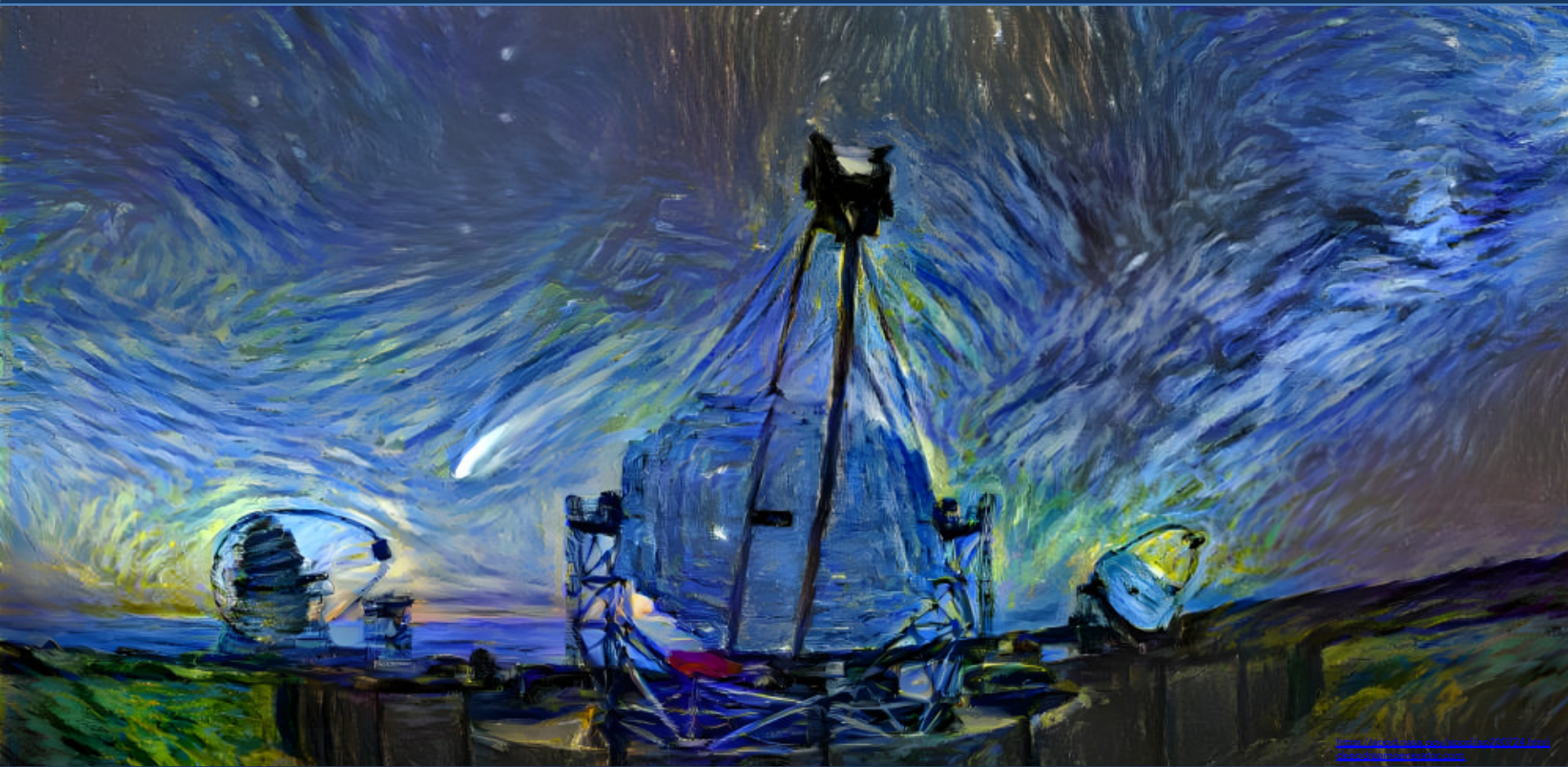
Summary of all performed analyses of the same Crab Nebula sample

[T. Miener et al. 2021 \(ADASS XXXI\)](#)



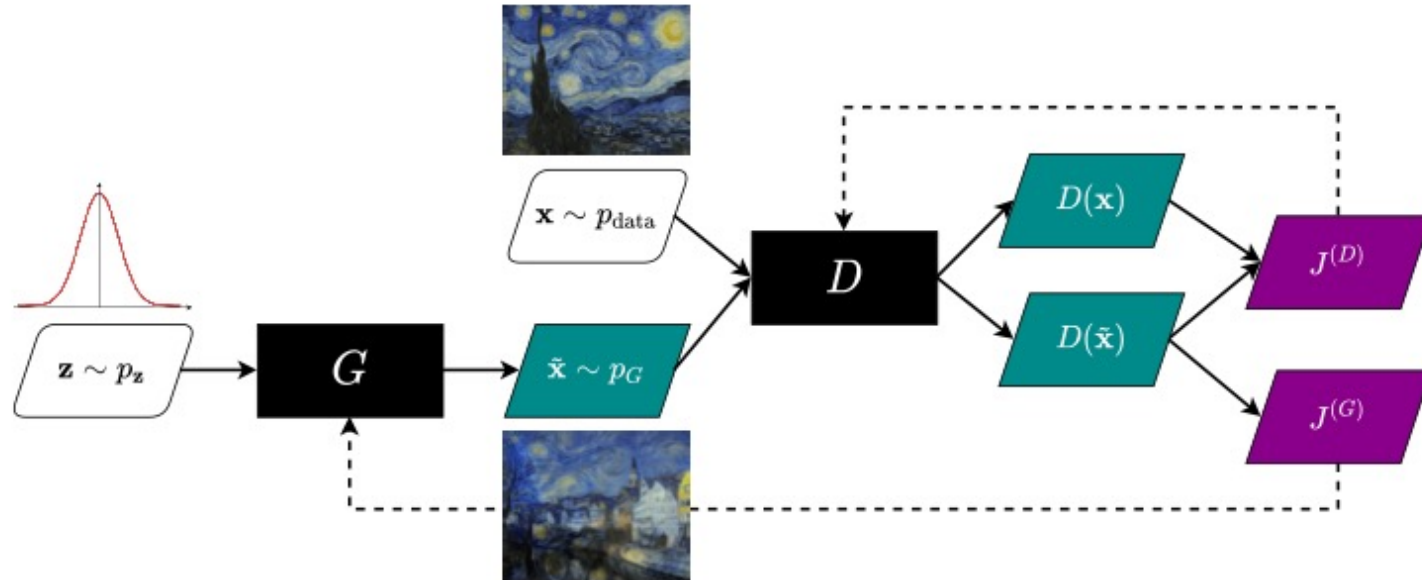
<https://apod.nasa.gov/apod/ap200724.html>





