

Model-independent test of T violation in neutrino oscillations

NuTs (Neutrino Theory) 2022, IFT Madrid



Thomas Schwetz
Karlsruhe Institute of Technology, Institute for Astroparticle Physics

Model-independent test of T violation in neutrino oscillations

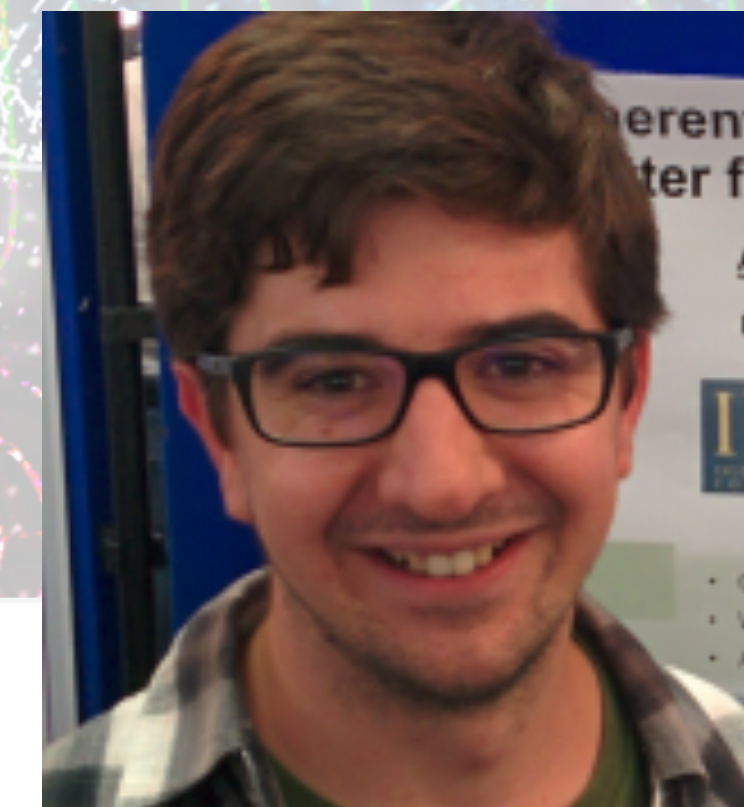
NuTs (Neutrino Theory) 2022, IFT Madrid



Thomas Schwetz
Karlsruhe Institute of Technology, Institute for Astroparticle Physics

based on work with Alejandro Segarra:

- Phys. Rev. Lett. 128 (2022) 091801 [arXiv:2106.16099]
- Phys. Rev. D 105 (2022) 055001 [arXiv:2112.08801]



CP and T violation in neutrino oscillations

Leptonic CP violation will manifest itself in a difference of the vacuum oscillation probabilities for neutrinos and anti-neutrinos

Cabibbo, 1977; Bilenky, Hosek, Petcov, 1980, Barger, Whisnant, Phillips, 1980

$$P_{\nu_\alpha \rightarrow \nu_\beta} - P_{\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta} = -16 J_{\alpha\beta} \sin \frac{\Delta m_{21}^2 L}{4E_\nu} \sin \frac{\Delta m_{32}^2 L}{4E_\nu} \sin \frac{\Delta m_{31}^2 L}{4E_\nu},$$

where

$$J_{\alpha\beta} = \text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2}) = \pm J,$$

with $+$ ($-$) for (anti-)cyclic permutation of the indices e, μ, τ .

J : leptonic analogue to the Jarlskog-invariant in the quark sector [Jarlskog, 1985](#)

CP and T violation in neutrino oscillations

$$J = |\text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2})| = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta \equiv J^{\text{max}} \sin \delta$$

$$J_{\text{CP}}^{\text{max}} = 0.0333 \pm 0.0006 (\pm 0.0019) \text{ at } 1\sigma (3\sigma)$$

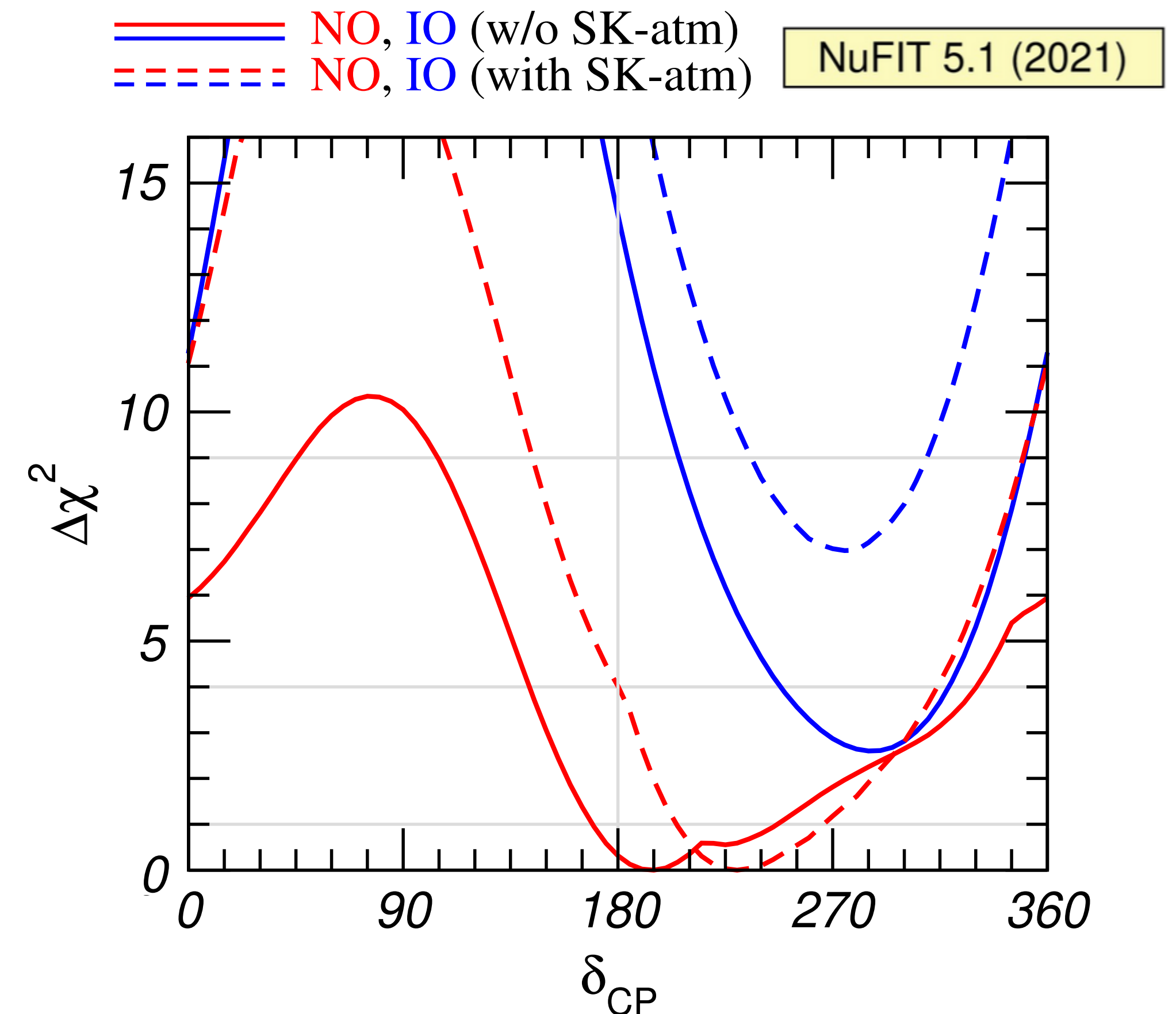
$$J_{\text{CP}}^{\text{quarks}} = (3.18 \pm 0.15) \times 10^{-5}$$

CP and T violation in neutrino oscillations

$$J = |\text{Im}(U_{\alpha 1} U_{\alpha 2}^* U_{\beta 1}^* U_{\beta 2})| = s_{12} c_{12} s_{23} c_{23} s_{13} c_{13}^2 \sin \delta \equiv J^{\text{max}} \sin \delta$$

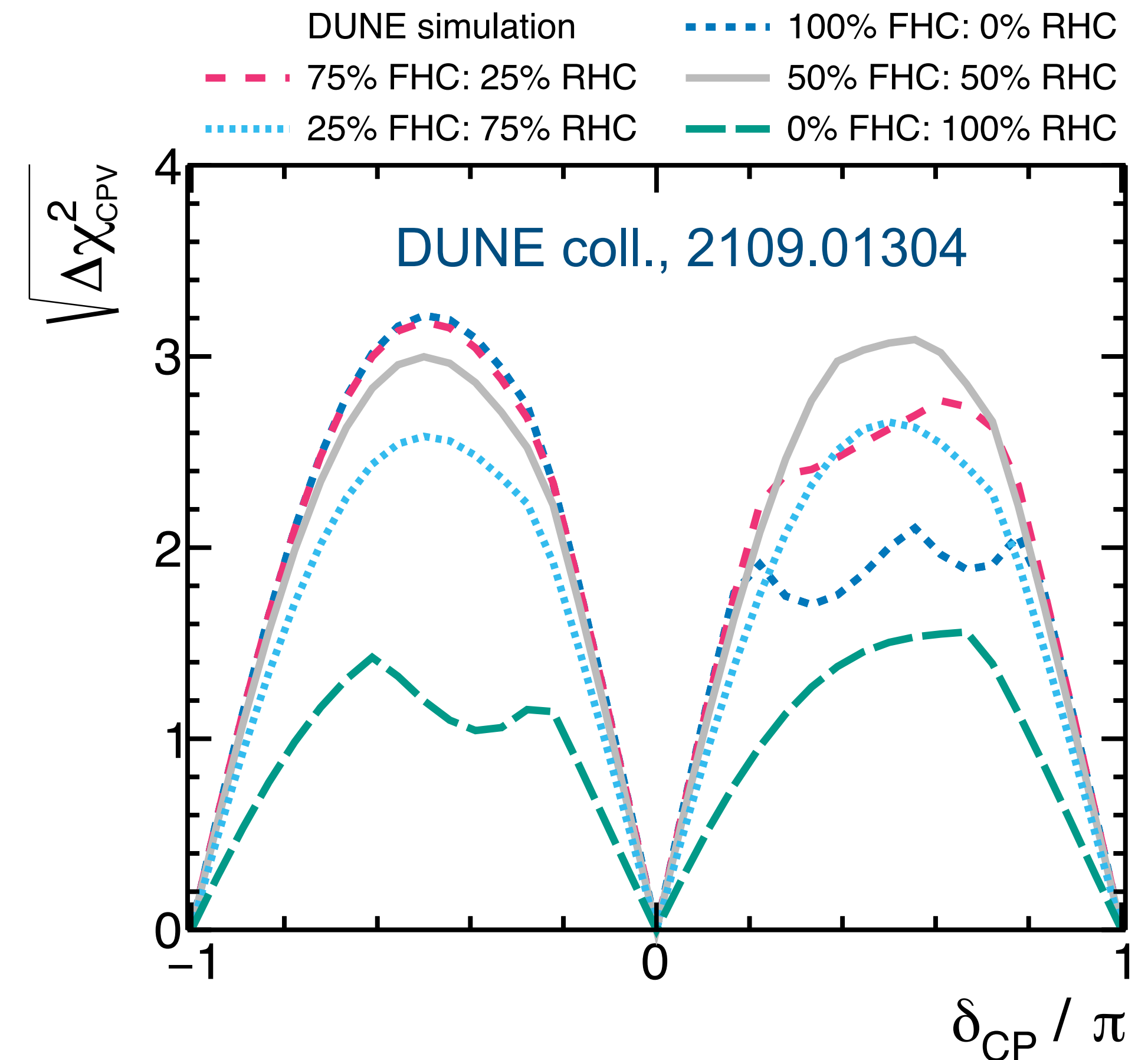
$$J_{\text{CP}}^{\text{max}} = 0.0333 \pm 0.0006 (\pm 0.0019) \text{ at } 1\sigma (3\sigma)$$

$$J_{\text{CP}}^{\text{quarks}} = (3.18 \pm 0.15) \times 10^{-5}$$



„Search for CP violation“: main goal of future experiments

- Fermilab — Homestake (1300 km):
DUNE (USA)
- J-PARC — HyperKamiokande (295 km):
T2HK (Japan)
- J-PARC — KNO @ Korea (1100 km):
T2KK
- ESS (Sweden) (e.g., 540 km)



Can we measure CPV model-independently?

