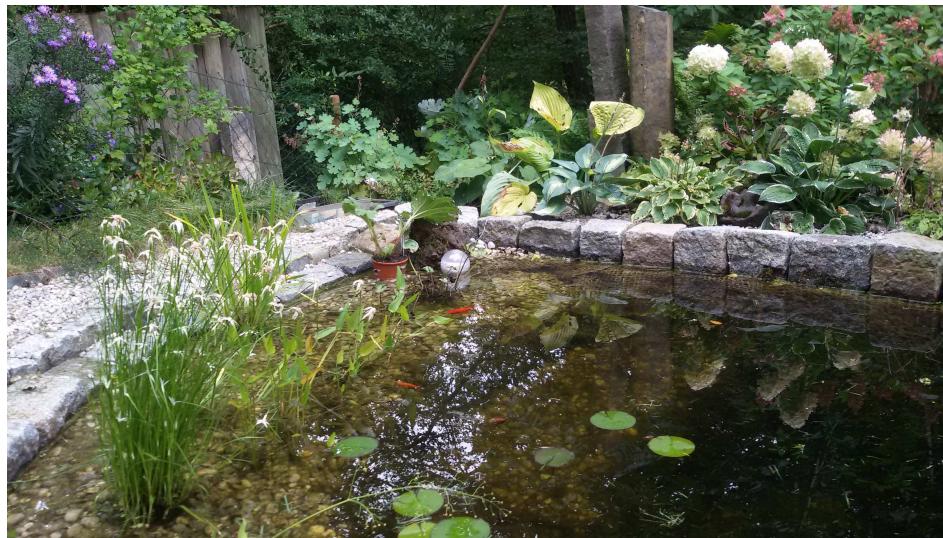


Comments on Swampland Conjectures and CYs

Ralph Blumenhagen

Max-Planck-Institut für Physik, München



Introduction

Introduction

String theory is a well developed mathematical framework but some issues related to compactifications and the resulting effective field theories are hard to extract.

Open questions of recent concern:

- Can string theory realize large (single) field inflation in a controllable way?
- Are stable non-susy AdS-vacua in the swampland of string theory? (Ooguri, Vafa, 2017)
- Are dS-minima in the swampland of string theory?
Thus, are there 10^{500} dS vacua or none?
(Obied,Ooguri,Spodyneiko,Vafa, 2018)

These issues are related to supersymmetry breaking and control over the effective field theory.

Introduction

Introduction

Two attitudes towards these questions:

- **Landscape** approach: The space of **string solutions** is essentially dense in the parameter space (discretuum) so that **any property** is realized for at least some string models, though finding them might be difficult.
- **Swampland** approach: Taking concrete **string model building failures** really seriously and trying to extract/formulate the underlying **conceptual quantum gravity constraint**. Finding the issues that discriminates the landscape from the **swampland**.

Introduction

Introduction

Prototype examples:

- Weak gravity conjecture → constraints for instanton generated axion inflation
- Swampland distance conjecture → constraints for axion monodromy inflation
- OOSV conjecture, $|\nabla V| \geq cV$ for $V > 0$, → no dS minima

In this talk, comments on

- The refined swampland distance conjecture
- de Sitter uplifts employing warped throats



Swampland Distance Conjecture

Swampland Distance Conjecture

Swampland Distance Conjecture:(Ooguri,Vafa)

For any point p_0 in the continuous scalar moduli space of a consistent quantum gravity theory, there exist other points p at arbitrarily large distance. As the distance $d(p_0, p)$ diverges, an infinite tower of states exponentially light in the distance appears, i.e. the mass scale of the tower varies as

$$m \sim m_0 e^{-\lambda d(p_0, p)} .$$

Note, the swampland distance conjecture describes a property of models in the landscape!

Swampland Distance Conjecture

Swampland Distance Conjecture

Comments:

- Beyond $d(p_0, p) \sim \lambda^{-1}$ the exponential drop-off becomes essential
- Infinitely many light states \rightarrow quantum gravity theory valid at the point p_0 only has a finite range d_c of validity
- At this level, the axions have a shift symmetry and are compact

The Refined Swampland Distance Conjecture (RSDC) adds to the OV one: $\Theta_c \sim O(1)M_{\text{pl}}$ (Kläwer,Palti).