<https://photo.nasa.gov/photo/ap200724.html#media=generator.com>

- Generative adversarial networks (GANs)



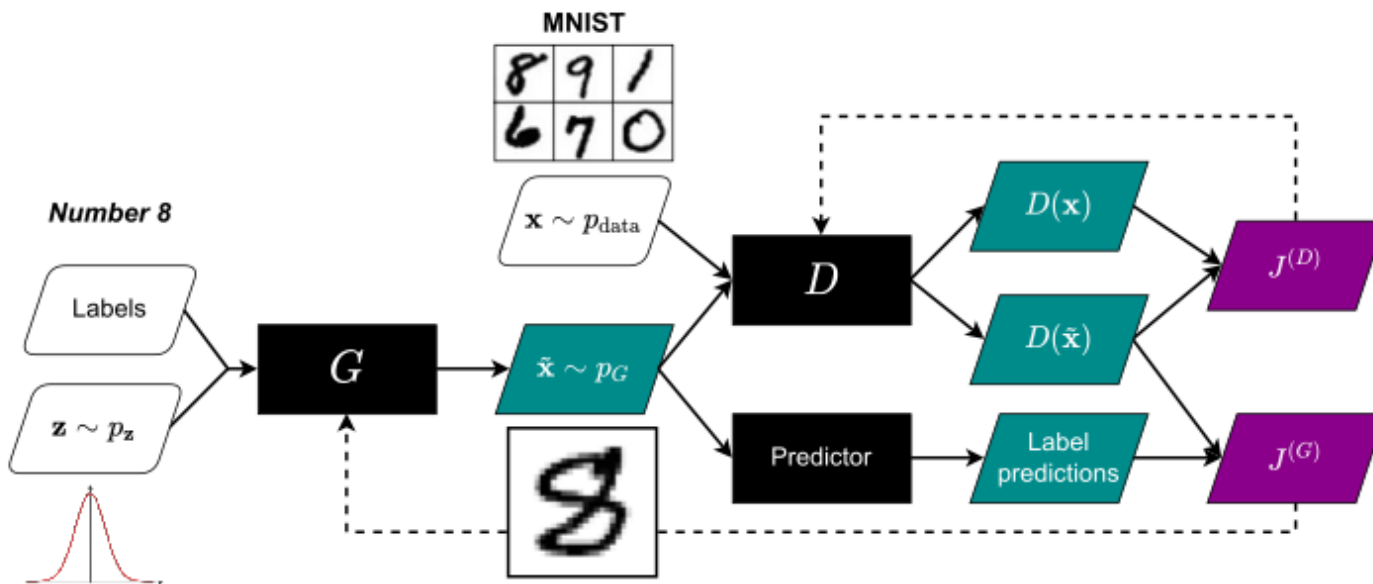
$$J^{(D)} = \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}} [D(G(\mathbf{z}))] - \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [D(\mathbf{x})]$$

$$J^{(G)} = -J^{(D)} = \mathbb{E}_{\mathbf{x} \sim p_{\text{data}}} [D(\mathbf{x})] - \mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}} [D(G(\mathbf{z}))] \rightarrow -\mathbb{E}_{\mathbf{z} \sim p_{\mathbf{z}}} [D(G(\mathbf{z}))]$$