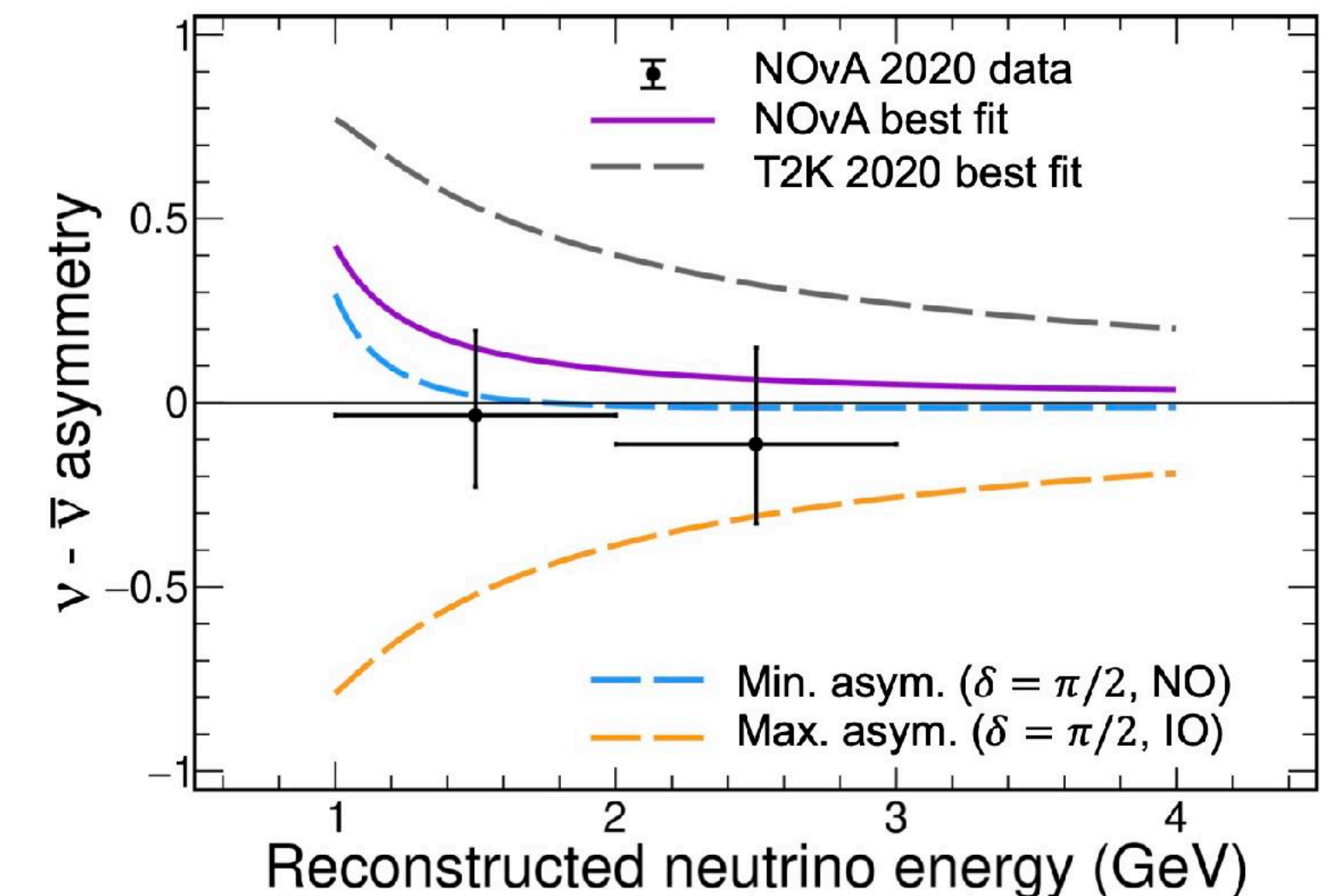
the CP asymmetry $P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

is **not a (model-independent) measure for genuine CPV:**

- matter effect induces environmental CP asymmetry (can only be taken into account within a model)
- fluxes & cross sections are different for neutrinos and antineutrinos (uncertainties due not cancel)

J. Hartnell @ Neutrino'22

$$P(\nu_e) - P(\bar{\nu}_e) / P(\nu_e) + P(\bar{\nu}_e)$$



Comments on search for CP violation

The „standard approach“ is highly model dependent:

assumes:

- minimal three-flavour scenario
- standard neutrino production and detection
- standard matter effect

perform combined accelerator/reactor fit + energy spectrum

- determine allowed range for δ_{CP}
- CPV \Leftrightarrow excluding values of 0 and π for δ_{CP}

Comments on search for CP violation

The „standard approach“ is highly model dependent:

- In the presence of new physics, there are additional sources of CPV
e.g.: sterile neutrinos, non-unitarity, non-standard neutrino interactions,...
- adopt model-dependent parameterization
- perform combined accelerator/reactor fit + energy spectrum
- determine allowed range for relevant CP phases
CPV \Leftrightarrow excluding values of 0 and π

many papers, several authors in this room

T violation

In vacuum CPT holds:

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = P(\nu_\mu \rightarrow \nu_e) - P(\nu_e \rightarrow \nu_\mu)$$

T corresponds to exchange of initial and final flavour

- **matter effect** breaks CPT (and CP) but **does NOT induce environmental T asymmetry** for a matter profile symmetric between source and detector
e.g., Akhmedov, Huber, Lindner, Ohlsson, 01; TS, Segarra, PRD
- BUT exchanging initial and final flavour not feasible in practice

T violation — highly incomplete list of references

- N. Cabibbo, Time Reversal Violation in Neutrino Oscillation, Phys. Lett. 72B, 333 (1978).
- T.-K. Kuo and J. T. Pantaleone, T Nonconservation in Three Neutrino Oscillations, Phys. Lett. B 198, 406 (1987).
- P. Krastev and S. Petcov, Resonance Amplification and T Violation Effects in Three Neutrino Oscillations in the Earth, Phys. Lett. B 205, 84 (1988).
- S. Toshev, Maximal T Violation in Matter, Phys. Lett. B 226, 335 (1989); On T Violation in Matter Neutrino Oscillations, Mod. Phys. Lett. A 6, 455 (1991).
- S. M. Bilenky, C. Giunti, W. Grimus, Long-baseline neutrino oscillation experiments and CP violation in the lepton sector, Phys.Rev. D58 (1998) 033001, hep-ph/9712537
- J. Arafune and J. Sato, CP and T Violation Test in Neutrino Oscillation, Phys. Rev. D 55, 1653 (1997), arXiv:hep-ph/9607437.
- E. K. Akhmedov, P. Huber, M. Lindner, and T. Ohlsson, T Violation in Neutrino Oscillations in Matter, Nucl. Phys. B 608, 394 (2001), arXiv:hep-ph/0105029.
- S.J.Parke and T.J.Weiler, Optimizing T Violating Effects for Neutrino Oscillations in Matter, Phys. Lett. B 501, 106 (2001), hep-ph/0011247.
- T. Schwetz, Determination of the neutrino mass hierarchy in the regime of small matter effect, JHEP 0705 (2007) 093, hep-ph/0703279
- Z.-z. Xing, Leptonic Commutators and Clean T Violation in Neutrino Oscillations, Phys. Rev. D 88, 017301 (2013), arXiv:1304.7606.
- S. T. Petcov and Y.-L. Zhou, On Neutrino Mixing in Matter and CP and T Violation Effects in Neutrino Oscillations, Phys. Lett. B 785, 95 (2018), arXiv:1806.09112 [hep-ph].
- J. Bernabéu and A. Segarra, Do T asymmetries for neutrino oscillations in uniform matter have a CP-even component?, JHEP 03, 103, arXiv:1901.02761 [hep-ph].

Can we search for fundamental CP or T violation in a more model-independent way?

Can we search for fundamental CP or T violation in a more model-independent way?

with Alejandro Segarra:

Phys. Rev. Lett. **128** (2022) 091801 [arXiv:2106.16099]

Phys. Rev. D **105** (2022) 055001 [arXiv:2112.08801]

- assume $x \approx t$
- T: $t - t_s \rightarrow t_d - t$ or $x - x_s \rightarrow x_d - x$ or $L \rightarrow -L$ with $L = x_d - x_s$

Can we search for fundamental CP or T violation in a more model-independent way?

with Alejandro Segarra:

Phys. Rev. Lett. **128** (2022) 091801 [arXiv:2106.16099]

Phys. Rev. D **105** (2022) 055001 [arXiv:2112.08801]

- assume $x \approx t$
- T: $t - t_s \rightarrow t_d - t$ or $x - x_s \rightarrow x_d - x$ or $L \rightarrow -L$ with $L = x_d - x_s$
- measure oscillation probabilities at several distances but at the **same energy**
- search for a **T-odd (L-odd) component** of the oscillation probability

Assumptions:

Assumptions:

- fundamental T-viol equivalent to CP-viol assuming CPT conservation

Assumptions:

- fundamental T-viol equivalent to CP-viol assuming CPT conservation
- assume evolution equation $i\partial_t |\psi\rangle = H(E_\nu) |\psi\rangle$ ($H = H^\dagger$, unitary evolution)

Assumptions:

- fundamental T-viol equivalent to CP-viol assuming CPT conservation
- assume evolution equation $i\partial_t |\psi\rangle = H(E_\nu) |\psi\rangle$ ($H = H^\dagger$, unitary evolution)
- position independent Hamiltonian (approx. constant matter density)
 - matter effect does not introduce environmental T violation
 - can diagonalize H and go to energy-eigenbasis

Assumptions:

- fundamental T-viol equivalent to CP-viol assuming CPT conservation
- assume evolution equation $i\partial_t |\psi\rangle = H(E_\nu) |\psi\rangle$ ($H = H^\dagger$, unitary evolution)
- position independent Hamiltonian (approx. constant matter density)
 - matter effect does not introduce environmental T violation
 - can diagonalize H and go to energy-eigenbasis
- allow for arbitrary (non-standard) matter effect

