

Universidad de las Américas Puebla

Recent results in low x phenomenology and theory

Martin Hentschinski martin.hentschinski@gmail.com

LHC working group on forward physics and diffraction 20-23 march 2018, Instituto Física Teórica UAM/CSIC Madrid

- Outline -

- Photo-production of vector mesons as a probe of low x evolution: the case of excited states (with Alfredo Arroyo Garcia, UDLAP)
- 2. Lipatov's high energy effective action and the Color Glass Condensate formalism
- 3. TMD splitting functions from kT factorization

(with Krzysztof Kutak, Aleksander Kusina, Cracow; Mirko Serino; Beer Sheva)

photo-production of J/Ψ and Υ : explore proton at ultra-small x



- measured at HERA (ep) and LHC (pp, ultra-peripheral pPb)
- charm and bottom mass provide
 hard scale → pQCD
- exclusive process, but allows to relate to inclusive gluon

reach values down to $x = 4 \times 10^{-6} \rightarrow (\text{unique ?})$ opportunity to explore the low x gluon



- low x evolution with non-linear effects, dipole models: predict, compare to data, refit, ...
- DGLAP: evolution from J/Ψ (2.4 GeV²) to Y (22.4 GeV²)
 → constrain pdfs at small x, not really a benchmark for saturation effects (effects die away fast, instability)
- •Better: BFKL (linear low x evolution)

How to do that? relate exclusive XSec. to inclusive gluon distribution (imitate pdf studies) procedure:

a) calculate diff. Xsec. at t = 0

→ *exclusive* scattering amplitude can be expressed through *inclusive* gluon distribution

b) parametrize t dependence
$$\frac{d\sigma(t)}{dt} = \frac{d\sigma(t=0)}{dt} \cdot e^{-|t|B_D(W)},$$

slope $B_D(W) = b_0 + 4\alpha' \ln \frac{W}{W_0} + \text{fix parameters by (HERA) data}$
(here: values proposed by [Jones, Martin, Ryskin, Teubner; 1307.7099, 1312.6795])

$$\rightarrow \text{ cross-section: } \sigma^{\gamma p \to V p}(W) = \underbrace{\frac{1}{B_D(W)}}_{\text{phenomenological BFKL / theory}} \underbrace{\frac{d\sigma^{\gamma p \to V p}}{dt}}_{\text{BFKL / theory}}$$

Studied so far: J/Ψ and $\Upsilon(1s)$

[Bautista, Fernando Tellez, MH; 1607.05203]

Procedure in a nut-shell

- take light-cone wave function used for dipole/ saturation models (from literature) and calculate their transform to Mellin space
- combine with fit of NLO BFKL gluon [MH, Salas, Sabio Vera; 1209.1353; 1301.5283]
- improve the calculation of the real part of the scattering amplitude

The underlying NLO BFKL fit to DIS data



$$F_2(x,Q^2) = \int_0^\infty d\mathbf{k}^2 \int_0^\infty \frac{d\mathbf{q}^2}{\mathbf{q}^2} \Phi_2\left(\frac{\mathbf{k}^2}{Q^2}\right) \mathcal{F}_{\mathsf{BFKL}}^{\mathsf{DIS}}(x,\mathbf{k}^2,\mathbf{q}^2) \Phi_p\left(\frac{\mathbf{q}^2}{Q_0^2}\right)$$

virtual photon: quarks mass-less, $n_f = 4$ fixed

proton impact factor: $\Phi_p\left(\frac{\boldsymbol{q}^2}{Q_0^2},\delta\right) = \frac{\mathcal{C}}{\pi\Gamma(\delta)}\left(\frac{\boldsymbol{q}^2}{Q_0^2}\right)^{\delta}e^{-\frac{\boldsymbol{q}^2}{Q_0^2}}$

free parameters of proton impact factor from fit to combined HERA data [MH, Salas, Sabio Vera; 1209.1353; 1301.5283]