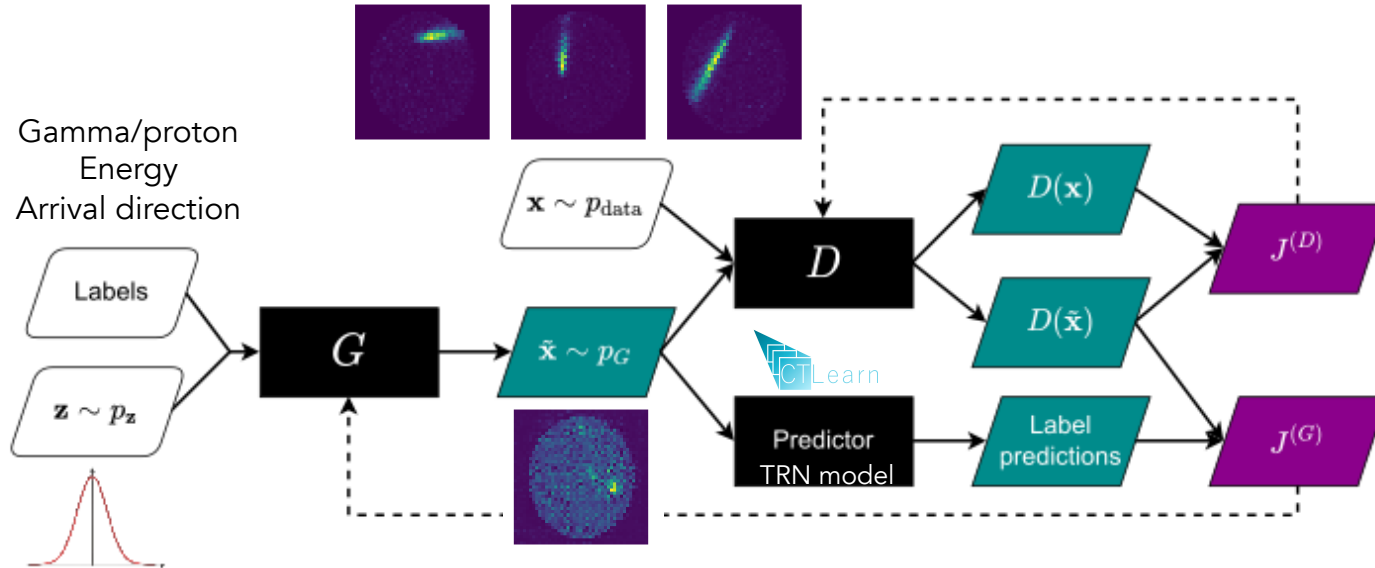
S. García-Heredia et al.



- Auxiliary conditional generative adversarial networks (AC-GANs)

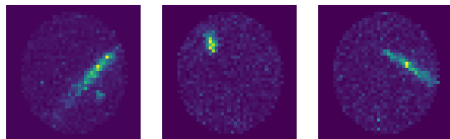
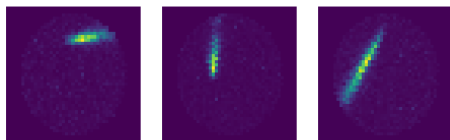


- Auxiliary conditional generative adversarial networks (AC-GANs)

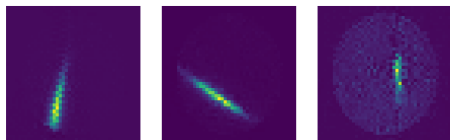
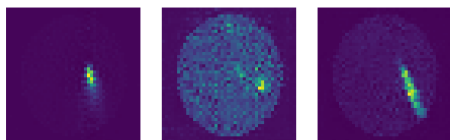


## GAMMA RAYS

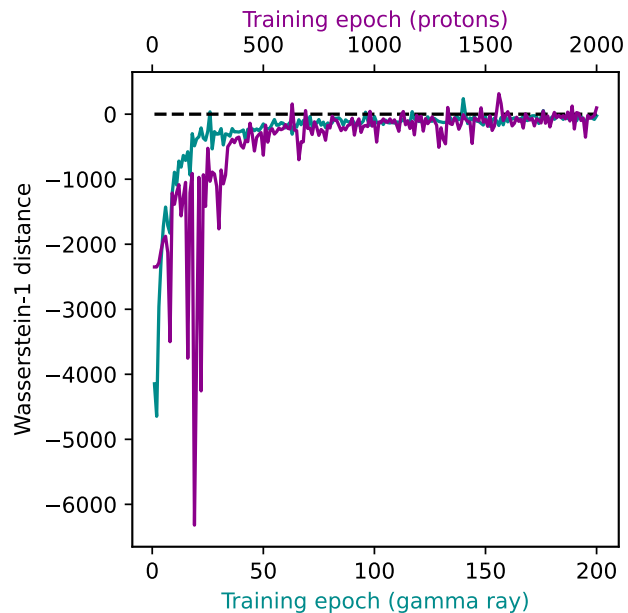
Simulated



Generated

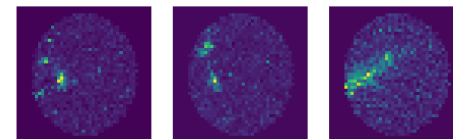
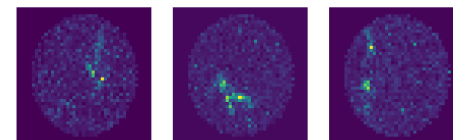


## WASSERSTEIN-1 DISTANCE

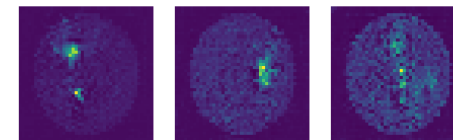
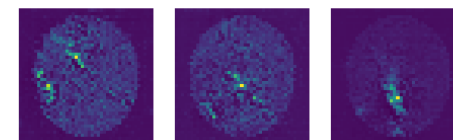