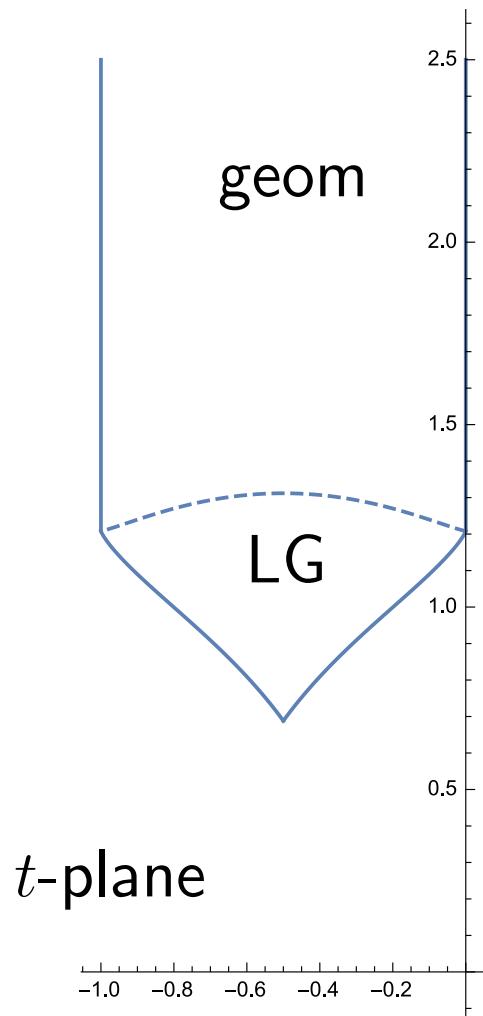
Here: Quantitative test of the RSDC in the moduli space of Calabi-Yau compactifications (Bhg,Kläwer,Schlechter,Wolf) related recent work: (Grimm,Palti,Valenzuela)



RSDC for CY moduli space

RSDC for CY moduli space

Consider example of moduli space of the **quintic**: $\mathbb{P}_4[5]$:

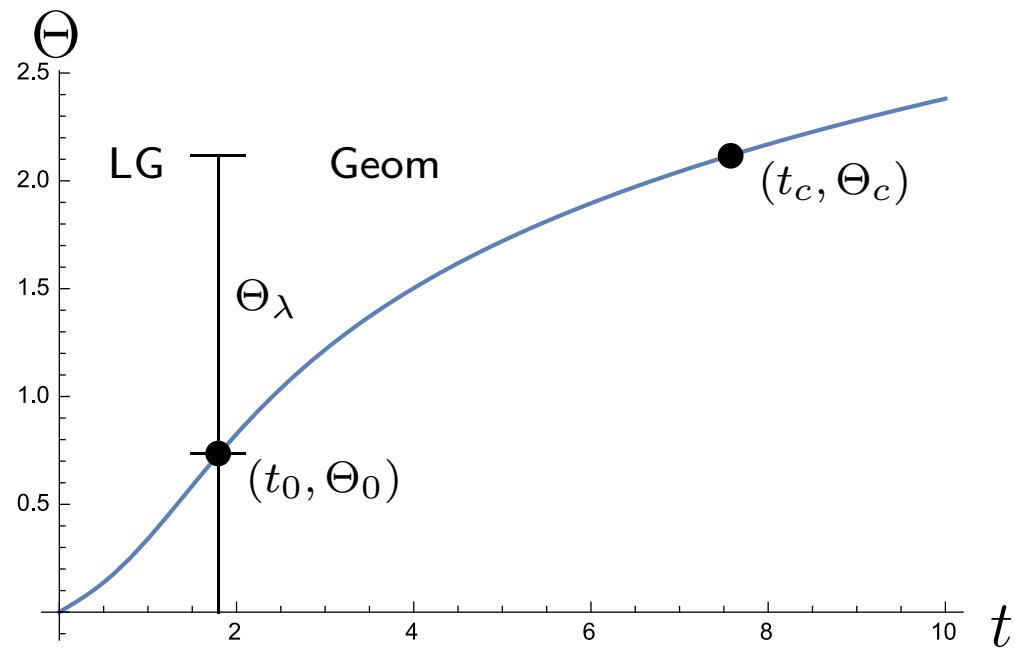


- Kähler moduli space
- geometric regime:
 $\Theta \sim \lambda^{-1} \log t$
- Landau-Ginzburg:
Finite radius Θ_0

