

# The neutron-proton mass difference

Kalman Szabo

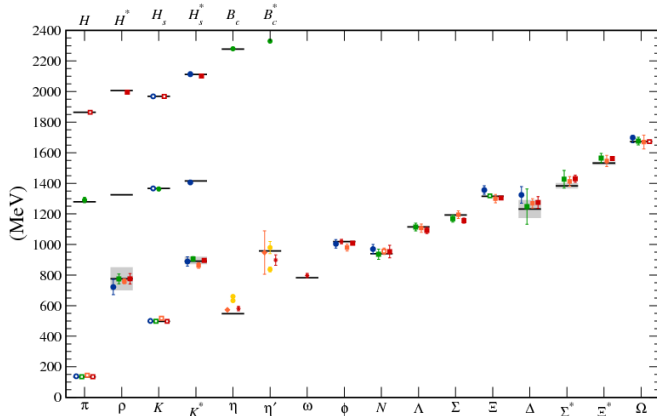
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**Budapest-Marseille-Wuppertal collaboration:**

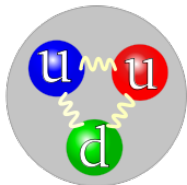
S. Durr, Z. Fodor, C. Hoelbling, S. Katz, S. Krieg, L. Lellouch,  
T. Lippert, A. Portelli, B. Toth

# Hadron spectrum

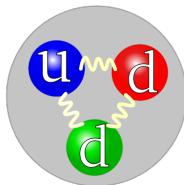
Several lattice QCD groups calculated the nucleon mass (and many more) to a few % accuracy. Compilation by [\[Kronfeld '13\]](#):



**Proton**

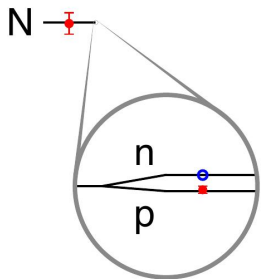


**Neutron**



**SU(2) isospin symmetry:  $u \leftrightarrow d$**

# Isospin symmetry



SU(2) is violated by

- quark mass difference
- electric charge difference

On the per mil level  $\Delta M_N/M_N = 0.14\%$  arising from a competition of the two.

The value of  $\Delta M_N$  is **necessary for the observed Universe:**

- $\delta M_N < 0.05\%$   $\rightarrow$  inverse  $\beta$ -decay leaves only neutrons
- $\delta M_N > 0.14\%$   $\rightarrow$  much faster  $\beta$ -decay, no heavy elements

# Calculating $\Delta M_N$ ?

Lattice QCD already **reached a few percent** precision on several observables ( hadron spectrum, quark masses, decay constants, matrix elements, hadron vacuum polarization, ... ).

**Reaching a better precision** requires the implementation of isospin symmetry violation.

The **very first thing** is to check if  $\Delta M_N$  comes out correctly.

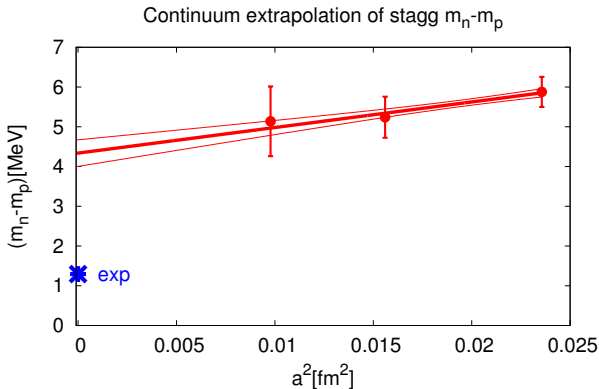
# Fine structure of the spectrum

**arXiv:1406:4088**

At dawn of lattice calculations (early 80's):

**“It is difficult to get a grossly incorrect hadron spectrum.”**

# $m_n - m_p$ with staggered fermions



**QCD is wrong? No. Staggered is wrong? No.  
Problem with naive staggered proton operator.**

In the continuum staggered symmetry group there are two different proton (uud) states differing in the taste structure. They have different masses, if  $m_u \neq m_d$ . The naive operator on the lattice couples to both. It can be challenging to separate the two states (need multiple operators).