## PROTONS

Simulated

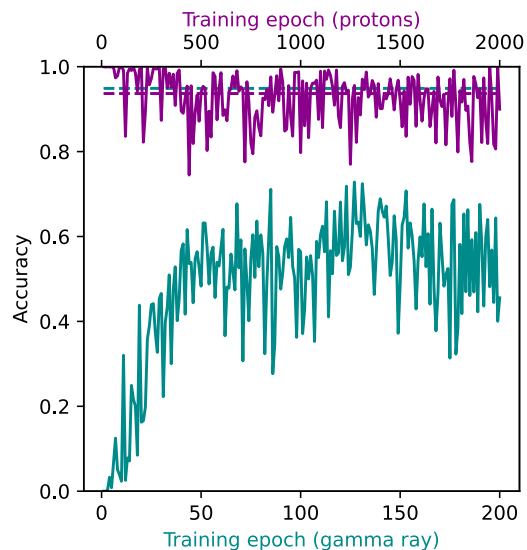


Generated

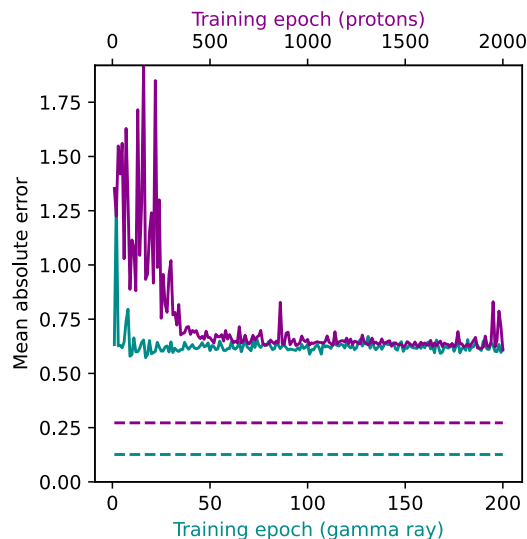


S. García-Heredia et al.

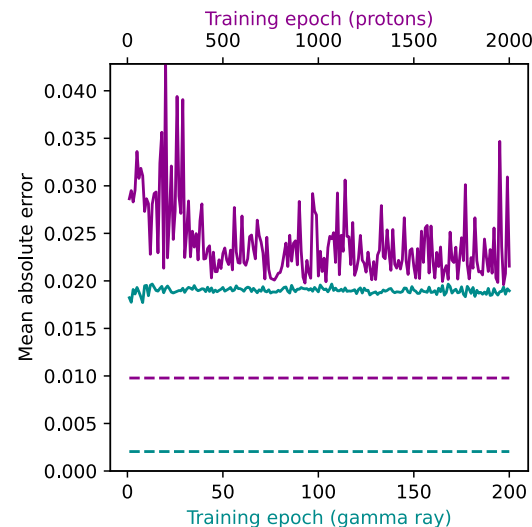
## PARTICLE TYPE



## ENERGY



## ARRIVAL DIRECTION

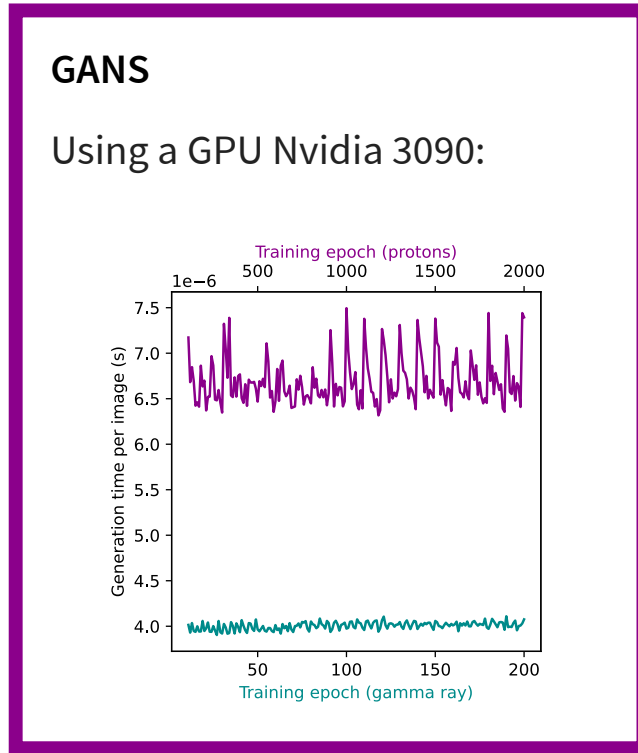


(-- Simulated and — Generated)

S. García-Heredia et al.



- Generation time



## SIMULATIONS

- $\sim 1$  s/event
- Each event consists of one image for each detector
- Depends on what is being simulated and the computational capacity.

Next step -> find the **best** performing **model** for event **reconstruction**


The **curse of dimensionality** haunts us here too!

- Hyperparameter space for deep learning architecture design

- Number of CNN layers
- Kernel size
- Activation function
- Dropout rate
- Number of FC layers
- Batch size
- Learning rate
- Optimizer
- ...

- Optimization strategies

- Grid searches
- Random searches
- Bayesian optimization
- Evolutionary algorithms
- Reinforcement learning
- ...

- 
- Deep learning models typically have many, many parameters to adjust
  - Designing your model architecture fixes just some of them (and can actually introduce new ones)
  - Tuning these hyperparameters have a substantial impact on your performance, specially if you care about that 1%...
  - Mostly uncharted territory with no magic recipes to apply

- Framework for hyperparameter optimization of CTLearn models (Although can be adapted to any config-file based DCN framework)
- Based on Tune: a scalable hyperparameter tuning library
- Supported optimization strategies:
  - Random search
  - Tree Parzen Estimators
  - Gaussian Processes
  - Genetic Algorithms
  - Parallel optimization (depending on available hardware)

Bayesian optimization

[github.com/ctlearn-project/ctlearn\\_optimizer](https://github.com/ctlearn-project/ctlearn_optimizer)

Automated model optimization framework for CTLearn (GSOC 2019) <https://ctlearn-optimizer.readthedocs.io>

28 commits 3 branches 0 releases 2 contributors BSD-3-Clause

Commit	Author	Message	Time
14d401e	pedondopizarro	split project and documentation dependencies	14 days ago
8d1e1e1	pedondopizarro	split project and documentation dependencies	14 days ago
14d401e	pedondopizarro	update notebooks	last month

[ctlearn-optimizer.readthedocs.io](https://ctlearn-optimizer.readthedocs.io)

Welcome to CTLearn Optimizer's documentation!