→ allows for definition of unintegrated gluon density [Chachamis, Deak, MH, Rodrigo, Sabio Vera; 1507.05778]

$$G(x, \boldsymbol{k}^2, Q_0^2) = \int \frac{d\boldsymbol{q}^2}{\boldsymbol{q}^2} \mathcal{F}_{\text{BFKL}}^{\text{DIS}}(x, \boldsymbol{k}^2, \boldsymbol{q}^2) \Phi_p\left(\frac{\boldsymbol{q}^2}{Q_0^2}\right)$$

	virt. photon impact factor	$Q_0/{ m GeV}$	δ	\mathcal{C}	$\Lambda_{\sf QCD}/ {\sf GeV} $
fit 1	leading order (LO)	0.28	8.4	1.50	0.21
fit 2	LO with kinematic improvements	0.28	6.5	2.35	0.21



and good description J/ Ψ and Y data



test of BFKL evolution



to study it within the BFKL framework, follow the same path as before

 calculate the Mellin transform of the light-front wave function of excited states

$$\begin{split} \Phi_{V,T}(\gamma, z, M) &= 8\pi^2 e \hat{e}_f N_T \frac{\Gamma(\gamma)\Gamma(1-\gamma)}{m_f^2} \left(\frac{8z(1-z)}{M^2 R_{2s}^2} \right)^{\gamma} e^{-\frac{m_f^2 R_{2s}^2}{8z(1-z)} + \frac{m_f^2 R_{2s}^2}{2}} \left(\frac{m_f^2 R_{2s}^2}{8z(1-z)} \right)^2 \cdot \\ & \cdot \left[\left(1 + \alpha_{2s} \left(2 + \frac{m_f^2 R_{2s}^2}{4z(1-z)} - m_f^2 R_{2s}^2 \right) \right) U \left(2 - \gamma, 1, \frac{\epsilon^2 R_{2s}^2}{8z(1-z)} \right) - \ldots \right] \\ & \left[\ldots - 2 \left(2 - \gamma \right)^2 U \left(3 - \gamma, 1, \frac{\epsilon^2 R_{2s}^2}{8z(1-z)} \right) + \ldots \right] \\ & \left[+ \left[z^2 + (1-z)^2 \right] \epsilon^2 \left((2-\gamma) \left(1 + \alpha_{2s} \left(\frac{m_f^2 R_{2s}^2}{4z(1-z)} - m_f^2 R_{2s}^2 \right) \right) U \left(3 - \gamma, 2, \frac{\epsilon^2 R_{2s}^2}{8z(1-z)} \right) \right) + \right] \\ & \left[\ldots + 2 \left(2 - \gamma \right)^2 \left(3 - \gamma \right) \alpha_{2s} \cdot U \left(4 - \gamma, 2, \frac{\epsilon^2 R_{2s}^2}{8z(1-z)} \right) \right] \end{split}$$
(7)



- vary renormalization scale to check stability
 → in general looks good
- don't trust normalization

Preliminary results: $\Psi(2s)$



- data: H1 and LHCb; need to adjust normalization → problem already there for J/Ψ & Y: most likely correction to impact factor
- two choices of the hard scale are shown

Summary vector boson

- perturbative low x evolution (=BFKL) appears to describe also excited states of vector mesons (within errors)
- need to fix normalization constant (→ similar to J/Ψ and Y(1s));
 here problem: low energy points with huge error bars
- normalization issue: virtual photon impact factor used in the underlying DIS fit, is kinematically improved → should do the same for vector bosons

2. Lipatov's high energy effective action and the Color Glass Condensate formalism

theoretical descriptions in the high energy limit: 2 alternatives

• unintegrated gluon densities

more formally: formalism based on reggeized gluons & effective production vertices — t-channel picture

• vs. dipole picture

more formally: formalism based on propagators which resum strong background field — s-channel picture