Assumptions:

- fundamental T-viol equivalent to CP-viol assuming CPT conservation
- assume evolution equation $i\partial_t |\psi\rangle = H(E_\nu) |\psi\rangle$ ($H = H^\dagger$, unitary evolution)
- position independent Hamiltonian (approx. constant matter density)
 - matter effect does not introduce environmental T violation
 - can diagonalize H and go to energy-eigenbasis
- allow for arbitrary (non-standard) matter effect
- allow for arbitrary (non-unitary) mixing between flavour and energy eigenstates (even different for production and detection): $|\nu_\alpha\rangle = \sum_i N_{\alpha i}^{\text{prod,det}} |\nu_i\rangle$

Assumptions:

- fundamental T-viol equivalent to CP-viol assuming CPT conservation
- assume evolution equation $i\partial_t |\psi\rangle = H(E_\nu) |\psi\rangle$ ($H = H^\dagger$, unitary evolution)
- position independent Hamiltonian (approx. constant matter density)
 - matter effect does not introduce environmental T violation
 - can diagonalize H and go to energy-eigenbasis
- allow for arbitrary (non-standard) matter effect
- allow for arbitrary (non-unitary) mixing between flavour and energy eigenstates (even different for production and detection): $|\nu_\alpha\rangle = \sum_i N_{\alpha i}^{\text{prod,det}} |\nu_i\rangle$
- allow for arbitrary (sufficiently smooth) energy dependence of new physics

Model-independent test of T violation

- general parameterisation of the transition probabilities:

$$P_{\mu\alpha} = \left| \sum_{i=1}^3 c_i^\alpha e^{-i\lambda_i L} \right|^2$$
$$= \sum_i |c_i^\alpha|^2 + 2 \sum_{j<i} \text{Re}(c_i^\alpha c_j^{\alpha*}) \cos(\omega_{ij} L) - 2 \sum_{j<i} \text{Im}(c_i^\alpha c_j^{\alpha*}) \sin(\omega_{ij} L)$$

$$c_i^\alpha \equiv (N_{\alpha i}^{\text{det}})^* N_{\mu i}^{\text{prod}}$$

c_i^α, ω_{ij} are unknown functions of energy,
different for neutrinos and anti-neutrinos

Model-independent test of T violation

- general parameterisation of the transition probabilities:

$$P_{\mu\alpha} = \left| \sum_{i=1}^3 c_i^\alpha e^{-i\lambda_i L} \right|^2$$

T-even

$$= \sum_i |c_i^\alpha|^2 + 2 \sum_{j<i} \text{Re}(c_i^\alpha c_j^{\alpha*}) \cos(\omega_{ij} L) - 2 \sum_{j<i} \text{Im}(c_i^\alpha c_j^{\alpha*}) \sin(\omega_{ij} L)$$

T-odd

$$c_i^\alpha \equiv (N_{\alpha i}^{\text{det}})^* N_{\mu i}^{\text{prod}}$$

complex phases in c_i^α lead to T violation
more sources for TV due to new physics

Model-independent test of T-violation

- general parameterisation of the transition probabilities:

$$P_{\mu\alpha} = \left| \sum_{i=1}^3 c_i^\alpha e^{-i\lambda_i L} \right|^2$$

T-even

$$= \sum_i |c_i^\alpha|^2 + 2 \sum_{j < i} \text{Re}(c_i^\alpha c_j^{\alpha*}) \cos(\omega_{ij} L) - 2 \sum_{j < i} \text{Im}(c_i^\alpha c_j^{\alpha*}) \sin(\omega_{ij} L)$$

T-odd

if data cannot be fitted only with the L -even part,
fundamental T violation is established model-independently

T violation in the disappearance channel

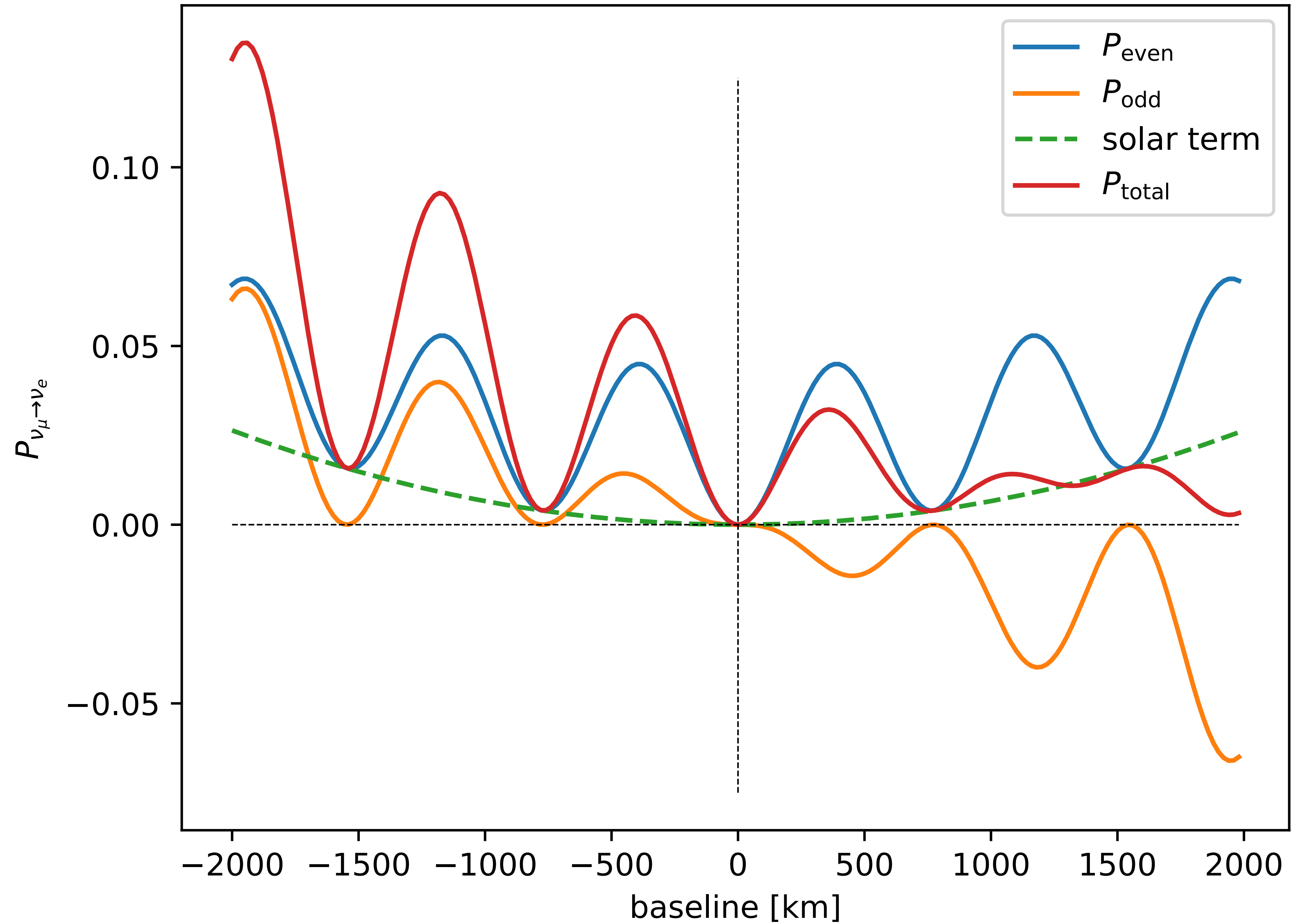
- general parameterisation of the transition probabilities:

$$\begin{aligned}
 P_{\mu\alpha} &= \left| \sum_{i=1}^3 c_i^\alpha e^{-i\lambda_i L} \right|^2 \\
 &= \underbrace{\sum_i |c_i^\alpha|^2 + 2 \sum_{j<i} \text{Re}(c_i^\alpha c_j^{\alpha*}) \cos(\omega_{ij} L)}_{\text{T-even}} - \underbrace{2 \sum_{j<i} \text{Im}(c_i^\alpha c_j^{\alpha*}) \sin(\omega_{ij} L)}_{\text{T-odd}}
 \end{aligned}$$

$$c_i^\alpha \equiv (N_{\alpha i}^{\text{det}})^* N_{\mu i}^{\text{prod}}$$

for $N_{\alpha i}^{\text{det}} \neq N_{\alpha i}^{\text{prod}}$ also T violation in the disappearance channel! [TS Segarra, PRD]

- Example:
3-flavour vacuum
probability for
 $\delta_{\text{CP}} = \pi/2$
 $E_\nu = 0.75 \text{ GeV}$



Two more assumptions:

- only 2 independent frequencies are present
(sterile neutrinos need to be heavy enough to be averaged out or light enough of not introducing oscillations)
- deviation from standard 3-flavour is „small“
in particular $(\Delta m_{21}^2)_{\text{eff}}$ is close to the SM

Model-independent test of T violation

- if data cannot be fitted with an even function of L , fundamental T violation can be established

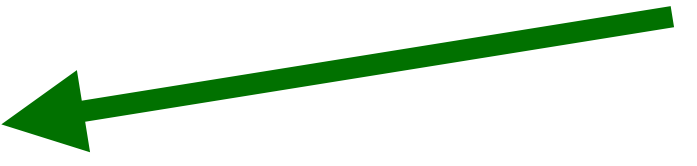
$$P_{\mu\alpha}^{\text{even}}(L, E; \theta) = \sum_i (c_i^\alpha)^2 + 2 \sum_{j < i} c_i^\alpha c_j^\alpha \cos(\omega_{ij} L) \quad (c_i^\alpha \text{ real})$$

- measure $P_{\mu e}$ and $P_{\mu\mu}$ as a function of L (at the same E_ν)
- try to fit **8 parameters**: $c_{1,2,3}^e, c_{1,2,3}^\mu, \omega_{21}, \omega_{31}$
- works already for 3 LBL experiments + near detectors (+ Δm_{21}^2 prior)!