CTLearn Optimizer is a framework for optimizing CTLearn models.

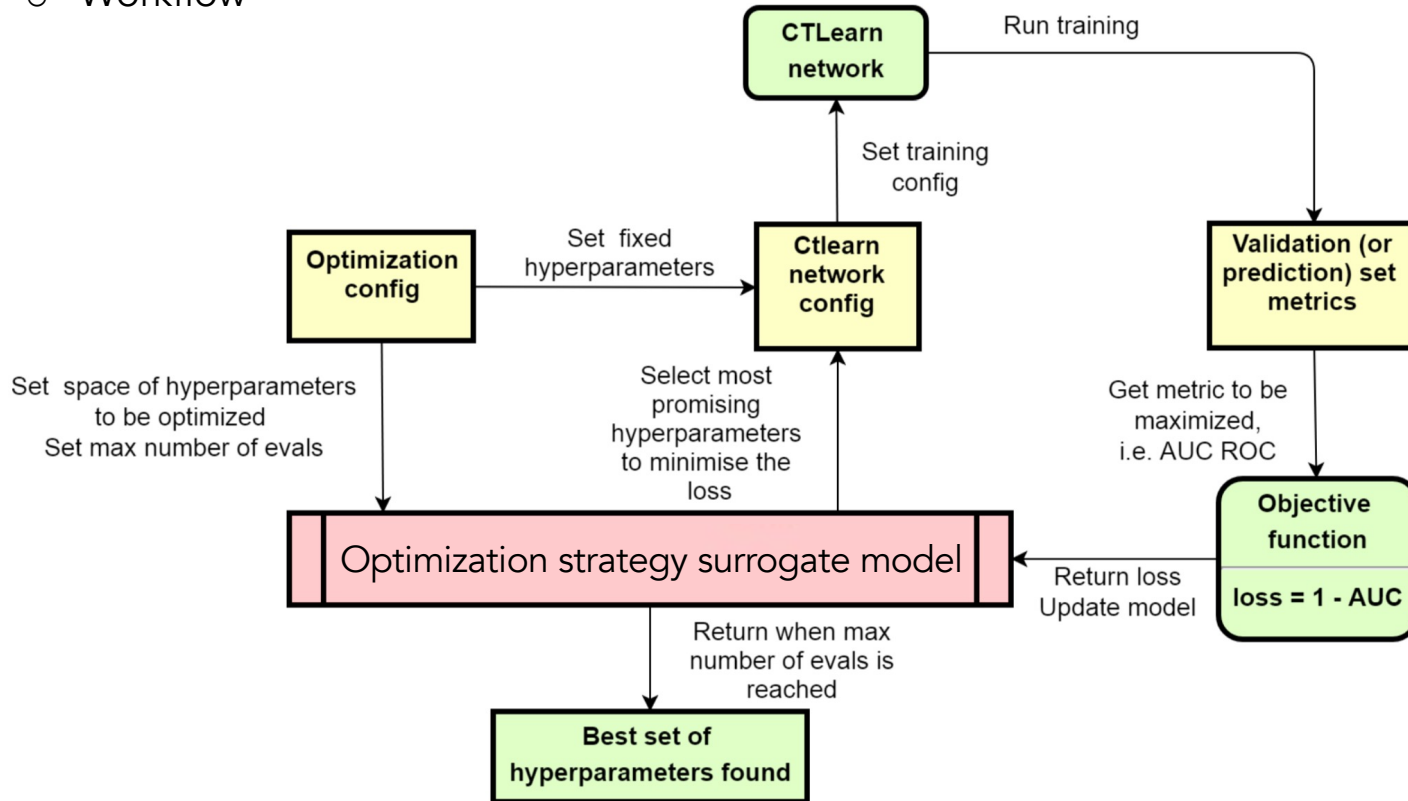
This optimization utility uses Tune, a scalable framework for hyperparameter search and model training, and supports:

- Random search based optimization.
- Tree Parzen Estimators based optimization.
- Gaussian Processes based optimization.
- Genetic Algorithm based optimization.
- Parallel optimization (depending on available hardware resources).

Contents:

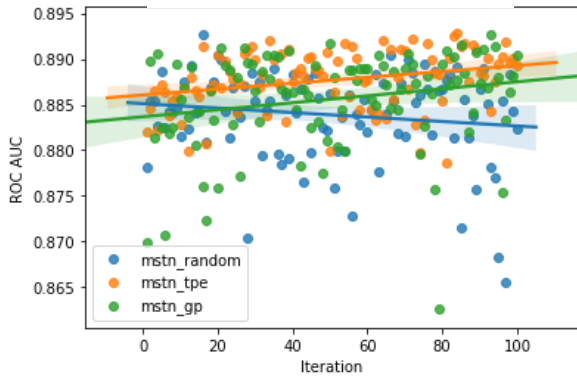


## Workflow

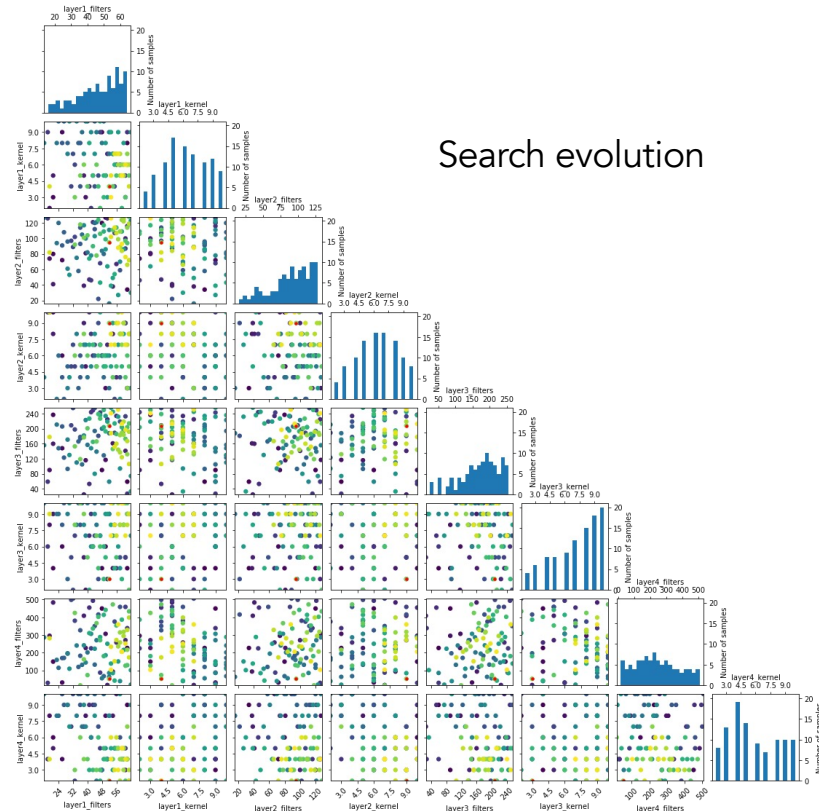
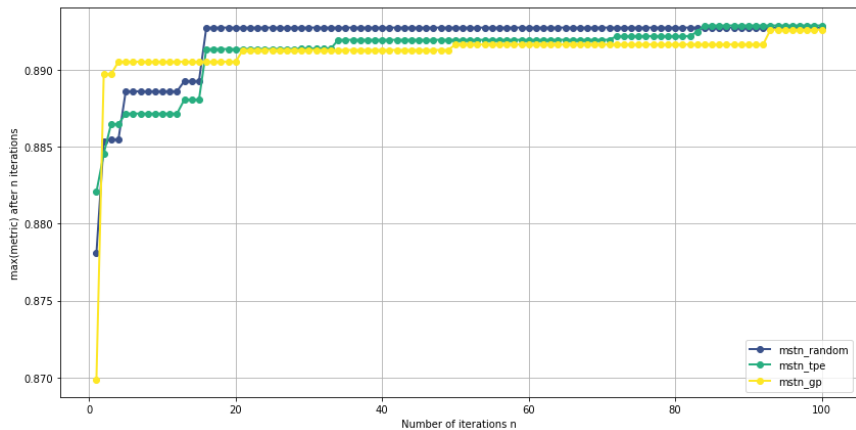


## o Visualization

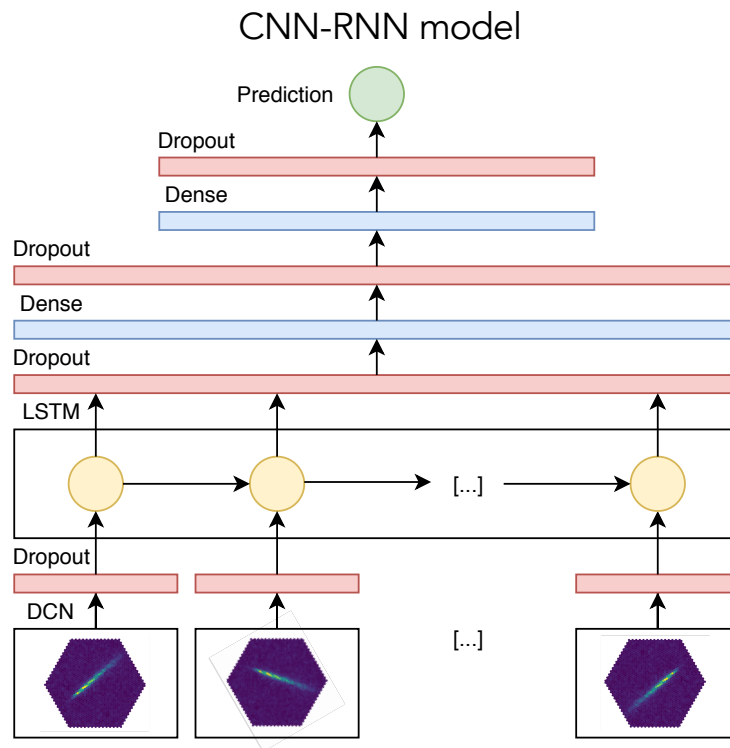
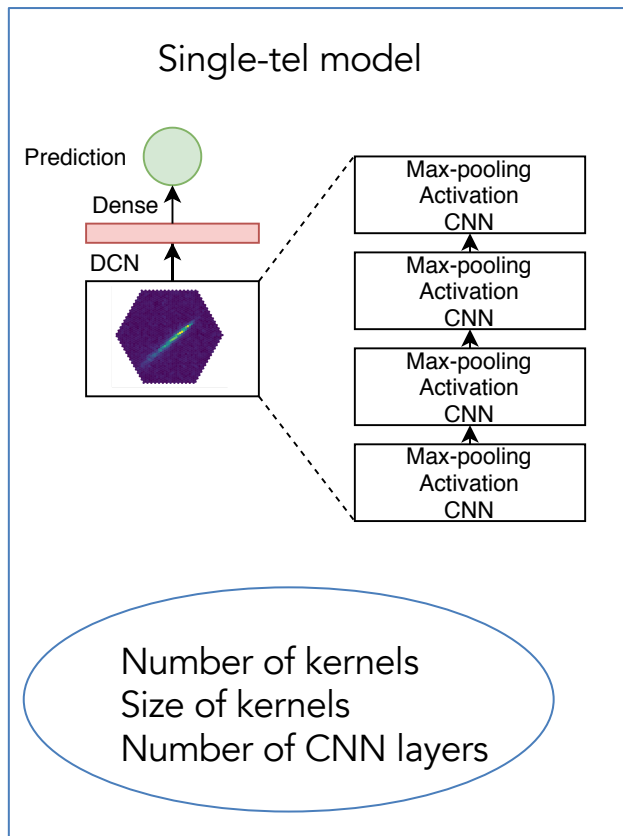
### Evolution of the metric