RSDC for CY moduli space

RSDC for CY moduli space

Starting at the **LG** locus, one expects the relation $\Theta(t)$ to be of the form:



Total field range before exponentially light states appear

$$\Theta_c = \Theta_0 + \Theta_\lambda$$

RSDC for CY moduli space

RSDC for CY moduli space

Therefore:

- If $\Theta_0 \gg 1$, the RSDC will be falsified or
- $\Theta_0 < 1$ is a necessary condition for the RSDC

Objectives of (Bhg,Kläwer,Schlechter,Wolf):

- Compute the periods and Kähler potential in non-geometric phases (LG and hybrid) of CY manifolds with $h_{11} \in \{1, 2, 101\}$
- Compute the Θ_0 and Θ_λ for various geodesic and trajectories in these highly curved moduli spaces

Methods for computing K

Methods for computing K

We used two methods to obtain the Kähler potential on the Kähler moduli space:

- In the gauged linear sigma model approach K is related to the 2D sphere partition function as

$$e^{-K} = Z_{S^2}$$

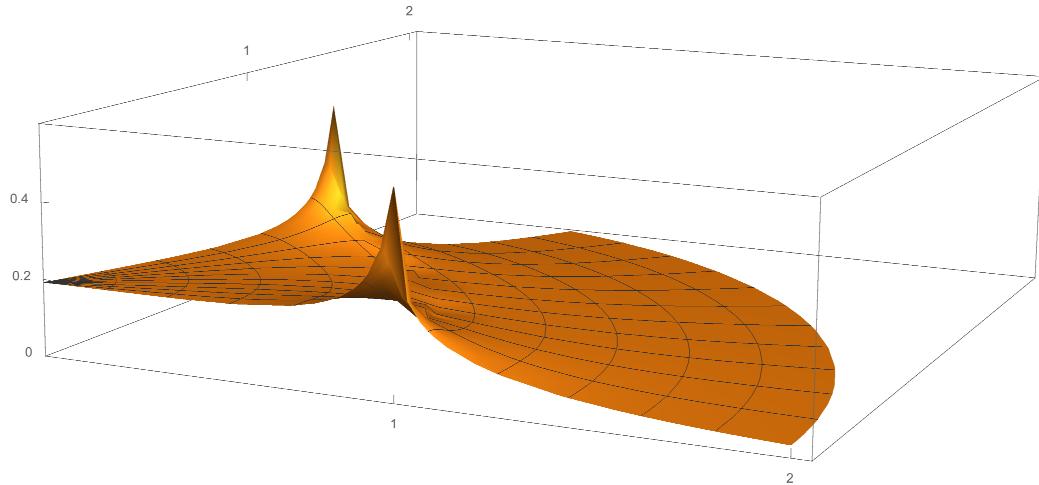
- Traditional approach via mirror symmetry:

$$K = -\log(-i\bar{\Pi}\Sigma\Pi)$$

with $\Pi = \int \Omega_3$ being the periods of the mirror CY

Kähler metric

Kähler metric



LCS regime: $K = -3 \log \left(-i(t - \bar{t}) + C + O(e^{-2\pi t}) \dots \right)$

