

# Abelian gauge symmetries and higher charge states from Matrix Factorization

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Based on work in progress with A. Collinucci, M. Fazzi and D. Morrison

- ▶ In the M/F-theory geometric engineering, **Abelian gauge symmetries** emanate from **reduction of  $C_3$  along harmonic, normalizable 2-forms**.

$$C_3 \sim A_\mu dx^\mu \wedge \omega$$

- ▶ The 2-form  $\omega$  can be described via its **Poincaré dual cycle (divisor)**.
- ▶ In F-theory, the elliptically fibered CY has **extra sections**, which are identified as new divisor classes giving rise to U(1)s. [Morrison,Vafa]

Techniques expanded and refined over the past few years.

[Grimm,Weigand; Morrison,Park; (Borchmann),Mayrhofer,Palti,Weigand;

Cvetic,(Grassi),Klevers,Piragua,(Song),(Taylor); V.Braun,Grimm,Keitel; Braun,Collinucci,RV]

- ▶ In this talk, new way of detecting such divisors in varieties that admit small resolutions. We will focus on CY *three-fold*.

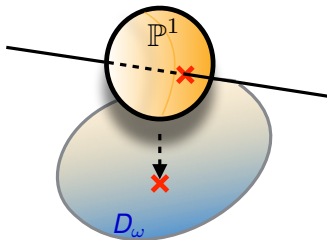
# Introduction

Simplest way to get a massless U(1) in F-theory is 'U(1) restriction':

[Grimm,Weigand]

$$(y - a_3)(y + a_3) = x(x^2 + b_2x + 2b_4)$$

- ▶ elliptic fibration has one **conifold singularity** at  $x = y = a_3 = b_4 = 0$ ;
- ▶ small resolution: exceptional  $\mathbb{P}^1$  intersects **extra divisor**  $D_\omega$  at one point.



M2 couples to the 3-form:

$$\int_{M2} C_3 = \int A_\mu dx^\mu \int_{\mathbb{P}^1} \omega = (\mathbb{P}^1 \cdot D_\omega) \int A_\mu dx^\mu$$

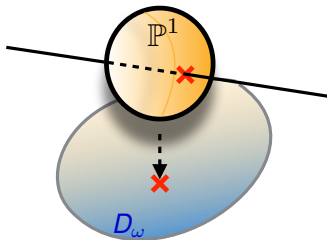
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'U(1) restriction' is so far also the only (compact) case where **Matrix Factorization (MF)** has been applied in F-theory. [Collinucci,Savelli]

This formalism allows to deal with **singular manifolds without resolution**.

- ▷ In particular, a 'line bundle'  $M$  on CY arises naturally.  
 $c_1(M) \sim \omega$  related to the U(1) divisor.
- ▷ Identify massless matter charged under this U(1).

Moreover, MF comes naturally with (NCC)Resolution and associated **quiver**.

[Aspinwall,Morrison], [Andres' talk]

Apply this formalism to more generic setups with abelian gauge symmetries and matter with different charges. This approach can give new insights.

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# Conifold

In  $U(1)$  restriction, Weierstrass model **factorizes** as

$$(y - a_3)(y + a_3) = x(x^2 + b_2x + 2b_4)$$

$$y_+ y_- = x w$$

with  $y_{\pm} \equiv y \pm a_3$ ,  $w \equiv x^2 + b_2x + 2b_4$

- ※ **Non-Cartier divisors**  $\{y_{\pm} = x = 0\} \leftrightarrow$  **extra section, massless  $U(1)$ .**
- ※ Sing at  $C_{q=1} : \{a_3 = b_4 = 0\}$  on the base  $\leftrightarrow$  **charged states**

Weak coupling limit: [Sen]

$$CY_3 : \xi^2 = b_2, \quad \Delta_{D7} = (b_4 - \xi a_3)(b_4 + \xi a_3)$$

One  $U(1)$  brane and its orientifold image,  
intersecting at  $\{a_3 = b_4 = 0\}$  (**matter**).