Model-independent test of T violation

- if data cannot be fitted with an even function of L , fundamental T violation can be established

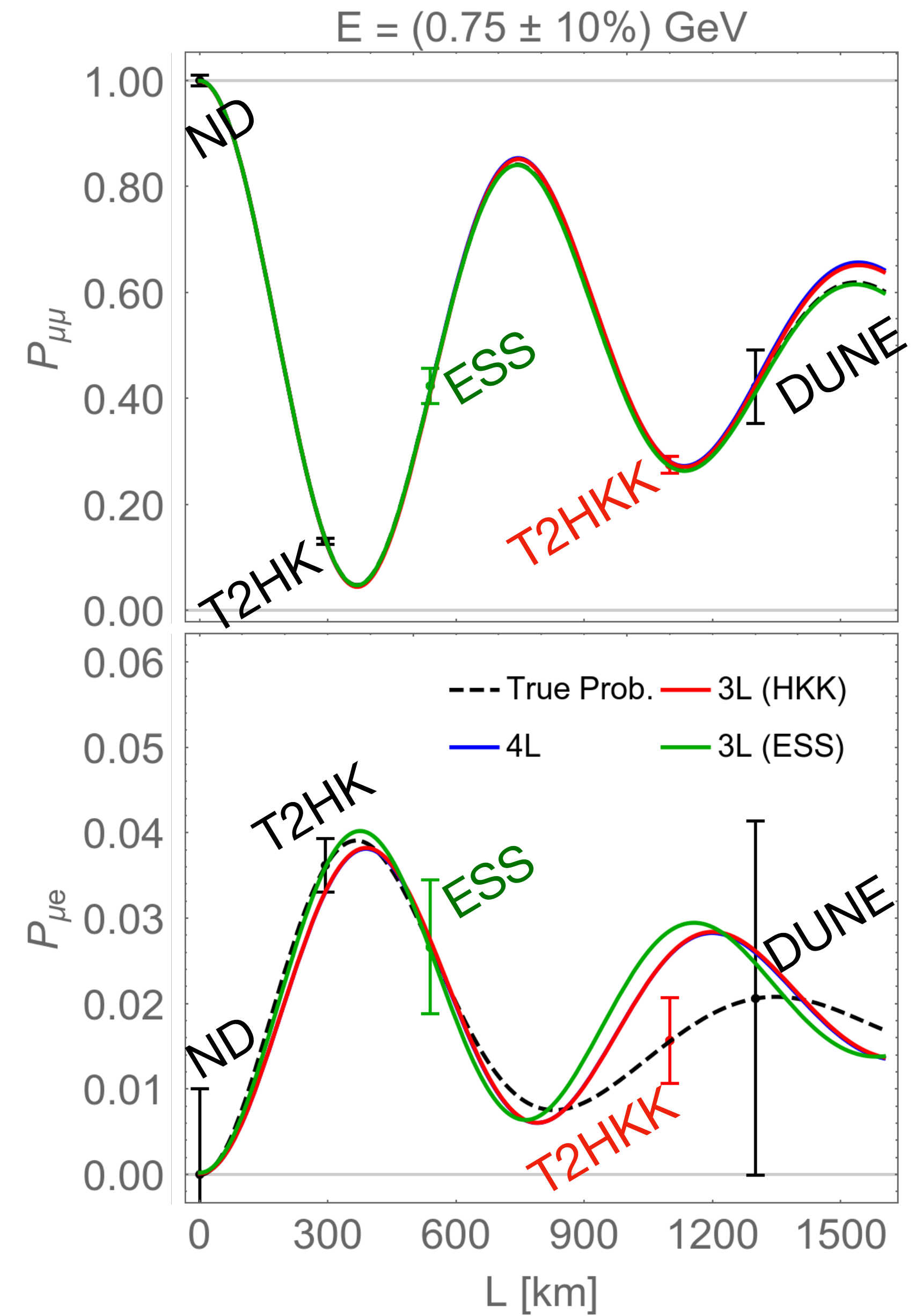
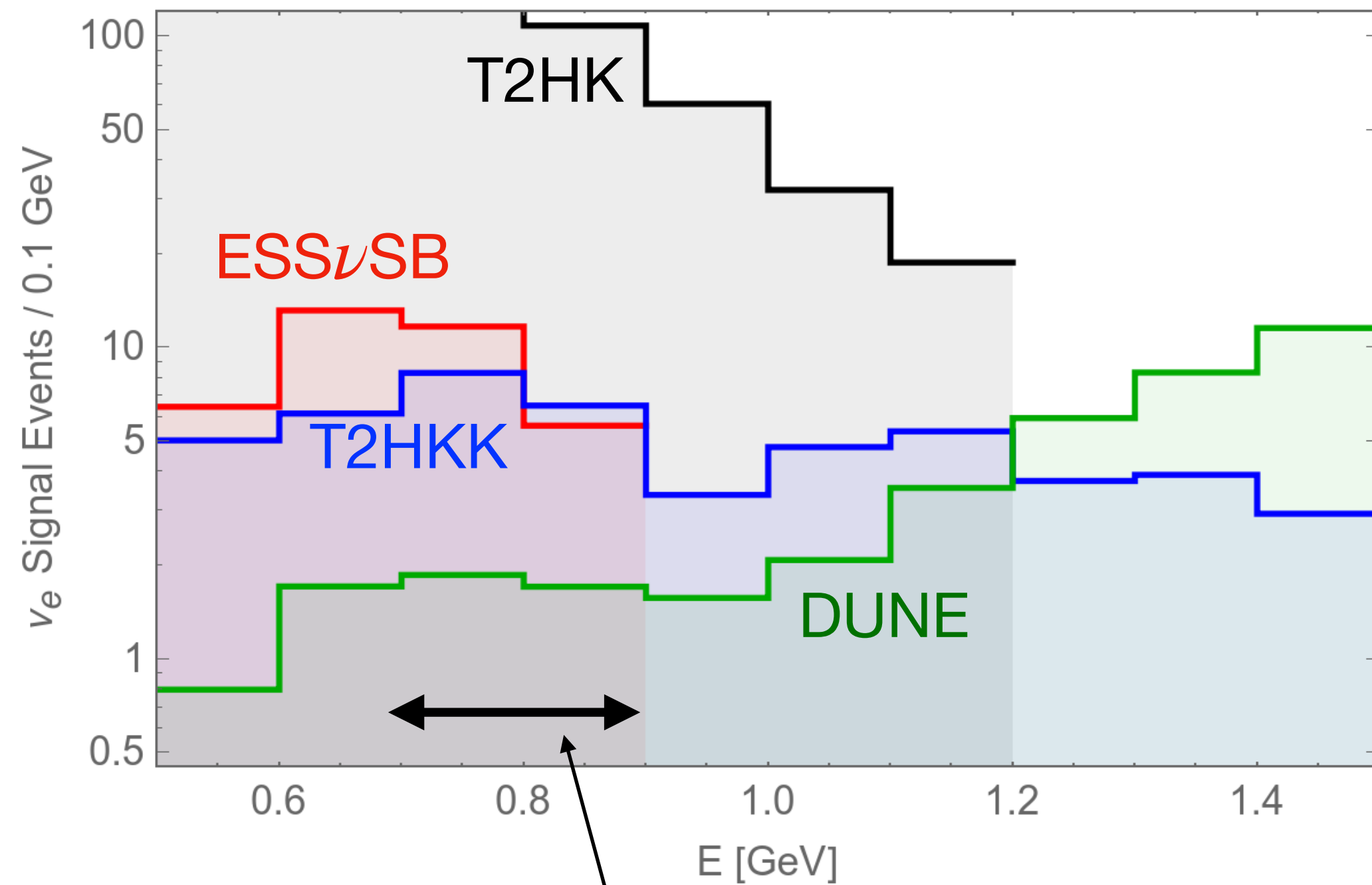
$$P_{\mu\alpha}^{\text{even}}(L, E; \theta) = \sum_i (c_i^\alpha)^2 + 2 \sum_{j < i} c_i^\alpha c_j^\alpha \cos(\omega_{ij} L) \quad (c_i^\alpha \text{ real})$$

- measure $P_{\mu e}$ and $P_{\mu\mu}$ as a function of L (at the same E_ν) 
- try to fit 8 parameters: $c_{1,2,3}^e, c_{1,2,3}^\mu, \omega_{21}, \omega_{31}$ (unknown functions of E_ν)
- works already for 3 LBL experiments + near detectors (+ Δm_{21}^2 prior)!