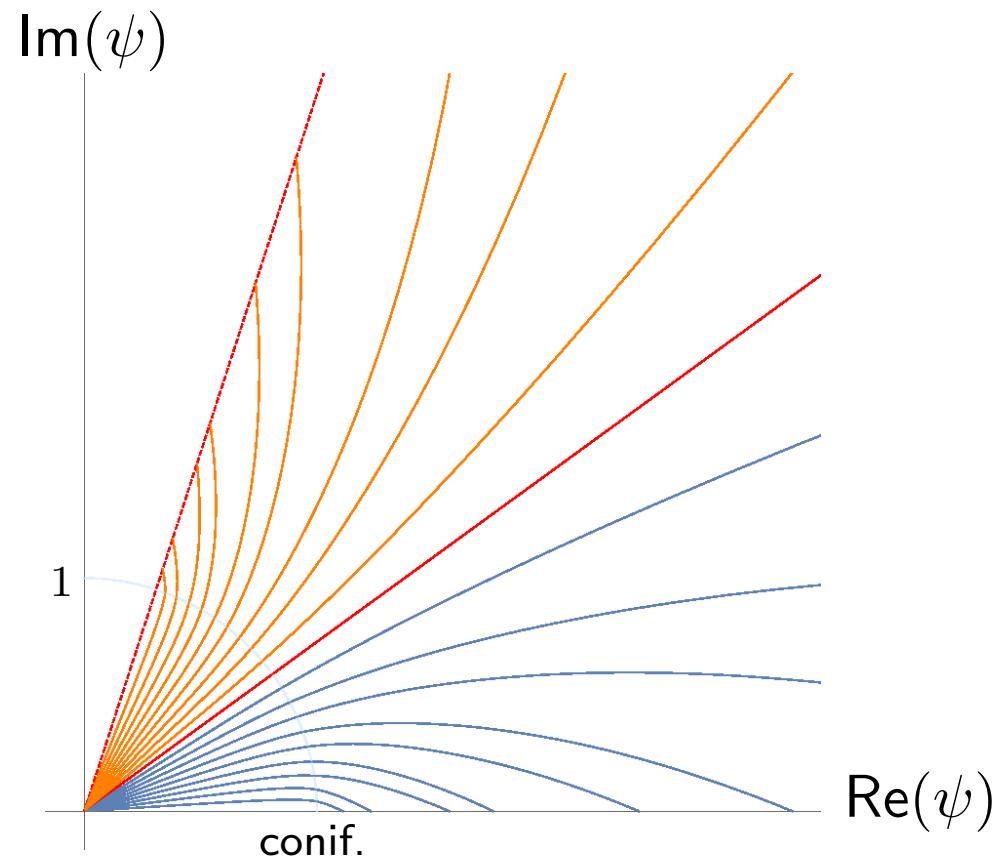
LG regime:

$$K = - \log \left(\alpha |\psi|^2 + \beta |\psi|^4 + \gamma |\psi|^6 + \delta |\psi|^7 \cos(5\theta) + \dots \right)$$

Geodesics

Geodesics

Geodesics for the initial data $(r, \dot{r}, \alpha, \dot{\alpha}) = (0, 1, n \cdot \pi/50, 0)$,
for $n = 1, \dots, 10$ and $\psi = r \exp(i\alpha)$:



Results for geodesic of quintic

Results for geodesic of quintic

The angular distribution of Θ_λ , Θ_0 and Θ_c

$\alpha_{\text{init}} \cdot 60/\pi$	λ^{-1}	Θ_0	Θ_c
3	0.9605	0.4262	1.3866
4	0.9865	0.4261	1.4125
5	0.9780	0.4260	1.4040
6	0.9567	0.4259	1.3827
7	0.9611	0.4259	1.3869
8	0.9275	0.4258	1.3533
9	0.9253	0.4257	1.3510
10	0.8969	0.4257	1.3226
11	0.8845	0.4257	1.3102
12	0.8657	0.4256	1.2914

More results

More results

Analyzed many more CYs:

- In all other examples with $h_{11} \in \{1, 2\}$ we found $\Theta_0 = O(1)$ and $\lambda^{-1} = O(1)$,
- Mirror quintic with $h_{11} = 101$. Employing the recent results for the 101 periods ([Aleshkin, Belavin, arXiv: 1710.11609](#)), we found for the size of LG phase in various directions:

direction	$\Delta\Theta$
Φ_0	0.4656
Φ_1	0.0082
Φ_2	0.0670
Φ_3	0.0585
Φ_4	0.0089

More results

More results

Compelling relation

$$\frac{\Theta_0}{\text{phase}} \cdot \#(\text{phases}) < M_{\text{pl}} .$$

Comments

- All our findings are **consistent with the RSDC** in the original saxionic OV setting
- The generalization to an **axionic RSDC** for axion monodromy potentials is more involved, but gives the potential to provide an **upper bound** $r < 10^{-3}$ for **controllable** string derived effective field theories for inflation.