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# Conifold - Matrix Factorization (MF)

Eq  $y_+ y_- = s w$  admits a (pair of) **MF**, i.e. a pair of matrices  $(\phi, \psi)$  s.t.

$$\phi \cdot \psi = \psi \cdot \phi = (y_+ y_- - x w) \mathbb{1}_2$$

Conifold MF:

$$\phi = \begin{pmatrix} y_- & x \\ w & y_+ \end{pmatrix} \quad \psi = \begin{pmatrix} y_+ & -x \\ -w & y_- \end{pmatrix}$$

From  $\phi, \psi$  one can define (MCM) modules over  $R$  [Eisenbud], e.g.

$$M = \text{coker}(R^{\oplus 2} \xrightarrow{\psi} R^{\oplus 2}) \approx R^{\oplus 2} / \text{Im} \psi$$

where  $R = \mathbb{C}[y_+, y_-, x, w]/(y_+ y_- - x w)$  is the coordinate ring.

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- ▶ defined on sing space

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# Conifold - Small resolution

Conifold MF:

$$\phi = \begin{pmatrix} y_- & x \\ w & y_+ \end{pmatrix} \quad \psi = \begin{pmatrix} y_+ & -x \\ -w & y_- \end{pmatrix}$$

Small resolution:

$$\begin{pmatrix} y_- & x \\ w & y_+ \end{pmatrix} \begin{pmatrix} \ell_1 \\ \ell_2 \end{pmatrix} = 0 \quad \subset \text{Amb}_4 \times \mathbb{P}^1_{[\ell_1, \ell_2]}$$

- ▶ **Exceptional locus:**  $\mathbb{P}^1_{[\ell_1, \ell_2]}$ .
- ▶ Introduced line bundle  $\mathcal{L} = \mathcal{O}(1)$ , that is lift of  $M$ .
- ▶ Associated **divisor**  $c_1(\mathcal{L})$  is locus where a generic section vanishes, i.e.

$$\sigma_1 \ell_2 - \sigma_2 \ell_1 = 0 \quad \Rightarrow \quad \sigma_1 y_- + \sigma_2 x = 0, \quad \sigma_1 w + \sigma_2 y_+ = 0$$

- ▶  $c_1(\mathcal{L})$  intersects  $\mathbb{P}^1_{[\ell_1, \ell_2]}$  at one point.

# Conifold - Divisor on singular space

For the conifold:

$$\phi = \begin{pmatrix} y_- & x \\ w & y_+ \end{pmatrix} \quad \psi = \begin{pmatrix} y_+ & -x \\ -w & y_- \end{pmatrix}$$

$M = \text{coker}(R^{\otimes 2} \xrightarrow{\psi} R^{\otimes 2}) \sim \text{rank-1}$  (line bundle over resolved conifold)

\*  $c_1(M) \sim$  locus where a generic section vanishes.

\*  $\text{coker } \psi \cong \text{Im } \phi \rightarrow c_1 : \begin{pmatrix} y_- & x \\ w & y_+ \end{pmatrix} \begin{pmatrix} \sigma_1 \\ \sigma_2 \end{pmatrix} = 0,$

i.e.  $\sigma_1 y_- + \sigma_2 x = 0, \quad \sigma_1 w + \sigma_2 y_+ = 0$

\* Family of non-Cartier divisors, among which extra-section of elliptic fibration ( $\sigma_1 = 0, \sigma_2 = 1$ ).

U(1) restriction is **subcase** of class of elliptic fibrations with **one extra section**.

Generic case is described by **Morrison-Park (MP)**

$$y^2 = x^3 + c_2 x^2 + (2c_1 c_3 - b^2 c_0) x + c_0 c_3^2 - b^2 c_0 c_2 + b^2 c_1^2$$

- \* A **rational section**  $\leftrightarrow$  massless U(1);
- \* two **curves of conifold-like sing**  $\leftrightarrow$  matter with charges  $q = 1, 2$ .

$$y^2 = x^3 + c_2 x^2 + (2c_1 c_3 - b^2 c_0) x + c_0 c_3^2 - b^2 c_0 c_2 + b^2 c_1^2$$

Sen limit realized by rescaling  $c_3 \mapsto \epsilon c_3$ ,  $b \mapsto \epsilon b$  and taking  $\epsilon \rightarrow 0$ .

- CY 3-fold:  $\xi^2 = c_2$ .      Orientifold involution:  $\xi \mapsto -\xi$ .
- D7-brane locus:

$$\Delta_{D7} = (c_3^2 - c_2 b^2) (c_1^2 - c_2 c_0) = (c_3 - \xi b) (c_3 + \xi b) (c_1^2 - \xi^2 c_0)$$

Pair of brane-imagebrane and invariant brane: **one massless  $U(1)$** .