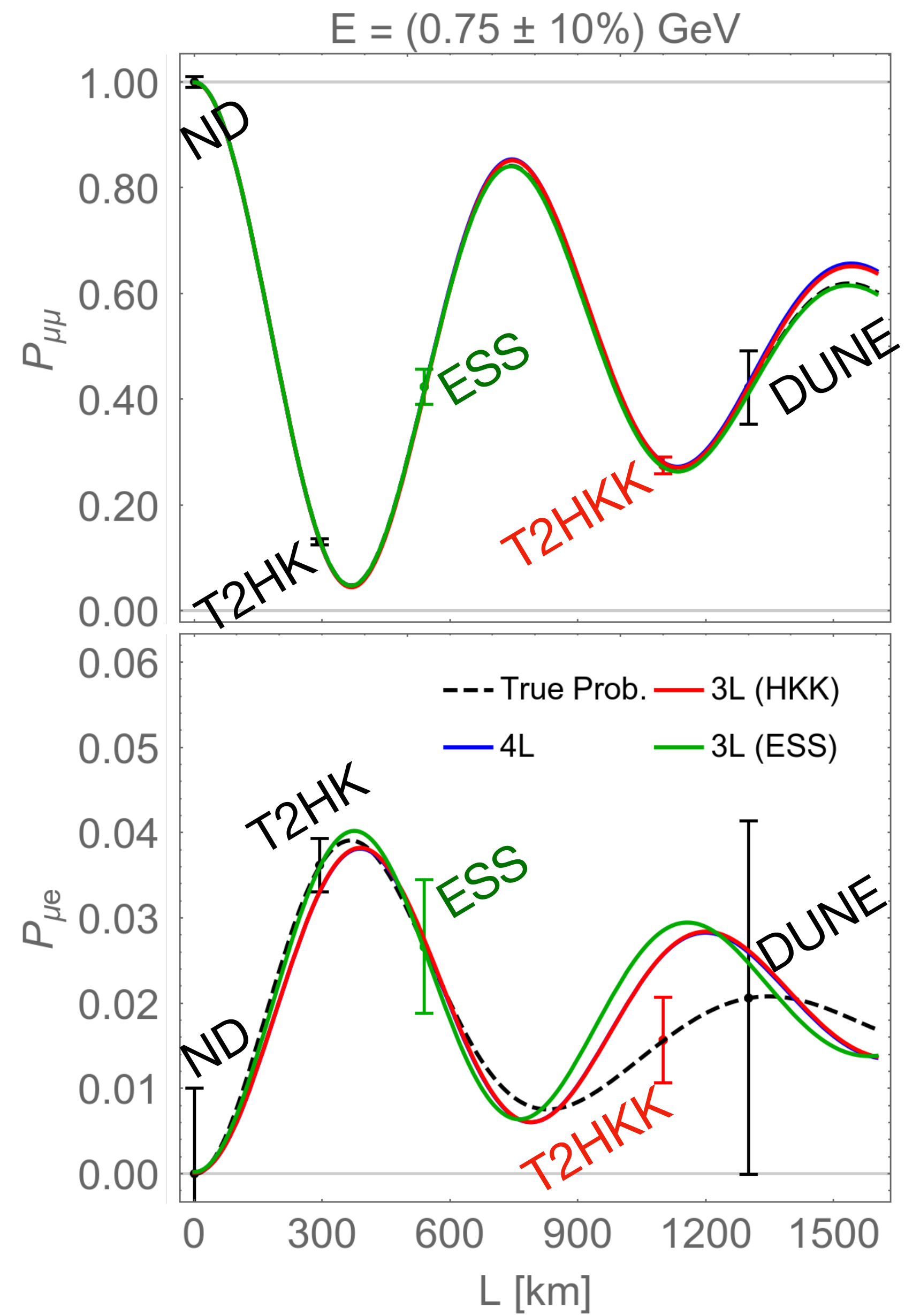
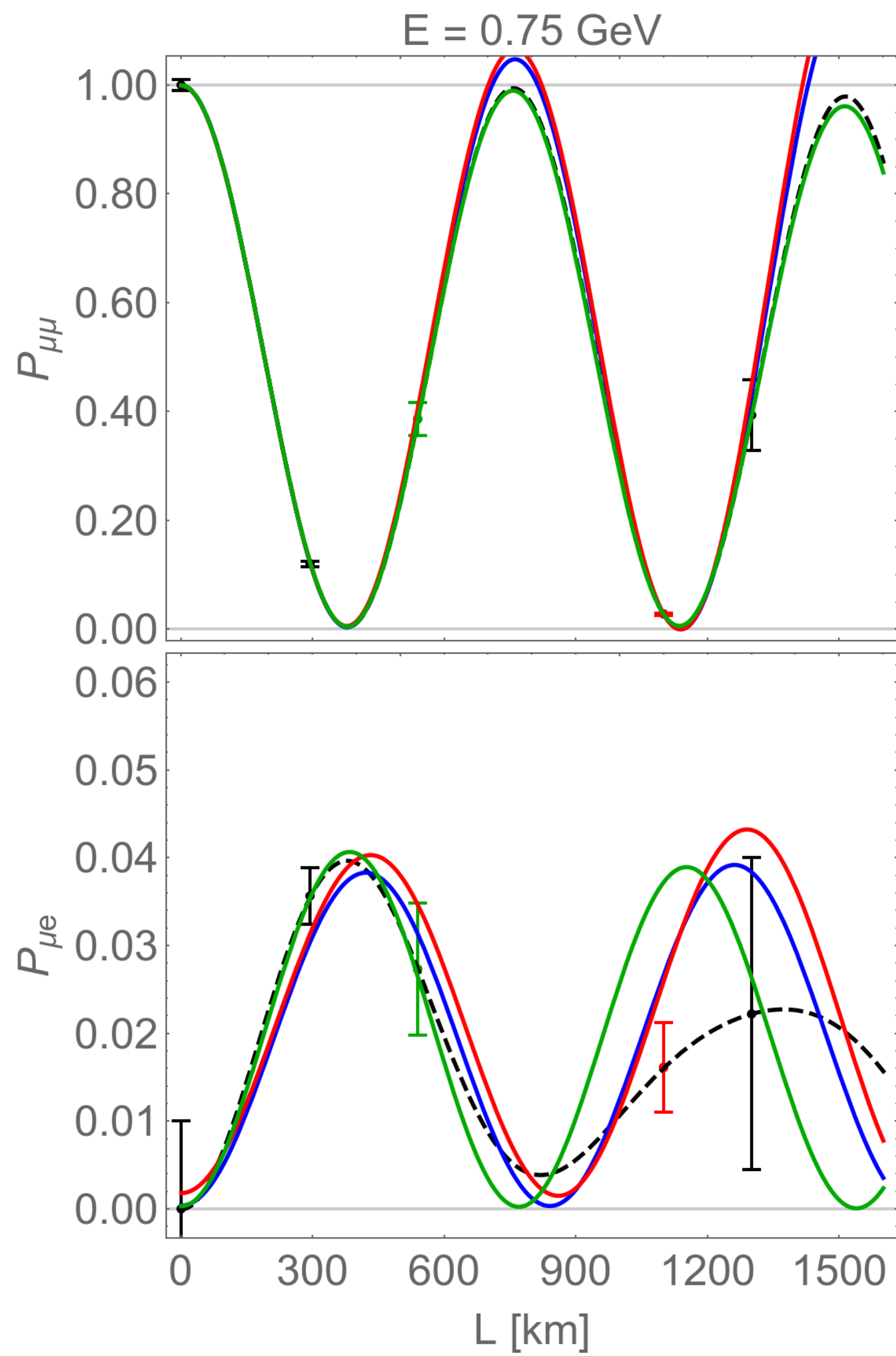
Does it work in real life?

- preliminary sensitivity studies [TS Segarra, PRL]
- assume „data“ is generated by standard 3-flavour oscillations with maximum CPV
- perform a 8-parameter fit searching for the best-fit c_i^α , ω_{ij} for each energy bin
- take $\sum_{\text{energy bins}} \chi_{\min}^2$ as rough measure for sensitivity

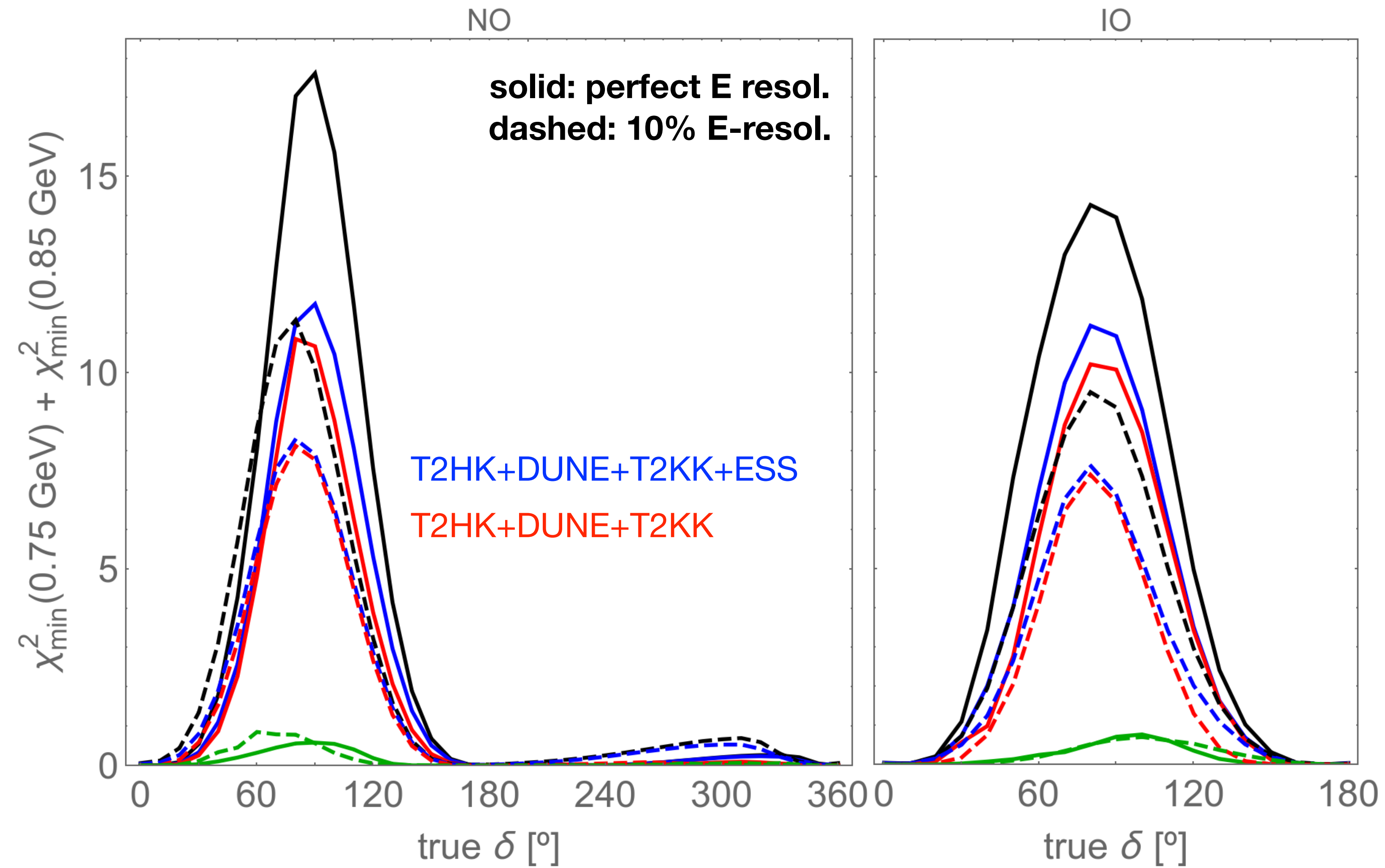
Does it work in real life?



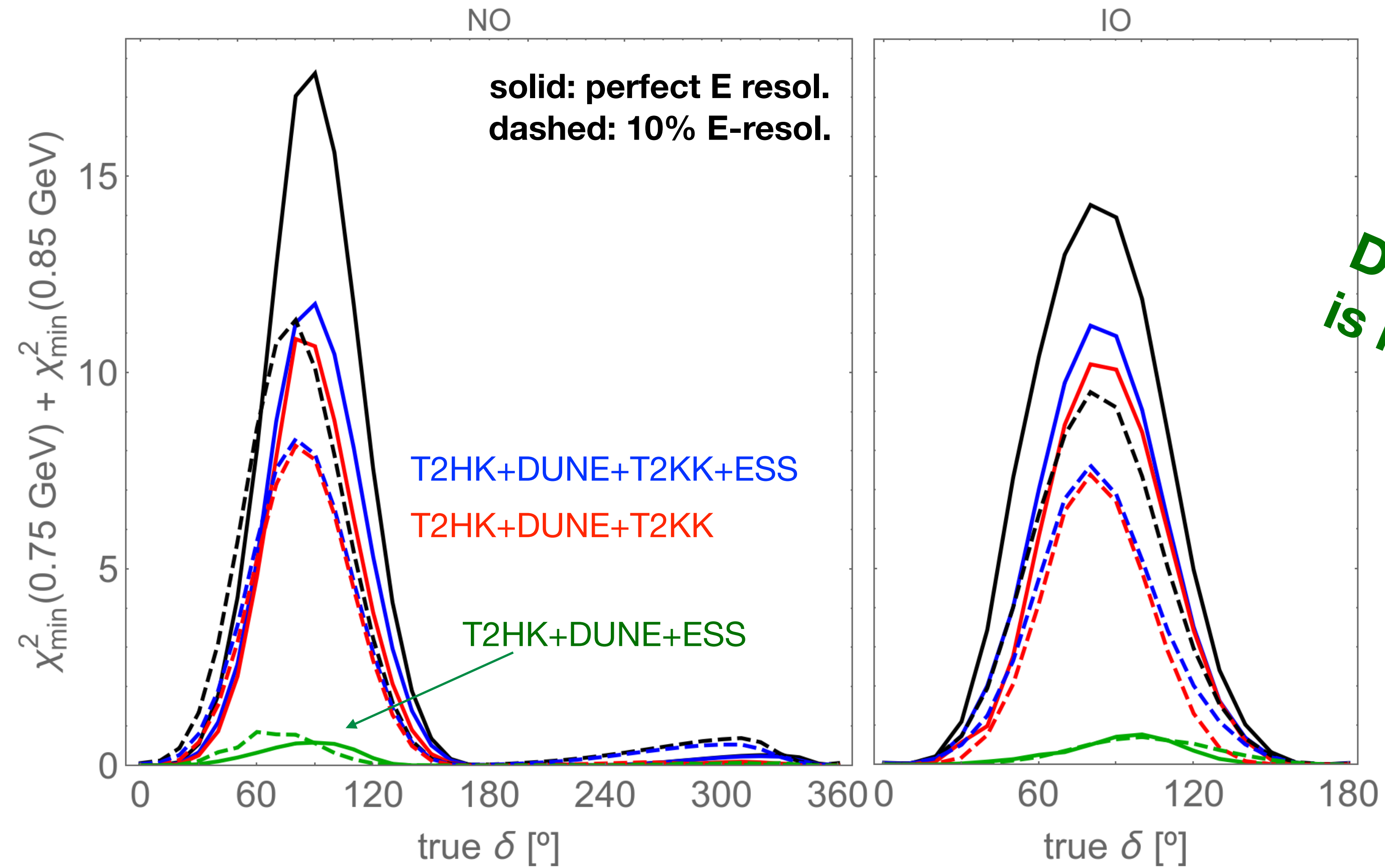
energy resolution
is crucial!