- to relate both approaches: difficult at the level of the formalism, mainly done for evolution equations and/ or observables
- examples: BFKL evolution, BKP evolution, triple Pomeron vertex from JIMWLK or BK evolution

[Bartels, Lipatov, Vacca, hep-ph/0404110] [Chirilli, Szymanowski, Wallon,1010.0285] [Ayala, Cazaroto, Hernandez, Jalilian-Marian; 1408.3080] ...

 in general: very similar structure, but direct one-toone correspondence not obvious

an action formalism for reggeized gluons: Lipatov's high energy effective action [Lipatov; hep-ph/9502308]

- idea: factorize QCD amplitudes in the high energy limit through introducing a new kind of field: the reggeized gluon
- the reggeized gluon is globally charged under SU(N_C), but invariant under local gauge transformation → gauge invariant factorization
- took a while, now we know Lipton's action can be used for NLO calculation within the BFKL framework

[MH, Sabio Vera;1110.6741]

[Chachamis, MH, Madrigal, Sabio Vera; 1202.064, 1212.4992, 1307.2591]

[MH, Madrigal, Murdaca, Sabio Vera; 1404.2937, 1406.5625, 1409.6704]

[Bartels, Fadin, Lipatov,Vacca; 1210.0797]

divide final state particles into clusters of particles "local in rapidity"

for each cluster

- integrate out specific details of fast +/- fields
- dynamics in local cluster: QCD Lagrangian + universal eikonal factor (up to power suppressed corrections)



effective field theory for each cluster of particles local in rapidity

$$\begin{split} S_{\rm eff} &= S_{\rm QCD} + S_{\rm ind.} \quad \text{non-local emissions from S}_{\rm ind} \\ S_{\rm ind.} &= \int \mathrm{d}^4 x \, \Big\{ \mathrm{tr} \left[(W_-[v(x)] - A_-(x)) \, \partial_\perp^2 A_+(x) \right] \\ &= \mathrm{ikonal} \quad \qquad + \mathrm{tr} \left[(W_+[v(x)] - A_+(x)) \, \partial_\perp^2 A_-(x) \right] \Big\}. \end{split}$$

Lipatov's effective action & the CGC formalism

- numerous attempts to compare both formalisms, mainly on the level of effective Lagrangians
- here: pragmatic approach: compare results for scattering amplitudes & *propagators*
- to start: quasi-elastic *i.e.* dilute/ dense scattering in presence of strong reggeized gluon field

[Jalilian-Marian, Kovner, Leonidov, Weigert; NPB504, 415 (1997)]
[Hatta; hep-ph/0607126]
[Bondarenko, Lipatov,Pozdnyakov, Prygarin;1706.0027, 1708.05183]
[Bondarenko, Zubkov;1801.08066]

[MH, 1802.06755]



- quasi-elastic scattering = integrate out fields only from one side
- corresponds to: scattering of dilute projectile in strong gluon field of target
- effective action: resum interaction of QCD fields with ∞ # of reggeized gluon fields (= transmit interaction with target)

quarks: relatively straightforward \rightarrow high energy kinematics allows to resum interaction into Wilson line

gluon: at first difficult

a trick proposed by Lipatov in 1995

$$V^{\mu}(x) = v^{\mu}(x) + \frac{1}{2}(n_{-})^{\mu}B_{+}[v_{-}]$$

use a special parametrization of the gluon field

$$B_{\pm}[v_{\mp}] = U[v_{\mp}]A_{\pm}U^{-1}[v_{\mp}]$$

sort of: a gauge rotation of the reggeized gluon field A_{\pm}

Wilson line operator

and its inverse ...

$$U[v_{\pm}] = \frac{1}{1 + \frac{g}{\partial_{\pm}}v_{\pm}} \qquad \qquad U^{-1}[v_{\pm}] = 1 + \frac{g}{\partial_{\pm}}v_{\pm}$$

why of interest?