- **Matter** curves:

$$C_{q=2} = \{ c_3 = b = 0 \}, \quad C_{q=1} = \{ c_3^2 - c_2 b^2 = c_1^2 - c_2 c_0 = 0 \}$$

# Morrison-Park from Universal Flop of length 2

Is there a  $2 \times 2$  MF ?

The answer is **NO** ! But there is a  $4 \times 4$ :

MP-threefold can be seen as a submanifold inside the universal flop of length 2 (that is a six-fold inside  $\mathbb{C}^7_{[x,y,z,t,u,v,w]}$ ): [Curto,Morrison; Aspinwall,Morrison]

$$y^2 = ux^2 + 2vxz + wz^2 - (uw - v^2)t^2.$$

MP given by:

$$u = c_2 + x, \quad t = b, \quad w = c_0, \quad v = c_1, \quad z = c_3$$

$4 \times 4$  MF of universal flop of length 2 is valid for MP as well.

# MP — Matrix Factorization

$$P_{MP} \equiv -y^2 + x^3 + c_2 x^2 + (2c_1 c_3 - b^2 c_0) x + c_0 c_3^2 - b^2 c_0 c_2 + b^2 c_1^2$$

There exists a  $4 \times 4$  MF, i.e.  $(\Psi, \Phi)$  such that

$$\Psi \cdot \Phi = \Phi \cdot \Psi = P_{MP} \mathbb{1}_4$$

with

$$\Psi = \begin{pmatrix} y + c_1 b & x & -c_3 & -b \\ 2c_1 c_3 + x(x + c_2) & y - c_1 b & -b(x + c_2) & -c_3 \\ -c_0 c_3 & c_0 b & y + c_1 b & -x \\ c_0 b(x + c_2) & -c_0 c_3 & -2c_1 c_3 - x(x + c_2) & y - c_1 b \end{pmatrix}$$

and  $\Phi = 2y \mathbb{1}_4 - \Psi$ .

How can we extract massless U(1) and charged matter?



# U(1) divisor from MF

$M = \text{coker}(R^{\otimes 4} \xrightarrow{\Psi} R^{\otimes 4})$  is now **rank 2**.

$$\text{with } \Psi = \begin{pmatrix} y + c_1 b & x & -c_3 & -b \\ 2c_1 c_3 + x(x + c_2) & y - c_1 b & -b(x + c_2) & -c_3 \\ -c_0 c_3 & c_0 b & y + c_1 b & -x \\ c_0 b(x + c_2) & -c_0 c_3 & -2c_1 c_3 - x(x + c_2) & y - c_1 b \end{pmatrix}$$

\* Again  $c_1(M)$  is U(1) div  $\rightarrow$  locus where two sections become parallel.

$$\begin{pmatrix} c_3^2 - b^2(x + c_2) & c_3 x - b(y - c_1 b) & -c_3(y + c_1 b) - b x(x + c_2) \\ c_3 x + b(y + c_1 b) & x^2 - c_0 b^2 & x(y + c_1 b) + c_0 c_3 b \\ c_3(y - c_1 b) - b x(x + c_2) & -x(y - c_1 b) + c_0 c_3 b & c_0 c_3(y + c_1 b) \end{pmatrix} \cdot \begin{pmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \end{pmatrix} = 0$$

\* In general, family of non-Cartier divisors. With specific choice of  $\sigma_i$ :

$$c_3^2 - b^2(x + c_2) = 0, \quad c_3 x - b(y - c_1 b) = 0, \quad c_3(y + c_1 b) + b x(x + c_2) = 0,$$

intersected with MP-equation.

\* We recognize the extra (rational) section of elliptic fibration, i.e.

$$y = c_1 b - \frac{c_2 c_3}{b} + \frac{c_3^3}{b^3}, \quad x = -c_2 + \frac{c_3^2}{b^2}$$

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$$\Psi = \begin{pmatrix} y + c_1 b & x & -c_3 & -b \\ 2c_1 c_3 + x(x + c_2) & y - c_1 b & -b(x + c_2) & -c_3 \\ -c_0 c_3 & c_0 b & y + c_1 b & -x \\ c_0 b(x + c_2) & -c_0 c_3 & -2c_1 c_3 - x(x + c_2) & y - c_1 b \end{pmatrix}$$

- ▶ Matter is at codimension-2 singular loci:  $\{b(c_1^2 - c_2 c_0 - c_0 x) = 0, \dots\}$
- ▶ MP is a **determinantal variety**; singular loci where matrix changes rank.