Does it work in real life?

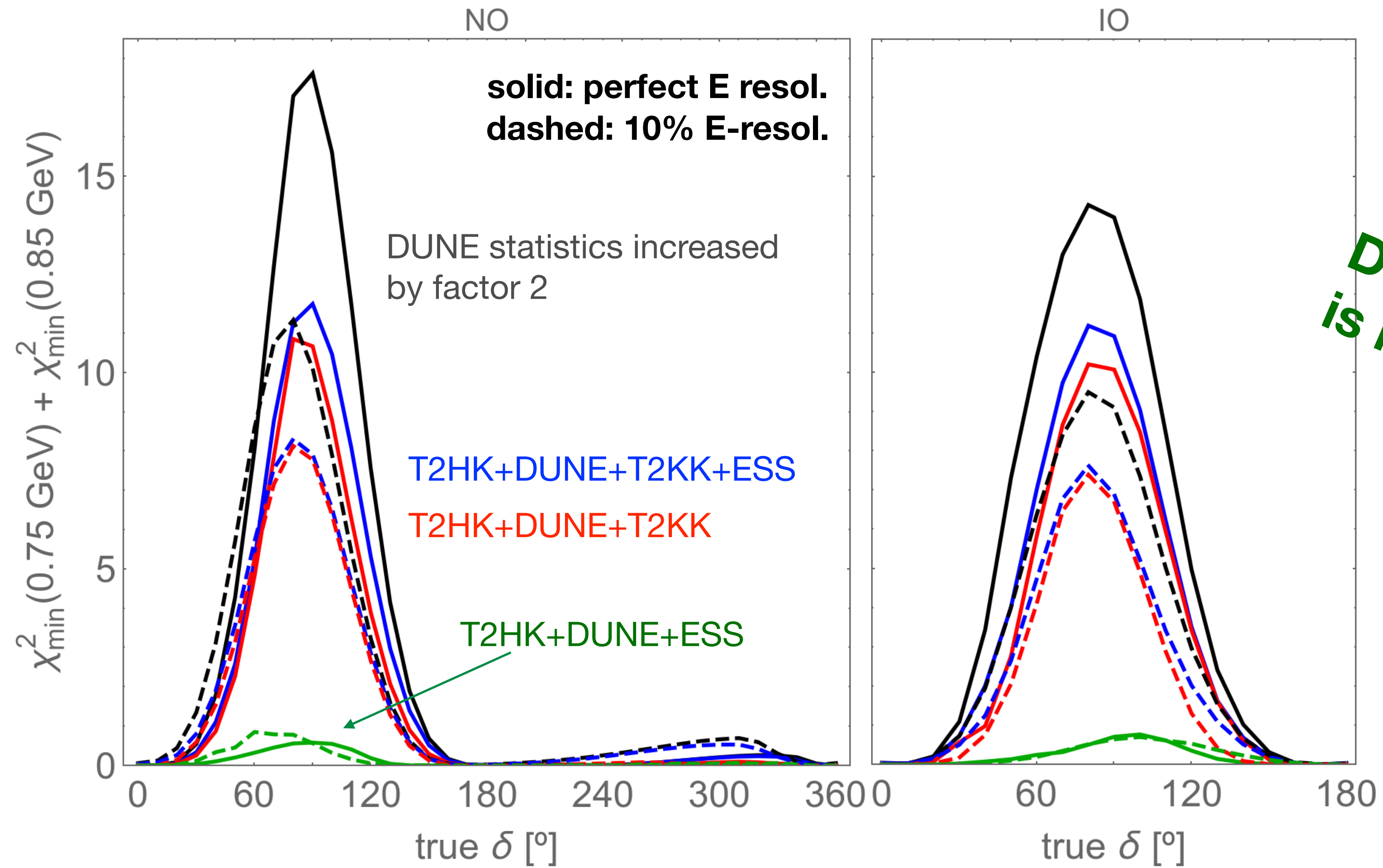


Does it work in real life?



Detector in Korea is needed!

Does it work in real life?



Detector in Korea is needed!

Sensitivity at $\delta_{\text{CP}} \sim 270^\circ$ provided by anti-neutrinos

- in general

$$P_{\mu e}^{\text{even}}(\text{2nd max}) \geq P_{\mu e}^{\text{even}}(\text{1st max})$$

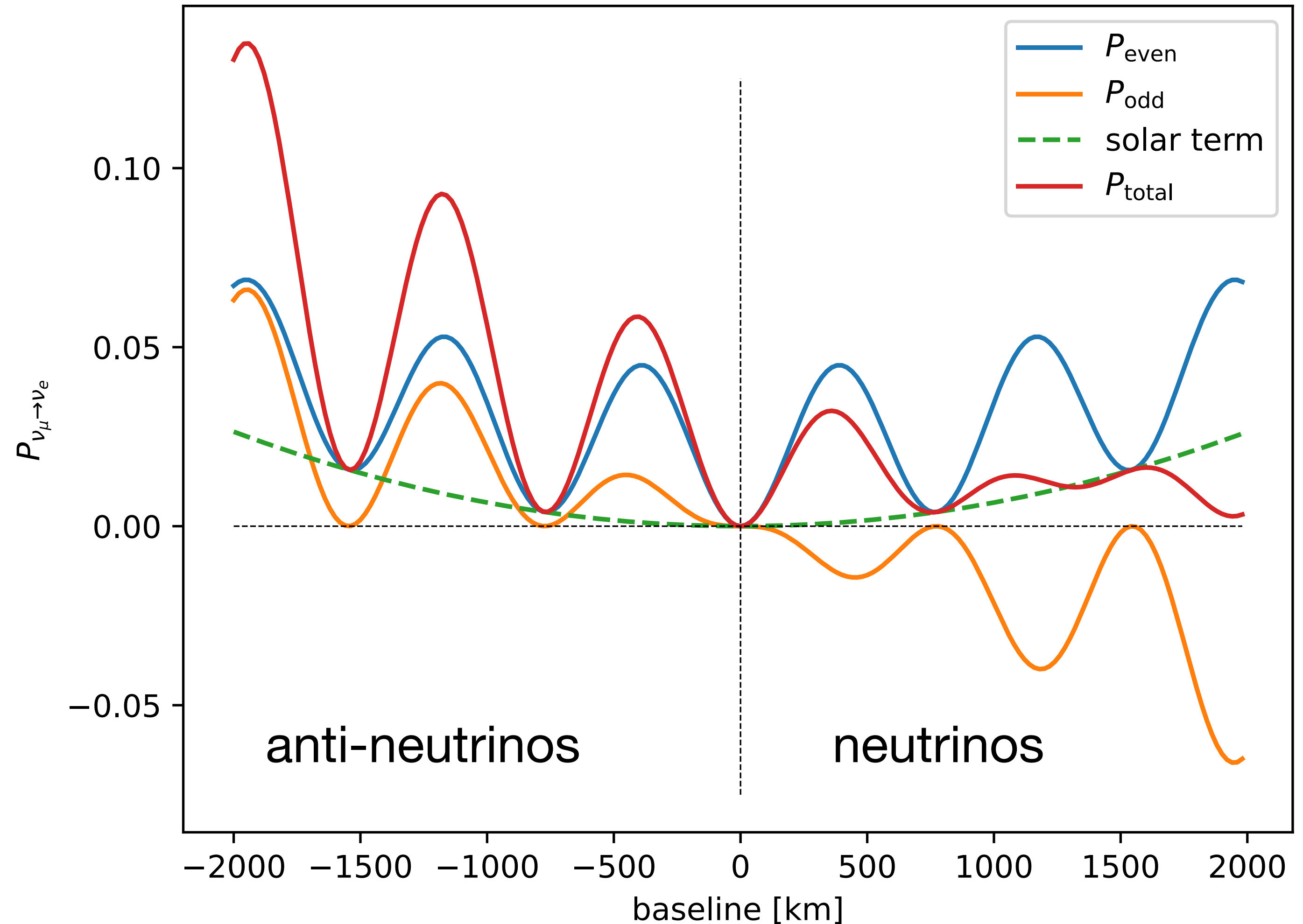
- test works only if

$$P_{\mu e}^{\text{total}}(\text{2nd max}) < P_{\mu e}^{\text{total}}(\text{1st max})$$

- this happens

for neutrinos at $\delta_{\text{CP}} \sim 90^\circ$

for anti-neutrinos at $\delta_{\text{CP}} \sim 270^\circ$



Detector in Korea is needed!