**First full dynamical calculation** of QCD+QED with non-degenerate u, d, s, c quarks.

All systematics on  $m_n - m_p$  are taken into account upto  $\mathcal{O}(\alpha^2)$ .

Addressed **several issues in QED**:

- zero-mode subtraction
- finite volume corrections
- large noise/signal
- large autocorrelation

Challenging: **unprecedented precision** is required (  $\times 1000$  more statistics for  $m_n - m_p$  than for  $m_N$  )

# Zero-mode subtraction

$$A_\mu(k = 0)$$

Zero-mode of photon field is troublesome:

- in finite volume perturbative calculations are not well defined

$$\frac{\alpha}{V} \sum_k \frac{1}{k^2} \dots \longrightarrow \text{contains a straight } 1/0 !$$

- HMC algorithm is ineffective in updating the zero mode

**Removing zero mode** does not change infinite volume physics.

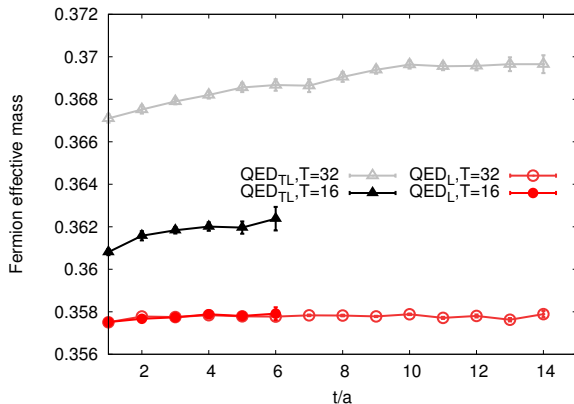
Many possible schemes, we study two choices:

- **QED\_TL**:  $A_\mu(k = 0) = 0$  [Duncan et al '96]
- **QED\_L**:  $A_\mu(k_0, \vec{k} = 0) = 0$  for all  $k_0$  [Hayakawa, Uno '08]

# Zero-mode subtraction

In **QED\_TL** masses are ill-defined (used in previous studies).

No clear mass-plateaus, mass increases with  $T$ . It violates reflection positivity!



**QED\_L** does not have these problems,  $T$  independent masses.


# Issues with QED

- zero-mode subtraction
- finite volume corrections
- dynamical QED: noise/signal
- dynamical QED: autocorrelation

# Finite-volume effects in pure QED

Instead of the usual exponential [Luscher 85], the FV effects are **power like** ( $L^{-1}, L^{-2}, \dots$ ). The FV effects are **large**, comparable to  $\Delta M_N$ .

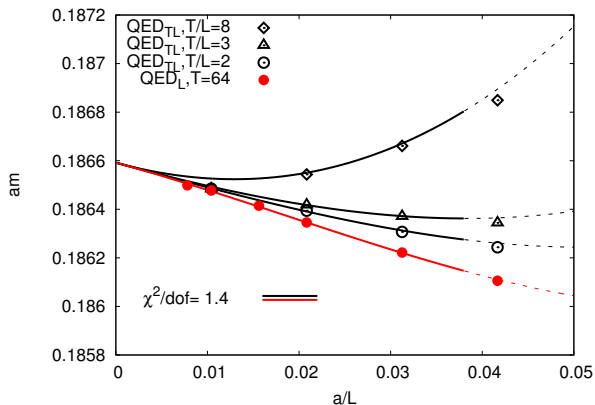
In pure QED can be studied by calculating:

$$\int \frac{dk_0}{(2\pi)} \left( \int \frac{d^3k}{(2\pi)^3} - \frac{1}{L^3} \sum_{\vec{k} \neq 0} \right) \times \text{diagram}$$
A Feynman diagram representing a photon loop. It consists of a horizontal solid line with an arrow pointing to the right, representing a fermion. Above this line is a closed loop of a wavy line, representing a photon. The loop is connected to the fermion line at two points, forming a semi-circular shape above the fermion line.