transformation properties

$$V^{\mu}(x) = v^{\mu}(x) + \frac{1}{2}(n_{-})^{\mu}B_{+}[v_{-}]$$

shifted field transforms like gauge field \rightarrow consistent transformation properties

$$\delta V_{\pm} = [D_{\pm}, \chi] + [gB_{\pm}, \chi] = [D_{\pm} + gB_{\pm}, \chi]$$

this would NOT be true for $v_{\pm} \rightarrow V_{\pm} = v_{\pm} + A_{\pm}$ since

$$\delta_{\rm L} A_{\pm} = \frac{1}{g} [A_{\pm}, \chi_L] = 0$$
$$\delta_{\rm L} V_{\mu} = \frac{1}{g} [D_{\mu}, \chi_L]_{\pm}$$



a new gluon-gluonreggeized gluon vertex



 a, μ

- already written down by Lipatov in 1995
- good properties: current conservation

$$r_{\nu} \cdot \Gamma^{\nu\mu}_+(r,p) = 0 = \Gamma^{\nu\mu}_+(r,p) \cdot p_{\mu}$$

 properties Lipatov didn't like: violates for individual Feynman diagrams Steinmann relations

argue: shifted version of a theory which respects Steinmann relations \rightarrow OK for physical observables

another important property

$$n_{\nu}^{+} \cdot \Gamma_{+}^{\nu\mu}(r,p) = 0 = \Gamma_{+}^{\nu\mu}(r,p) \cdot n_{\mu}^{+}$$

$$\Gamma^{\nu\alpha}_+(r,k)\cdot(-g_{\alpha\alpha'})\cdot\Gamma^{\alpha'\mu}_+(k,p) = -p^+\Gamma^{\nu\mu}_+(r,p)$$

- reggeization as defined by Bartels,
 Wüsthoff and Bartels, Ewerz → n reggeized
 gluons = 1 reggeized gluon × factor
- technical details aside: allows to sum up ∞
 # of reggeized gluons into a Wilson line of reggeized gluons

the reggeized gluon field as a shock wave

can argue:

$$A_+(x) = 2 \cdot \alpha(\boldsymbol{x})\delta(x^+)$$

- used all the time in CGC calculation
- Lipatov's action: reggeized gluon field = classical field for given cluster
- dynamics: reggeized gluon propagator = connect clusters → imposes such a parametrization

vertices which resum interaction with an arbitrary # of reggeized gluon fields



interaction resumed into Wilson lines

$$U^{ab}(\boldsymbol{z}) = \operatorname{P}\exp\left(-\frac{g}{2}\int_{-\infty}^{\infty} dz^{+}\tilde{A}_{+}\right) \qquad W(\boldsymbol{z}) = \operatorname{P}\exp\left(-\frac{g}{2}\int_{-\infty}^{\infty} dz^{+}A_{+}\right)$$

- vertices agree with CGC expressions for light-cone gauge →Lipatov's action: any gauge possible
- differs in content of Wilson line: reggeized gluon field vs. background field in light-cone gauge
- can show: $W[A](x) = e^{ig\alpha^a(x)t^a}$, not possible for light-cone gauge background field
- for experts: induced vertices allow to reproduce the complete color structure (also anti-symmetric terms)

Can we re-obtain Balitsky-JIMWLK evolution form Lipatov's action? \rightarrow Yes

- quantum fluctuations of Wilson lines within Lipatov's action → Balitsky-JIMWLK evolution (so far LL)
- effective action for central production processes
 → color decomposition imposed of effective action gives complication (similar problems in deriving the Triple Pomeron vertex [МН, 0908.2576])
- essential take away point: both formalisms are 100% consistent; Lipatov's action provides an additional tool

3. TMD splitting functions from kT factorization



2 versions of partonic evolution

- DGLAP: ordering in kT↔ kT not conserved
- BFKL: ordering in momentum fraction z
 → z/"energy" not conserved
- evolution which conserve both possible?