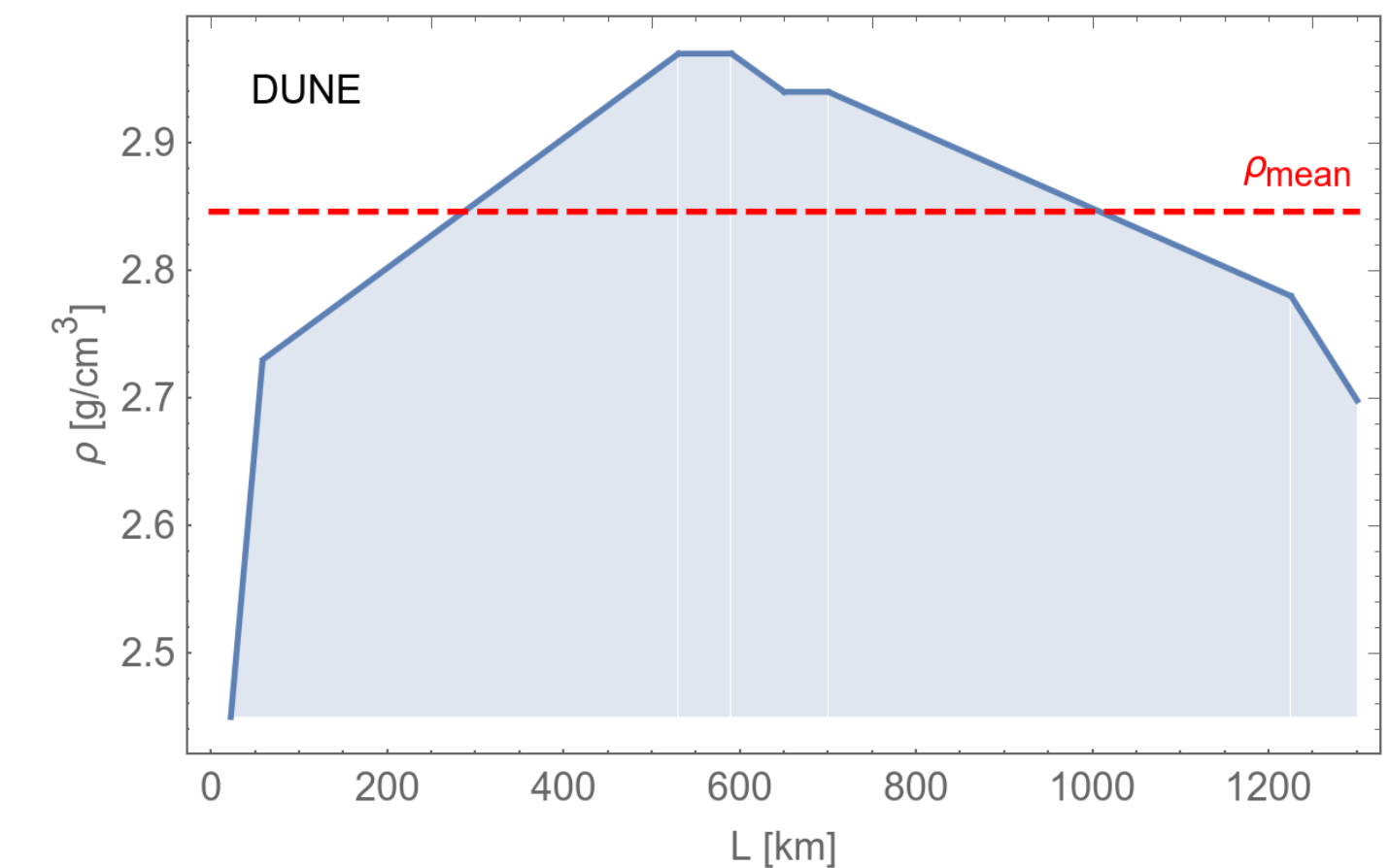
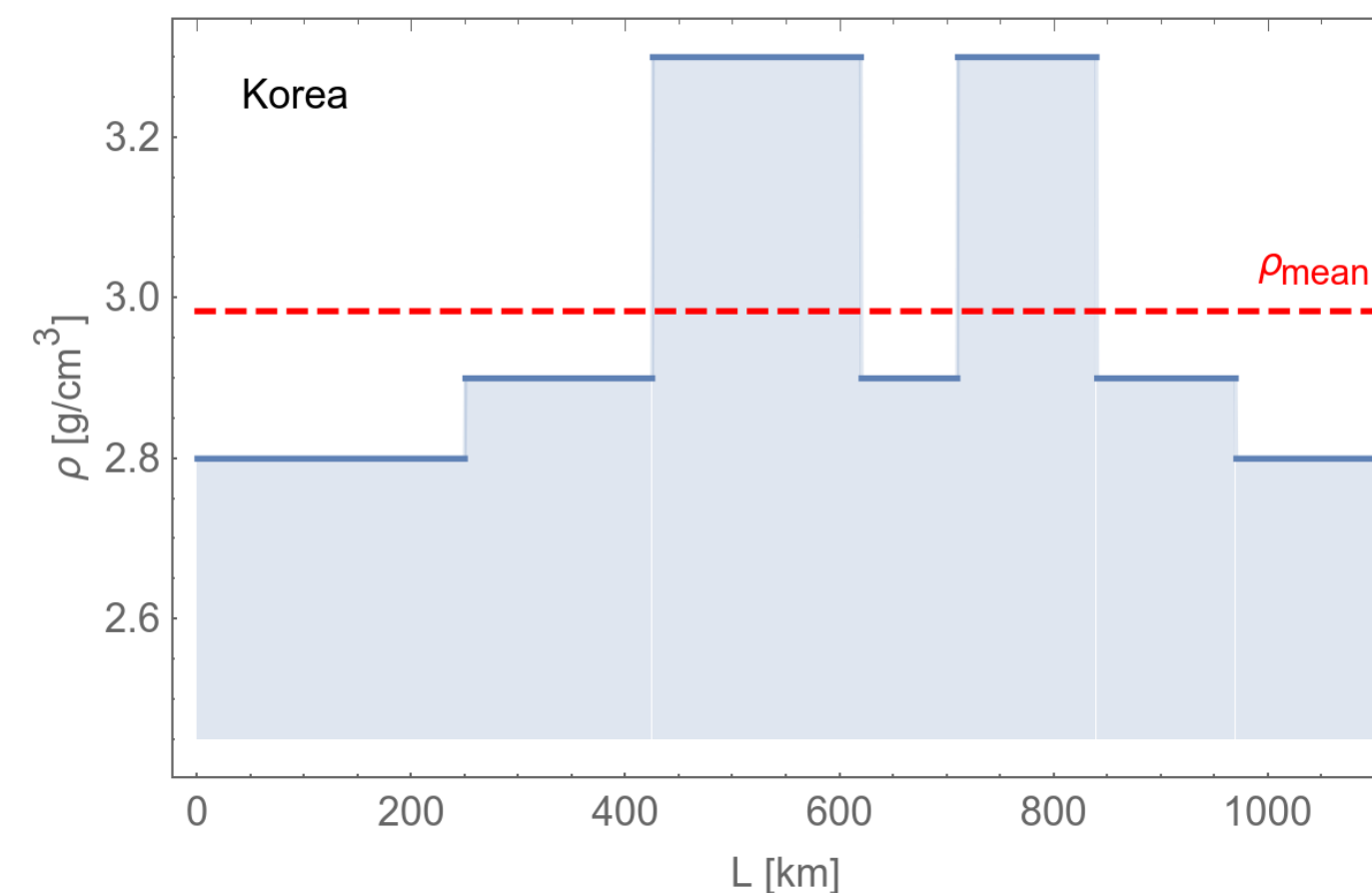
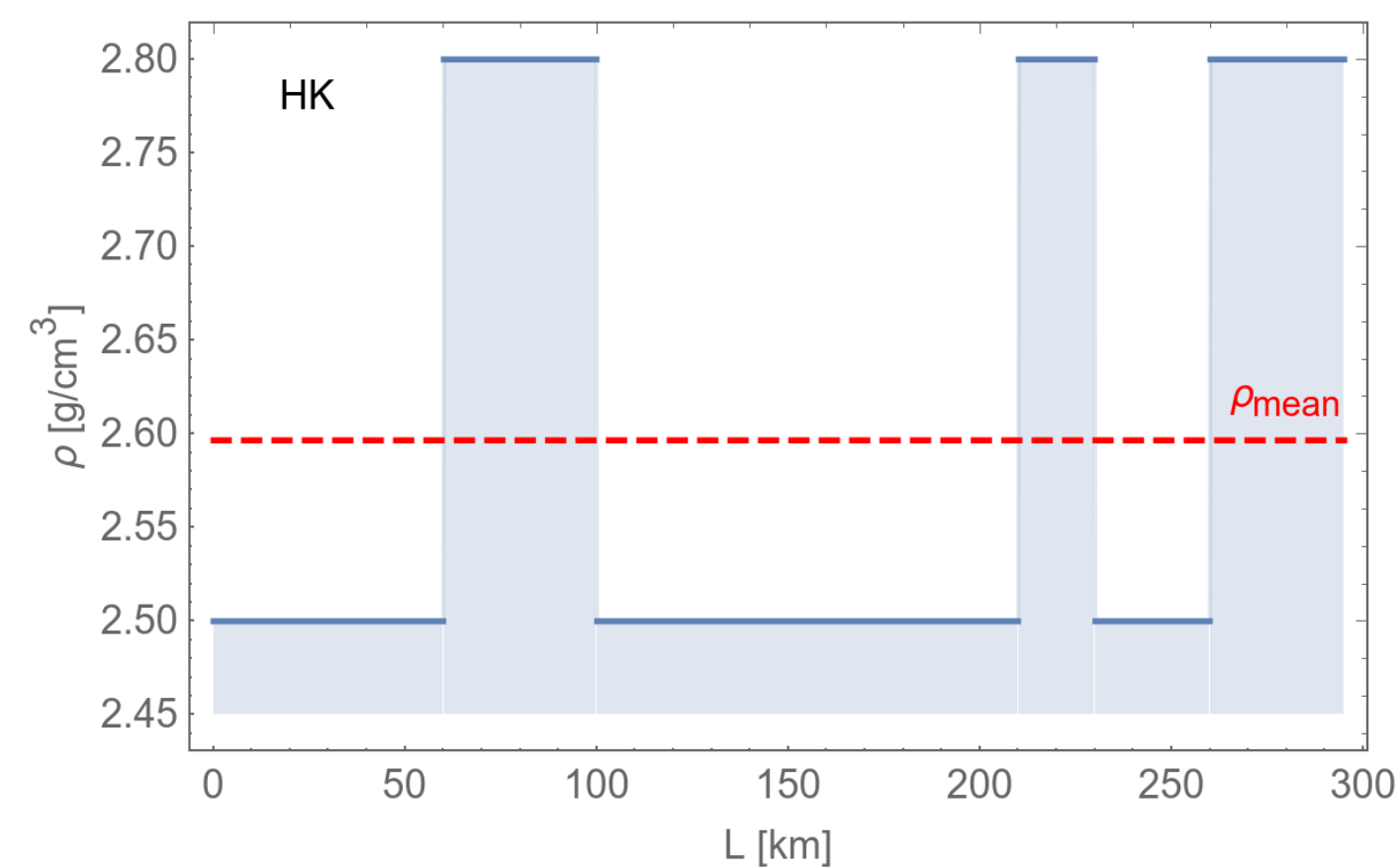
Table I. Fit to data with the Δm_{21}^2 prior $\sigma_{21} = 0.1$ in Eq. (6) assuming normal mass ordering and a true $\delta = 90^\circ$. Units of E are GeV. Columns correspond to different combinations of DUNE, T2HK, T2HKK, ESS ν SB. The values outside (inside) the brackets show the $\min(\chi^2)$ without (with) smearing the data with a 10% energy resolution.