Power like FV effects arise from photon travelling around the lattice ( $k \rightarrow 0$ ).

# Finite-volume effects in pure QED

Analytical result (line) is used to verify the numerical code (point).  
Perfect agreement.



The different zero-mode subtraction schemes give the same infinite volume result.

# Finite volume effects in general

Proton is a **composite particle**, what are the FV effects?

- mesons in SU(3) PQ  $\chi$ -PT [Hayakawa,Uno '08]
- meson/baryons in non-rel. eff. field theory [Davoudi,Savage '14]
- point particle in QED [BMWc '14]

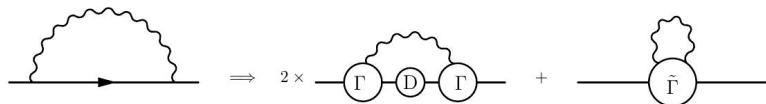
→ **same  $1/L$  and  $1/L^2$  behaviour**

$$m(T, L)/m = 1 - \frac{\alpha \cdot 1.418\dots}{(mL)} - \frac{\alpha \cdot 2.837\dots}{(mL)^2} + \mathcal{O}\left(\frac{\alpha}{L^3}\right)$$

The  $1/L$  is a purely classical effect (static charge in a box).

# Finite volume effects in general

Point particle propagator/vertex is replaced by dressed propagator and vertices (3pt and 4pt):



Use Ward's identities, Lorentz structure, expand Feynman-integrands in  $k$ , argue as in [Luscher 85]:

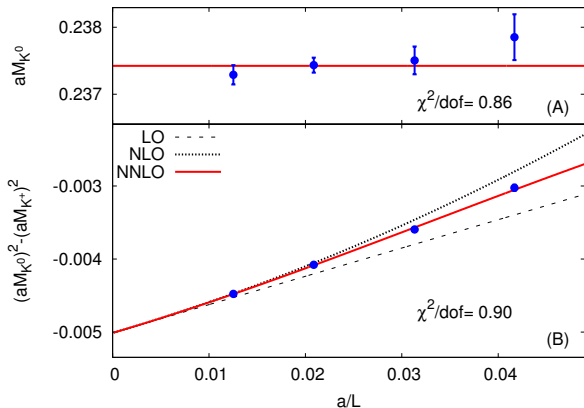
$\rightarrow$  **universal  $1/L$  and  $1/L^2$  behaviour**

**Large FV effects can be removed analytically.**



# FV dependence of the kaon mass

dedicated FV study:  $L=2.5 \dots 8.0$  fm at the same parameters

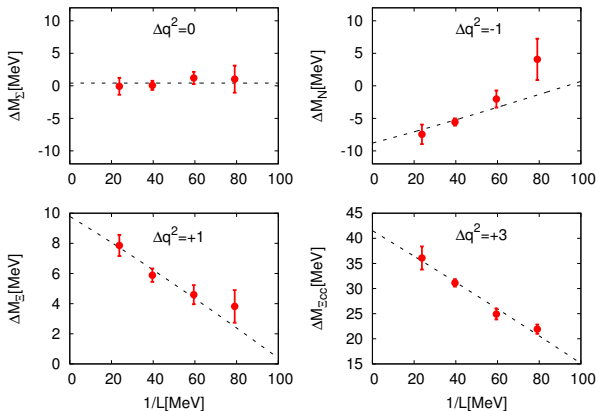


Neutral kaon shows no volume dependence.

Kaon splitting is perfectly described by formula with fitted  $1/L^3$ .

# FV dependence of baryon masses

dedicated FV study:  $L=2.5 \dots 8.0$  fm at the same parameters



$\Sigma$  splitting shows no volume dependence (cancels).

analysis strategy: include analytic corrections for the two universal orders and fit coefficient of  $1/L^3$  (almost always insignificant)

# Issues with QED

- zero-mode subtraction
- finite volume corrections
- dynamical QED: noise/signal
- dynamical QED: autocorrelation

# Dynamical QED

We are concerned with the QED interaction of quarks. An isospin splitting can be calculated as:

$$\langle \Delta \rangle_e = \int [dA][dU] \exp(-\mathbf{S}_\gamma[\mathbf{A}] + S_g[U]) \cdot \det D[\mathbf{eA}, U] \cdot \Delta[\mathbf{eA}, U]$$

## Electro-quenched approximation

$$\det D[\mathbf{eA}, U] \rightarrow \det D[0, U]$$

Used in most previous studies on isospin splittings.