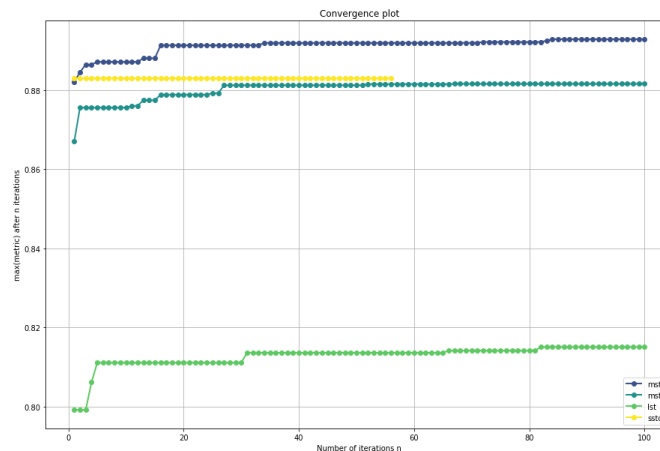
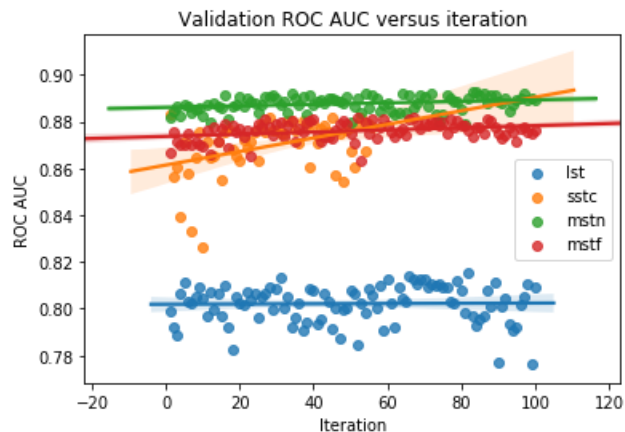


### Convergence of the metric



### Search evolution

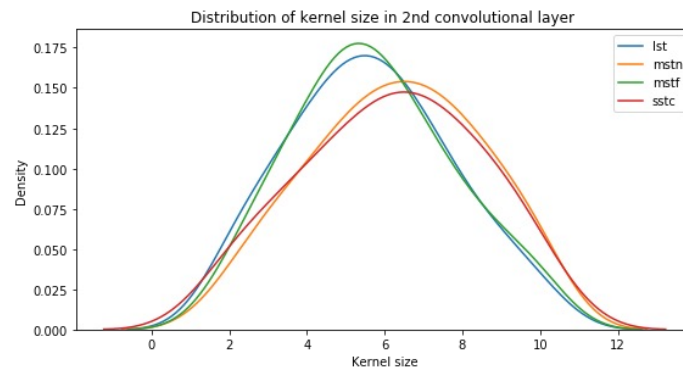
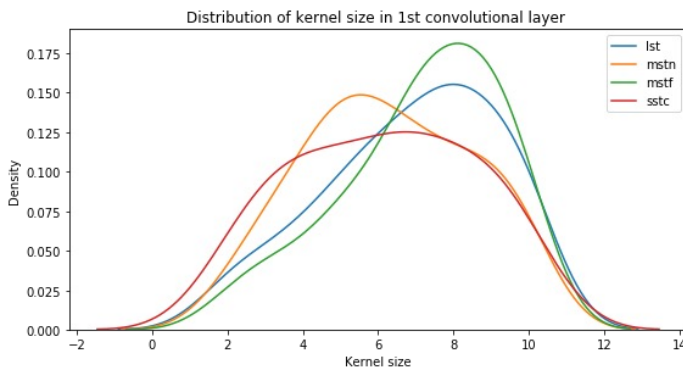
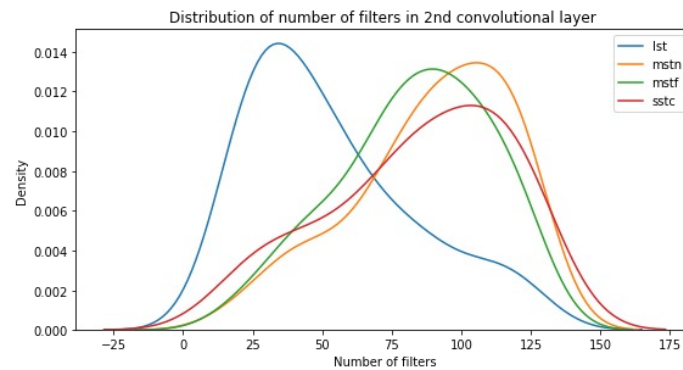
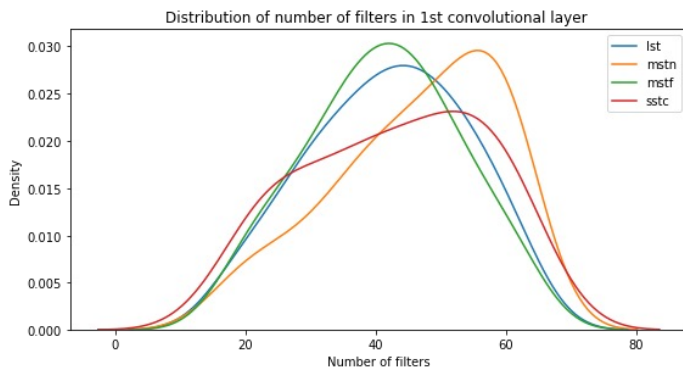