E	w/o HKK	w/o DUNE	w/o ESS	all
0.65	0.07 [0.03]	0.76 [0.65]	0.04 [0.21]	0.79 [0.67]
0.75	0.04 [0.04]	6.95 [4.78]	7.92 [4.82]	8.60 [4.86]
0.85	0.54 [0.53]	0.76 [2.18]	2.75 [2.96]	3.15 [3.06]
0.95	-	-	0.42 [0.98]	-
Tot.	0.65 [0.60]	8.46 [7.60]	11.13 [8.97]	12.54 [8.59]

Correction due to non-constant matter density

[TS Segarra, PRD]

- have to assume: constant matter density, same for all experiments
- assuming new-physics is small correction, effect of non-constant density can be taken into account perturbatively

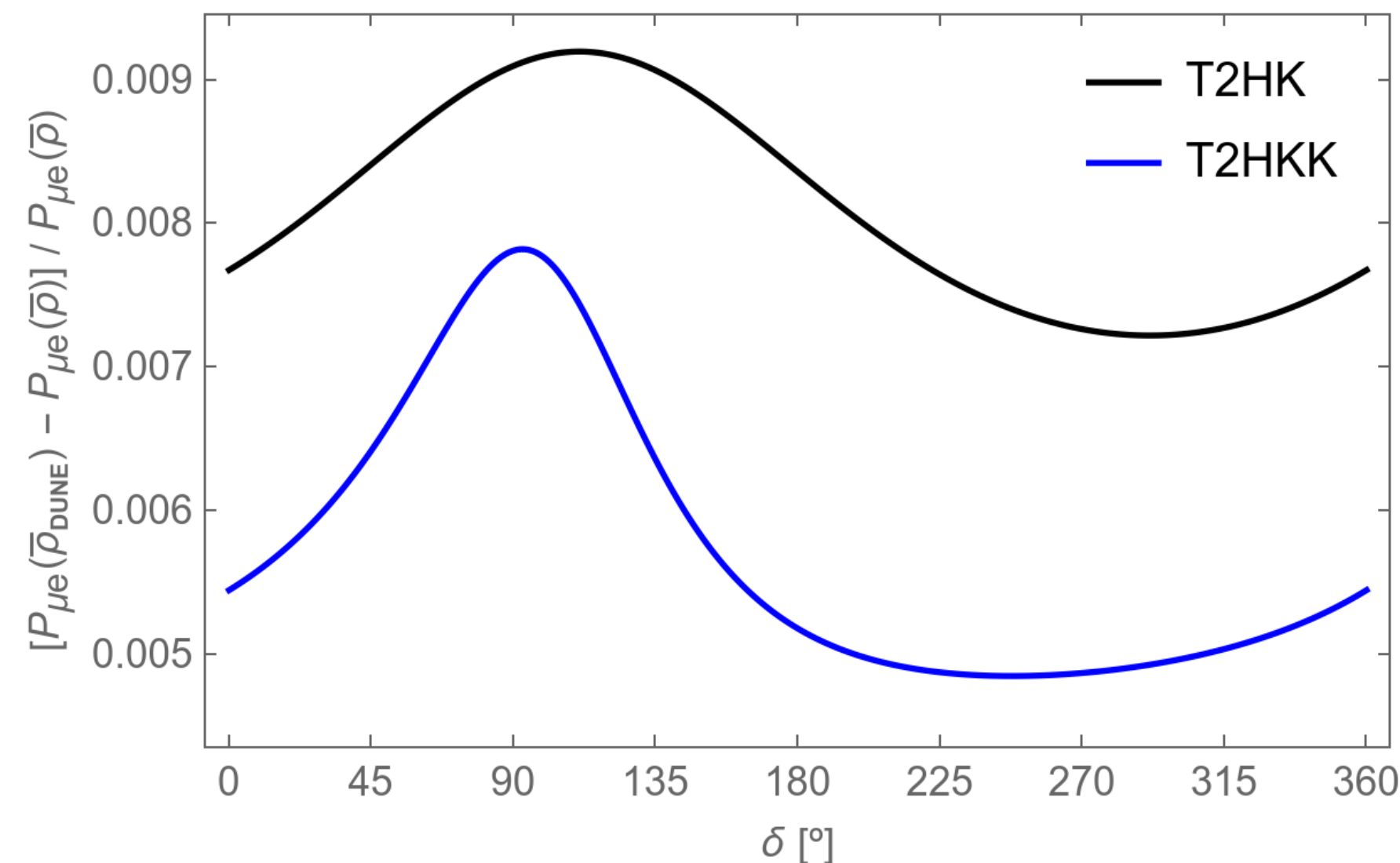


Hagiwara, Okamura, Senda, JHEP 09, 082, arXiv:1107.5857; B. Roe, PRD 95, 113004 (2017), arXiv:1707.02322

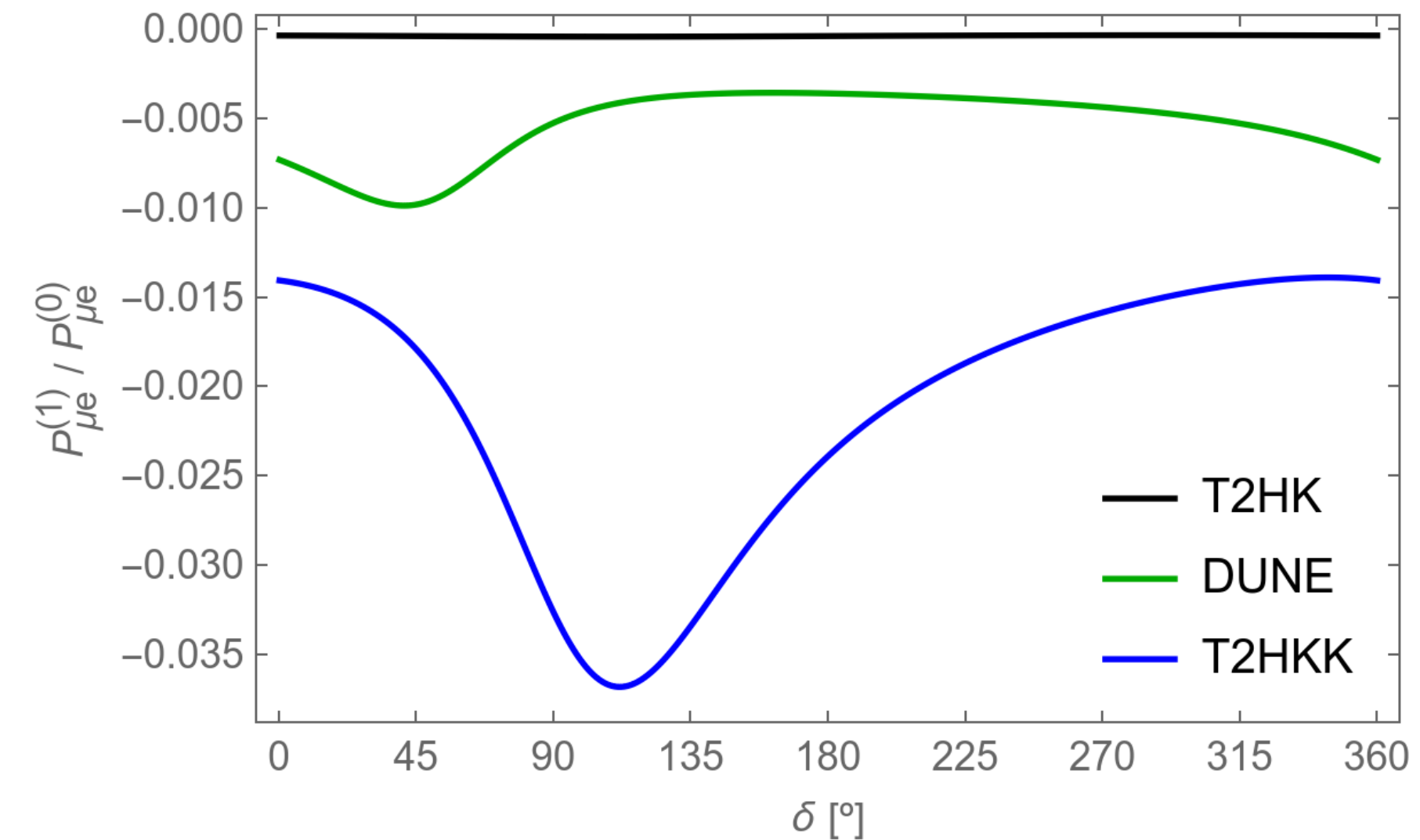
Correction due to non-constant matter density

[TS Segarra, PRD]

rel. error due to „wrong“ average density



correction due to non-constant density



environmentally induced T asymmetry

$$\text{T2HKK: } A_{\mu e}^{(1)} \approx 3.5 (5.3) \times 10^{-4},$$

$$\text{DUNE: } A_{\mu e}^{(1)} \approx -2.9 (-2.0) \times 10^{-4}$$

correction to T asymmetry for $\delta_{\text{CP}} = \pi/2$

$$\text{T2HKK: } A_{\mu e}^{(0)} \approx 7.1 \times 10^{-2}, \quad A_{\mu e}^{(1)} \approx -9.1 \times 10^{-4},$$

$$\text{DUNE: } A_{\mu e}^{(0)} \approx 6.5 \times 10^{-2}, \quad A_{\mu e}^{(1)} \approx 3.8 \times 10^{-4}.$$

Summary

Summary

- standard „search for CP violation“ is intrinsically model-dependent:
parametric fit for δ_{CP} within the Standard Model or any other specific BSM model

Summary

- standard „search for CP violation“ is intrinsically model-dependent:
parametric fit for δ_{CP} within the Standard Model or any other specific BSM model
- propose a (largely) **model-independent test for T violation:**

Summary

- standard „search for CP violation“ is intrinsically model-dependent:
parametric fit for δ_{CP} within the Standard Model or any other specific BSM model
- propose a (largely) **model-independent test for T violation:**
 - search for L-odd terms in the oscillation probability
measure $P(\nu_{\mu} \rightarrow \nu_{\alpha})$ at different L but at the same energy

Summary

- standard „search for CP violation“ is intrinsically model-dependent:
parametric fit for δ_{CP} within the Standard Model or any other specific BSM model
- propose a (largely) **model-independent test for T violation**:
 - search for L-odd terms in the oscillation probability
measure $P(\nu_{\mu} \rightarrow \nu_{\alpha})$ at different L but at the same energy
 - potentially works with 3 baselines covering 1st and 2nd oscillation max
at the same energy

Summary

- standard „search for CP violation“ is intrinsically model-dependent:
parametric fit for δ_{CP} within the Standard Model or any other specific BSM model
- propose a (largely) **model-independent test for T violation**:
 - search for L-odd terms in the oscillation probability
measure $P(\nu_{\mu} \rightarrow \nu_{\alpha})$ at different L but at the same energy
 - potentially works with 3 baselines covering 1st and 2nd oscillation max
at the same energy
 - good energy resolution essential
detector in Korea is needed
relies on low-energy tail of DUNE

Outlook

Outlook

- applies to
 - sterile neutrinos ($|\Delta m_{s1}^2| \ll \Delta m_{21}^2$ or $\gg |\Delta m_{31}^2|$)
 - non-unitarity
 - NSI in matter, source and detector, general EFT (at leading order), light and heavy mediators

Outlook

- applies to
 - sterile neutrinos ($|\Delta m_{s1}^2| \ll \Delta m_{21}^2$ or $\gg |\Delta m_{31}^2|$)
 - non-unitarity
 - NSI in matter, source and detector, general EFT (at leading order), light and heavy mediators
- are there other experiment configurations or combinations where it could work?

Outlook

- applies to
 - sterile neutrinos ($|\Delta m_{s1}^2| \ll \Delta m_{21}^2$ or $\gg |\Delta m_{31}^2|$)
 - non-unitarity
 - NSI in matter, source and detector, general EFT (at leading order), light and heavy mediators
- are there other experiment configurations or combinations where it could work?
- can we relax the equal-energy requirement?

Outlook

- applies to
 - sterile neutrinos ($|\Delta m_{s1}^2| \ll \Delta m_{21}^2$ or $\gg |\Delta m_{31}^2|$)
 - non-unitarity
 - NSI in matter, source and detector, general EFT (at leading order), light and heavy mediators
- are there other experiment configurations or combinations where it could work?
- can we relax the equal-energy requirement?

Thank you for your attention!