Probably small error (SU3 suppressed), but it is still  $\mathcal{O}(e^2)$ , so it has to be eliminated in a full calculation.

**Dynamical QED** eliminates this error. How to do?

# Dynamical QED

Generate **gluon+photon** configurations simultaneously with a dynamical algorithm.

But there is a **noise/signal problem**:

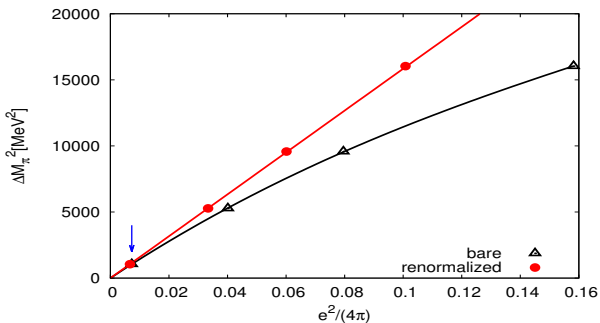
$$\langle \Delta \rangle_e = e \cdot \text{noise} + e^2 \cdot \text{signal} + \dots$$

Simulate at **larger than physical**  $\alpha$ , so signal outweighs noise:

$$\frac{e^2}{4\pi} = \frac{1}{137} \longrightarrow \frac{1}{10}$$

# Dynamical QED

data shows significant deviation from linear as the function  $e^2$



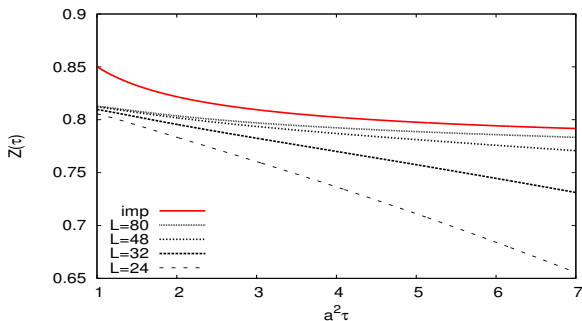
expansion in couplings at the energy scale of the process converge much faster: **define a coupling at a hadronic scale** ( $e_R^2$ )

simulate  $\left\{ \begin{array}{l} \text{neutral ensembles } e = 0 \\ \text{charged ensembles } e^2 \sim 4\pi/10 \end{array} \right.$  + linear interpolation in  $e_R^2$

# Dynamical QED

we use the **Wilson-flow** to define the renormalized charge

$$e_R^2(\tau)/e^2 = Z(\tau) = \tau^2 \langle F_{\mu\nu}^{(A)} F_{\mu\nu}^{(A)}(\tau) \rangle$$



there is sizeable finite volume dependence, that can be removed by tree-level improvement

eventually we want Thomson-limit  $\tau \rightarrow \infty$ , in practice we read off at  $\sqrt{8\tau} \sim 0.5\text{fm}$ , difference is small

# Issues with QED

- zero-mode subtraction
- finite volume corrections
- dynamical QED: noise/signal
- dynamical QED: autocorrelation



# Dynamical QED

long range QED  $\rightarrow$  **huge autocorrelation in standard HMC**  
problem is already present in the free case (uncoupled oscillators):

$$\mathcal{H} = \frac{1}{V} \sum_{k,\mu} \frac{P_{k,\mu}^2}{2} + \frac{k^2 A_{k,\mu}^2}{2}$$

small  $k$  oscillators are practically unchanged after a unit trajectory  
**Solution:** update small/large  $k$  modes using a long/short trajectory length, achieved by **changing kinetic term in HMC dynamics**