Comment on de Sitter vacua

Comment on de Sitter vacua

- Generic flux compactifications gives AdS or Minkowski minima
- Constructions of de Sitter vacua rely on the existence of an uplift mechanisms
- Like in KKLT this is often an anti $D3$ -brane in a warped throat (needs a tiny warp factor to tune Λ positive)

Still emotionally discussed arguments why this procedure should or might not be controllable have been given

- Instability of stacks of $\overline{D3}$ -branes, annihilation against flux
- It was questioned that the potential V is just a sum of GSUGRA terms and the uplift potential?

Warped CYs

Warped CYs

Here: Add another argument why the uplift utilizing warped throats might not be controlled (Bhg,Herschmann,Wolf, 2016).

Backreaction of a three-form flux leads to a warped CY metric (Giddings, Kachru,Polchinski)

$$ds^2 = e^{2A(y)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{-2A(y)} \tilde{g}_{mn} dy^m dy^n$$

Warp factors:

- stack of D3-branes at $y = 0$

$$e^{-4A(y)} = 1 + \frac{4\pi g_s N}{|y|^4}$$

Warped CYs

Warped CYs

Warping close to a conifold singularity $Z = 0$ in the complex structure moduli space

- (H_3, F_3) form flux on an (A, B) -cycle:warped metric on the deformed conifold

$$e^{-4A} \sim 1 + \frac{1}{(\mathcal{V}|Z|^2)^{\frac{2}{3}}}.$$

Dilute flux limit

$$\mathcal{V}|Z|^2 \gg 1.$$

The physical size of the three-cycle A is

$$\text{Vol}(A) = \mathcal{V}^{\frac{1}{2}} \left| \int_A \Omega_3 \right| = (\mathcal{V}|Z|^2)^{\frac{1}{2}}$$

Periods close to conifold

Periods close to conifold

For evaluating superpotential $W = \int G_3 \wedge \Omega$ one needs the periods:

$$F_0 = 1 ,$$

$$F_1 = Z ,$$

$$X^0 = a + bZ + \dots ,$$

$$X^1 = -\frac{1}{2\pi i} Z \log Z + B + \dots ,$$

Kähler potential

$$K_{\text{cs}} = -\log [-i\Pi^\dagger \Sigma \Pi]$$

$$= -\log \left[\frac{1}{2\pi} |Z|^2 \log(|Z|^2) + A + C |Z|^2 \dots \right]$$

Moduli stabilization

Moduli stabilization

Freeze Z via 3-form fluxes (GKP)

$$\begin{aligned} W &= f X^1 + i h S F_1 - i h' S F_0 \\ &= f \left(-\frac{1}{2\pi i} Z \log Z + B + D Z + \dots \right) + i h S Z - i h' S, \end{aligned}$$

$F_Z = 0$ leads to

$$Z \sim \hat{C} e^{-\frac{2\pi h}{f} S}.$$

Integrating out Z :

$$W_{\text{eff}} = B f + \frac{f}{2\pi i} \hat{C} e^{-\frac{2\pi h}{f} S} - i h' S.$$

With $h' \neq 0$, the axio-dilaton gets stabilized at $S = B \frac{f}{h'}$.



Moduli masses

Moduli masses

Mass of **axio-dilaton** and cs. **modulus Z** :

$$m_S^2 \sim \frac{M_{\text{pl}}^2}{\mathcal{V}^2} \sim \frac{M_s^2}{\mathcal{V}} , \quad m_Z^2 \sim \frac{M_{\text{pl}}^2}{\mathcal{V}^2 |Z|^2} \sim \frac{M_s^2}{\mathcal{V} |Z|^2}$$

Comments:

- Controllable regime: $m_Z \ll M_s \Rightarrow \mathcal{V} |Z|^2 \gg 1$ **dilute flux** regime
- The **highly warped region** is **not controlled** by the employed SUGRA action.

Three form fluxes and $\overline{D3}$ branes in a warped throat are outside of control of the LEEA



Thank You!