Matter where  $\Psi$  becomes rank lower than 2:

- \* charge two matter at  $\text{rk} = 0$ :

$$C_{q=2} : \quad \{y = x = c_3 = b = 0\}$$

- \* charge one matter at  $\text{rk} = 1$ .

$$C_{q=1} : \quad \{c_3^2 - c_2 b^2 - x b^2 = c_1^2 - c_0 c_2 - c_0 x = c_1 x + c_0 c_3 = y = \dots = 0\}$$

# Grassmann blowup

Resolved space: following eq in  $Amb_4 \times Gr(2, 4)$

[Curto, Morrison]

$$\begin{pmatrix} y + c_1 b & x & -c_3 & -b \\ 2c_1 c_3 + x(x + c_2) & y - c_1 b & -b(x + c_2) & -c_3 \\ -c_0 c_3 & c_0 b & y + c_1 b & -x \\ c_0 b(x + c_2) & -c_0 c_3 & -2c_1 c_3 - x(x + c_2) & y - c_1 b \end{pmatrix} \begin{pmatrix} s_1 & l_1 \\ s_2 & l_2 \\ s_3 & l_3 \\ s_4 & l_4 \end{pmatrix} = 0$$

with  $\begin{pmatrix} s_1 & l_1 \\ s_2 & l_2 \\ s_3 & l_3 \\ s_4 & l_4 \end{pmatrix} \in Gr(2, 4)$ .

- ▶  $Gr(2, 4)$  is a quadric in  $\mathbb{P}^5$ :  $X_{12}X_{34} + X_{13}X_{24} + X_{14}X_{23}$ .
- ▶ Exceptional fiber is given by 3 eqn's in  $Gr(2, 4)$ , linear in  $\mathbb{P}^5$ .
- ▶ Hence, **exceptional fiber** is a quadratic  $\mathbb{P}^1$  in  $\mathbb{P}^2$ .

# Matter with charge 1

Take  $b \neq 0$  (open patch away from charge two locus)  $\rightarrow$  one can bring MF to the form

$$\Psi = \left( \begin{array}{cc|cc} 1 & 0 & & \\ 0 & P_{MP} & & \\ & & y - c_1 b - \frac{c_3 x}{b} & x + c_2 + \frac{c_4 x}{b} \\ & & -c_0 b^2 - x^2 & y + c_1 b + \frac{c_5 x}{b} \end{array} \right) = \left( \begin{array}{c|c} \psi_{\text{triv}} & \\ \hline & \psi \end{array} \right)$$

$\triangleright$   $2 \times 2$  MF  $\psi \leftrightarrow$  small resolution  $\mathbb{P}_{s.r.}^1$ , s.t.  $\int_{\mathbb{P}_{s.r.}^1} c_1(\psi) = 1$ .

$\triangleright$  Grassmann blowup now reduces to

$$\left( \begin{array}{c|c} \psi_{\text{triv}} & \\ \hline & \psi \end{array} \right) \begin{pmatrix} 0 & 0 \\ 0 & 1 \\ s_3 & 0 \\ s_4 & 0 \end{pmatrix} = 0 \quad \rightarrow \quad \mathbb{P}_{Gr}^1 \sim \mathbb{P}_{s.r.}^1$$

$\triangleright$  Rank-two module splits:  $M = M_{\text{triv}} \oplus M_\psi$ ; hence

$$c_1(\Psi) = c_1(\psi_{\text{triv}}) + c_1(\psi) = c_1(\psi)$$

and then

$$q = \int_{\mathbb{P}_{Gr}^1} c_1(\Psi) = \int_{\mathbb{P}_{s.r.}^1} c_1(\psi) = 1.$$

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# Matter with charge 2

Take  $c_1^2 - c_2 c_0 - c_0 x \neq 0$  (open patch away from charge one locus)  $\rightarrow$  one can bring MF to the form

$$\Psi = \left( \begin{array}{c|c} x & \\ \hline & x \end{array} \right)$$

- ▶  $2 \times 2$  MF  $\chi \longleftrightarrow$  small resolution  $\mathbb{P}_{s.r.}^1$ , s.t.  $\int_{\mathbb{P}_{s.r.}^1} c_1(\chi) = 1$ .
- ▶ Grassmann blowup now reduces to