Why to try such a thing?



plot taken from Hannes Jung's talk at RBRC workshop, June 2017

$$K^{PS} = \frac{N_{NLO-MC}^{(ps)}}{N_{NLO-MC}^{(0)}}$$

- ratio: NLO with parton shower over NLO without parton shower
- theory: their the same, practice: not quite true
- message: kinematic effects are important

Why to try such a thing?

- practical need for low x phenomenologist: many (forward) observables require integration over gluon x → sensitivity to large x region (e.g. fragmentation function, not completely exclusive final state, applications to MPI ...)
- need to model BFKL/BK gluon in large x region (error!) or introduce matching scheme (how?)
- BEST: low x pdf that works for all x

short history:

- 1. TMD P_{gq} by Catani-Hautmann (low resummed splitting kernels) [Catani, Hautmann, NPB427 (1994)]
- 2. reproduced using effective vertices (reggeized quarks) adapted to finite momentum fraction [Hautmann, MH, Jung; 1205.1759]
- 3. Curci-Furmanski-Petrozini formalism for DGLAP (light-cone gauge!) + gauge invariance in presence of off-shell initial reggeized quarks (generalized Lipatov vertices)→ quark splittings [Gituliar, MH, Kutak, 1511.08439]
- 4. now: real part of TMD P_{gg} (gluon-to-gluon)

[MH, Kusina, Kutak, Serino; 1711.04587]

P_{gg} satisfies important constrains

✓ from 2→3 scattering amplitude or Lipatov's action in light-cone gauge + generalized CFP projectors

qт

kт

рт

- ✓ current conservation
- ✓ collinear limit: DGLAP splitting
- √low x limit: BFKL kernel
- ✓ soft limit p_T →0: CCFM kernel
 byproduct from requesting the first 3 points

just the beginning not the end ...

- complete set of 4 *real* TMD splitting kernels
 →satisfies all necessary constraints so far
- virtual corrections = work in progress
- in general: need to properly develop the whole framework → what are we actually doing?
- at the very least: a consistent way to combine DGLAP and BFKL;
- hope: get a handle on kinematic corrections

Conclusions & Summary

- BFKL can be tested in exclusive vector meson production → the most appropriate theoretical framework
- Lipatov's action allows to obtain CGC propagators
 + Baltisky-JIMWLK evolution
- a definition of (real)TMD splitting kernels which obey correct DGLAP + BFKL + CCFM limits is possible

Appendix

Solve BFKL equation in conjugate (γ) Mellin space

$$G\left(x,\boldsymbol{k}^{2},M\right) = \frac{1}{\boldsymbol{k}^{2}} \int_{\frac{1}{2}-i\infty}^{\frac{1}{2}+i\infty} \frac{d\gamma}{2\pi i} \quad \hat{g}\left(x,\frac{M^{2}}{Q_{0}^{2}},\frac{\overline{M}^{2}}{M^{2}},\gamma\right) \quad \left(\frac{\boldsymbol{k}^{2}}{Q_{0}^{2}}\right)^{\gamma}$$

re-introduce two scales: hard scale of process (M) and scale of running coupling (\overline{M})

 \hat{g} : operator in γ space!

$$\hat{g}\left(x,\frac{M^2}{Q_0^2},\overline{\frac{M}{M^2}},\gamma\right) = \frac{\mathcal{C}\cdot\Gamma(\delta-\gamma)}{\pi\Gamma(\delta)} \cdot \left(\frac{1}{x}\right)^{x\left(\gamma,\frac{\overline{M}^2}{M^2}\right)} \cdot \left\{1 + \frac{\bar{\alpha}_s^2\beta_0\chi_0\left(\gamma\right)}{8N_c}\log\left(\frac{1}{x}\right)\left[-\psi\left(\delta-\gamma\right) + \log\frac{M^2}{Q_0^2} - \partial_\gamma\right]\right\},\$$