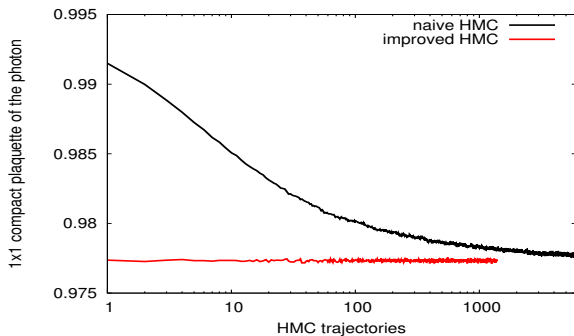
$$\mathcal{H} = \frac{1}{V} \sum_{k,\mu} \frac{P_{k,\mu}^2}{2M_k} + \frac{k^2 A_{k,\mu}^2}{2} \quad \text{with} \quad M_k = 4k^2/\pi^2$$

all modes forget initial condition after a unit trajectory  
 $\Rightarrow$  **improved HMC has no autocorrelation** in the free case

# Dynamical QED

$$\mathcal{H} = \frac{1}{V} \sum_{k,\mu} \frac{P_{k,\mu}^2 \pi^2}{4k^2} + \frac{k^2 A_{k,\mu}^2}{2}$$

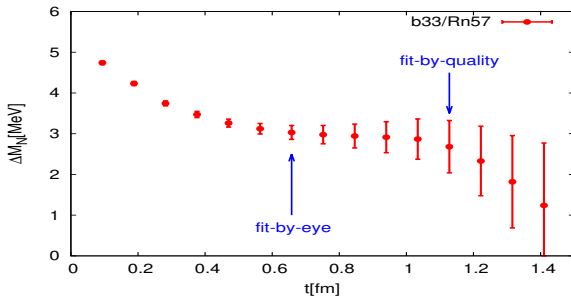
only works with zero mode subtraction (like QED\_TL or QED\_L)



requires an FFT in every HMC step in the interacting case

# Extracting the masses

**Which timeslices are appropriate to extract the mass?** If  $t$  too small, excited states contaminate. If  $t$  too large, signal gets lost.



Choosing the plateau by eye gives too small errors, and the result is in disagreement with the experimental  $\Delta M_N$ .

Look at the distribution of fit-qualities over the 41 ensembles.  
Choose such a fit range, that  $Q$  has a uniform distribution.

$\Rightarrow t_{min} = 1.1$  fm for the neutron-proton mass difference

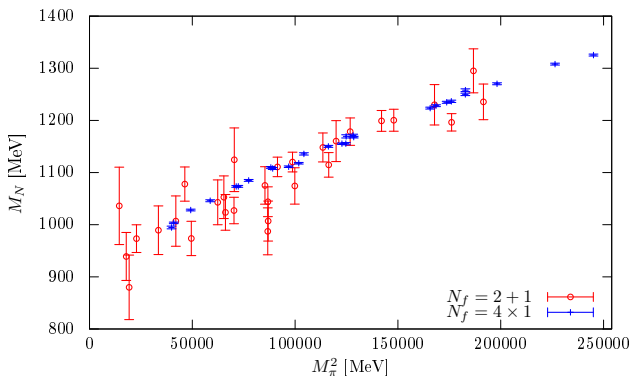
# Sketch of simulations

- four lattice spacings  $a = 0.102 \dots 0.064$  fm (insensitive)
- pion masses  $M_\pi = 195 \dots 490$  MeV (insensitive)
- 27 neutral ensembles with  $m_u \neq m_d$
- 14 charged ensembles including
  - finite volume scan  $L = 2.4 \dots 8.2$  fm
  - electric charge scan  $e = 0 \dots 1.41$
- parameter tuning with QCDSF strategy  $m_u + m_d + m_s$  const
- $\mathcal{O}(10k)$  trajectory long ensembles,  $\mathcal{O}(500)$  source positions on each configuration using 2-level multigrid inverter [Frommer et al '13] and variance reduction technique [Blum,Izubuchi,Shintani '13]

# Simulations

About  $1000\times$  more statistics for  $\Delta M_N$  [BMWc '14] than for  $M_N$  [BMWc '08]. Recent algorithmic improvements:

- using 2-level multigrid inverter [Frommer et al '13]
- variance reduction technique [Blum,Izubuchi,Shintani '13]



# Sketch of analysis

- mass splittings on 41 ensembles are modelled by functions like

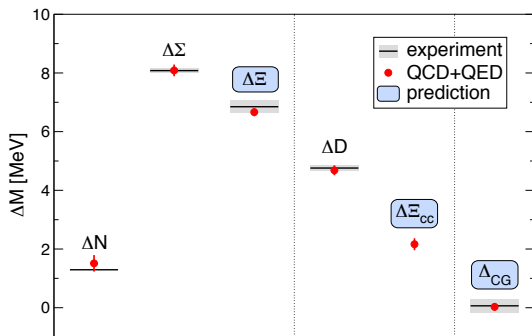
$$\Delta M_X = F_X(\pi^+, K^0, D^0) \cdot \alpha + G_X(\pi^+, K^0, D^0) \cdot \Delta M_K^2$$

- physical point is defined by the inputs:  $\pi^+, K^0, K^+, D^0$  and  $\alpha$ , scale is set by  $\Omega$  mass
- separating QED and QCD contributions to isospin splittings
- **systematic error estimation:**
  - carrying out several equally plausible fits differing in functional form of  $F_X/G_X$
  - weight different models by **Akaike's information criterion:** prefers fits with lower  $\chi^2$  values, but punishes with too many fit parameters

$$AIC = \chi^2 + 2 \cdot \#\text{parameters}$$

- systematic error is the width of the weighted histogram

# Final results



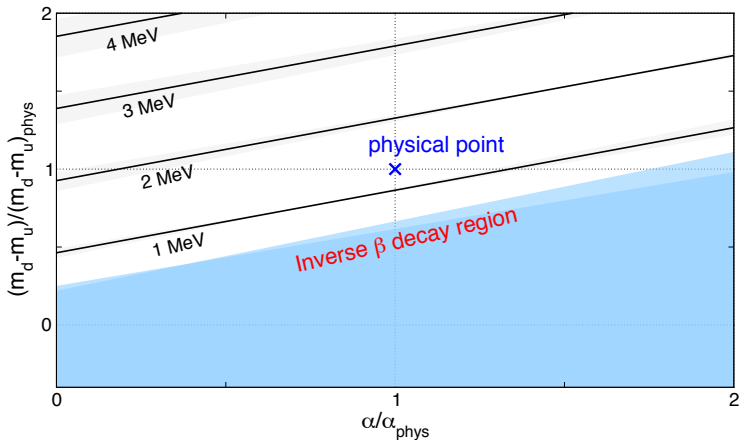
arXiv:1406:4088



- $5\sigma$  signal for neutron-proton mass difference
- three predictions + calculation of QCD/QED contributions
- $\Delta_{CG} = \Delta M_N - \Delta M_\Sigma + \Delta M_\Xi$  (Coleman-Glashow relation)
- full calculation - all systematics are estimated

# Could things have been different?

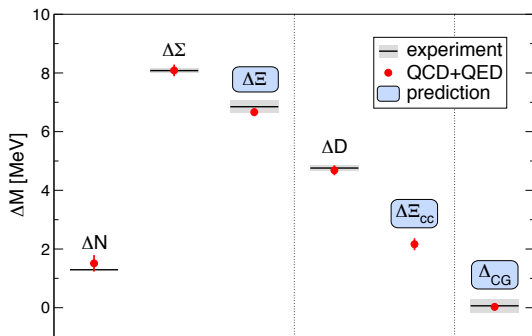
How changing  $\alpha$  and  $m_d - m_u$  changes  $\Delta M_N$ ?



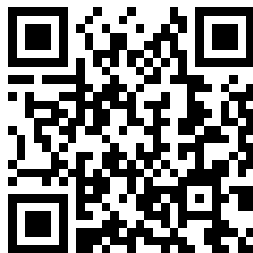
Outlook for the (far) future: How does our own existence constrain the parameters of the Standard Model?



# Final results



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