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Exceptional locus  $\chi = 0$  and  $\ell_1 s_4 - \ell_2 s_3$ , i.e.  $\mathbb{P}_{Gr}^1 \subset \mathbb{P}_{s.r.}^{1,\ell} \times \mathbb{P}_{s.r.}^{1,s}$

- ▶ Rank-two module splits:  $M = M_\chi \oplus M_\chi$ ; hence

$$c_1(\Psi) = c_1(\chi) + c_1(\chi)$$

and then

$$q = \int_{\mathbb{P}_{Gr}^1} c_1(\Psi) = 2 \int_{\mathbb{P}_{s.r.}^1} c_1(\chi) = 2.$$

# Matter with charge 2

Take  $c_1^2 - c_2 c_0 - c_0 x \neq 0$  (open patch away from charge one locus)  $\rightarrow$  one can bring MF to the form

$$\Psi = \left( \begin{array}{c|c} x & \\ \hline & x \end{array} \right)$$

- ▶  $2 \times 2$  MF  $\chi \longleftrightarrow$  small resolution  $\mathbb{P}_{s.r.}^1$ , s.t.  $\int_{\mathbb{P}_{s.r.}^1} c_1(\chi) = 1$ .
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# Higher charge models

Extrapolate:

If variety comes from universal **flop of length  $\ell$**  with MF that is  $2\ell \times 2\ell$ ,  
then it will have  **$q = 1, 2, \dots, \ell$**  states when rank goes from  $\ell$  to  $\ell - 1, \dots, 0$ .

Example  $\ell = 3$ :

$$\begin{pmatrix} \psi_{tr} & & \\ & \psi_{tr} & \\ & & \psi_1 \end{pmatrix} \longleftrightarrow \text{charge 1 states}$$
$$\begin{pmatrix} \psi_{tr} & & \\ & \psi_2 & \\ & & \psi_2 \end{pmatrix} \longleftrightarrow \text{charge 2 states}$$
$$\begin{pmatrix} \psi_3 & & \\ & \psi_3 & \\ & & \psi_3 \end{pmatrix} \longleftrightarrow \text{charge 3 states}$$

Since  $\ell \leq 6$  [Katz, Morrison], we expect an upper bound on the charge  **$q \leq 6$** .

[Wati's talk]



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Extrapolate:

If variety comes from universal **flop of length  $\ell$**  with MF that is  $2\ell \times 2\ell$ ,  
then it will have  **$q = 1, 2, \dots, \ell$**  states when rank goes from  $\ell$  to  $\ell - 1, \dots, 0$ .

Example  $\ell = 3$ :

$$\begin{pmatrix} \psi_{tr} & & \\ & \psi_{tr} & \\ & & \psi_1 \end{pmatrix} \longleftrightarrow \text{charge 1 states}$$
$$\begin{pmatrix} \psi_{tr} & & \\ & \psi_2 & \\ & & \psi_2 \end{pmatrix} \longleftrightarrow \text{charge 2 states}$$
$$\begin{pmatrix} \psi_3 & & \\ & \psi_3 & \\ & & \psi_3 \end{pmatrix} \longleftrightarrow \text{charge 3 states}$$

Since  $\ell \leq 6$  [Katz, Morrison], we expect an upper bound on the charge  **$q \leq 6$** .

[Wati's talk]



# Conclusions

- ▶ **Matrix factorization** for threefold with massless  $U(1)$ .
- ▶ Naturally encode **extra  $U(1)$  divisor** (already in the singular limit): **family of representatives that includes extra section** of elliptic fibration.
- ▶ **Charge 1 and 2 matter** on loci where rank goes from 2 to 1 and 0. Charge given by intersection of exceptional  $\mathbb{P}^1$  with extra divisor: encoded into how **matrix splits around sing.** Bound  $q \leq 6$ ?

## Open issues:

- ▶ More complicated geometries.
- ▶ Check that known  $q$ -charge models admit a  $2q \times 2q$  MF and descend from flop of length  $q$ .
- ▶ Quiver of MP and NCCR.

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# Thank you!