resummed NLO BFKL eigenvalue with optimal scale setting (\rightarrow modifies $\chi_1(\gamma)$):

$$\chi\left(\gamma, \frac{\overline{M}^2}{M^2}\right) = \bar{\alpha}_s \chi_0\left(\gamma\right) + \bar{\alpha}_s^2 \tilde{\chi}_1\left(\gamma\right) - \frac{1}{2} \bar{\alpha}_s^2 \chi_0'\left(\gamma\right) \chi_0\left(\gamma\right) + \chi_{RG}(\bar{\alpha}_s, \gamma, \tilde{a}, \tilde{b}) - \frac{\bar{\alpha}_s^2 \beta_0}{8N_c} \chi_0(\gamma) \log \frac{\overline{M}^2}{M^2}.$$



reggeized gluon as log of Wilson line

proposal made by S. Caron-Huot [1309.6521]:
 2-dim reggeized gluon from Balitsky-JIMWLK evolution

$$R^{a}(\mathbf{z}) \equiv \frac{1}{gN_{c}} f^{abc} \log U^{bc}(\mathbf{z})$$
 U satisfies the evolution

 Lipatov's effective action: agrees in this sense with this definition

$$R^{a}(\boldsymbol{z}) = \frac{1}{gN_{c}} f^{abc} \left[ig\alpha^{d}(\boldsymbol{z}) T^{d}_{bc} \right] = \alpha^{a}(\boldsymbol{z}) = \frac{1}{2} \int dx^{+} A^{a}_{+}(x^{+}, \boldsymbol{z})$$

angular averaged TMD splitting functions $\bar{P}_{qg}^{(0)} = T_R \left(\frac{\tilde{q}^2}{\tilde{a}^2 + z(1-z)k^2} \right)^2 \left[z^2 + (1-z)^2 + 4z^2(1-z)^2 \frac{k^2}{\tilde{a}^2} \right],$ $\bar{P}_{gq}^{(0)} = C_F \left[\frac{2\tilde{q}^2}{z|\tilde{q}^2 - (1-z)^2 k^2|} - \frac{(2-z)\tilde{q}^4 + z(1-z^2)k^2\tilde{q}^2}{(\tilde{a}^2 + z(1-z)k^2)^2} \right],$ $\bar{P}_{qq}^{(0)} = C_F \frac{\tilde{q}^2}{\tilde{q}^2 + z(1-z)k^2}$ $\times \left[\frac{\tilde{q}^{2} + (1 - z^{2}) k^{2}}{(1 - z)^{2} \tilde{a}^{2} - (1 - z)^{2} k^{2}} + \frac{z^{2} \tilde{q}^{2} - z(1 - z)(1 - 3z + z^{2}) k^{2}}{(1 - z)(\tilde{a}^{2} + z(1 - z) k^{2})} \right].$

$$\bar{P}_{gg}^{(0)}\left(z,\frac{\boldsymbol{k}^{2}}{\tilde{\boldsymbol{q}}^{2}}\right) = C_{A}\frac{\tilde{\boldsymbol{q}}^{2}}{\tilde{\boldsymbol{q}}^{2}+z(1-z)\boldsymbol{k}^{2}}\left[\frac{(2-z)\tilde{\boldsymbol{q}}^{2}+(z^{3}-4z^{2}+3z)\boldsymbol{k}^{2}}{z(1-z)\left|\tilde{\boldsymbol{q}}^{2}-(1-z)^{2}\boldsymbol{k}^{2}\right|} + \frac{(2z^{3}-4z^{2}+6z-3)\tilde{\boldsymbol{q}}^{2}+z(4z^{4}-12z^{3}+9z^{2}+z-2)\boldsymbol{k}^{2}}{(1-z)(\tilde{\boldsymbol{q}}^{2}+z(1-z)\boldsymbol{k}^{2})}\right]$$