Hyperparameters	Telescope Type	Validation Accuracy	Validation AUC	Training Time	Telescope Type	Metric	Improvement
Base	LST	70.38%	0.7887	0h 41m 22s	LST	Validation Accuracy	2.07%
Optimized	LST	72.45%	0.8150	0h 39m 14s	LST	Validation AUC	2.63%
Base	SSTC	73.90%	0.8118	0h 42m 4s	SSTC	Validation Accuracy	5.97%
Optimized	SSTC	79.87%	0.8830	1h 16m 4s	SSTC	Validation AUC	7.12%
Base	MSTN	78.04%	0.8659	0h 58m 10s	MSTN	Validation Accuracy	2.07%
Optimized	MSTN	80.11%	0.8929	0h 52m 48s	MSTN	Validation AUC	2.70%
Base	MSTF	74.60%	0.8360	0h 55m 0s	MSTF	Validation Accuracy	4.41%
Optimized	MSTF	79.01%	0.8816	0h 48m 37s	MSTF	Validation AUC	4.56%



## ○ Single\_tel & TPE search



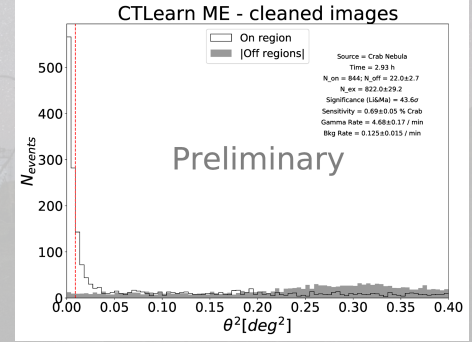
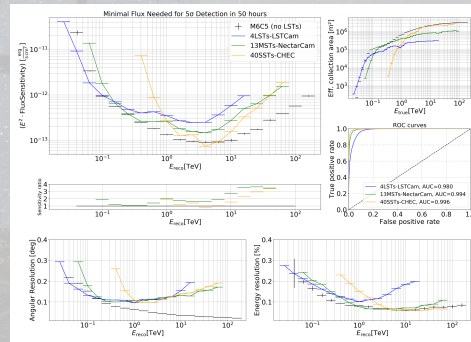
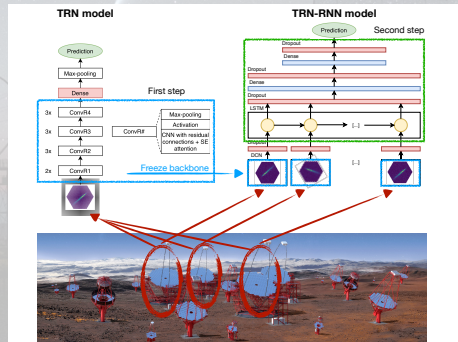
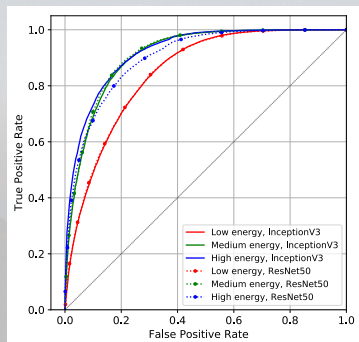
Optimized hyperparameters seem to be telescope-type dependent

- Single\_tel & TPE search: transfer to CNN-RNN

Hyperparameters	Telescope Type	Validation Accuracy	Validation AUC	Training Time
Base	LST	73.43%	0.8285	0h 41m 22s
Optimized	LST	74.96%	0.8422	0h 46m 53s
Base	SSTC	80.64%	0.9072	1h 51m 5s
Optimized	SSTC	83.49%	0.9217	3h 31m 43s
Base	MSTN	83.10%	0.9169	2h 15m 52s
Optimized	MSTN	84.20%	0.9313	6h 43m 14s

Telescope Type	Metric	Improvement
LST	Validation Accuracy	1.53%
LST	Validation AUC	1.37%
SSTC	Validation Accuracy	2.85%
SSTC	Validation AUC	1.45%
MSTN	Validation Accuracy	1.10%
MSTN	Validation AUC	1.44%

- Current-generation IACTs have enhanced their performances through ML
- Next-gen (even current-gen!) IACT may profit from latest developments in ML
- Ongoing efforts to exploit deep learning as an event reconstruction method for IACTs
  - Full-event reconstruction over simulated IACT events demonstrated
  - Application to real observations works!
  - Working on optimizing architectures & multi-task learning
  - Using AC-GANs as pseudosimulators
  - Tackling the real-data problem





The research here presented has been partially supported by the former Spanish Ministry of Economy, Industry, and Competitiveness / ERDF grants FPA2015-73913-JIN and FPA2017-82729-C6-3-R, the Spanish Ministry of Science and Innovation grant PID2019-104114RB-C32, NSF awards PHY-1229205, 1229792, and 1607491, and the European Science Cluster of Astronomy & Particle Physics ESFRI Research Infrastructures funded by the European Union's Horizon 2020 research and innovation program under Grant Agreement no. 824064. The authors acknowledge support from Google LLC through the Google Summer of Code program and NVIDIA Corporation with the donation of a Titan X Pascal GPU used for part of this research.