# THE SEARCH FOR NEW PHYSICS AND SMEFT

S. Dawson, BNL IFT Christmas Workshop December 13, 2023



Complaints, suggestions to dawson@bnl.gov

#### WE KNOW THERE MUST BE NEW PHYSICS

- What is dark matter?
- Why is there a matter-antimatter asymmetry?
- What sets the pattern of fermion masses?
  - Why is the top so heavy and why are neutrinos so light?
- Why are the W and Higgs so much lighter than the Planck scale?
- Why is the Standard Model so simple with only one Higgs doublet?

Many possibilities for new physics that attempts to answer these questions

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# WHERE TO LOOK FOR NEW PHYSICS? S. Dawson, BNL

#### **NEW PHYSICS** Hard to know what we expect **Direct Searches** ٠ Can we determine source of new physics? • **Depends on** collider environment Precision measurements Future HE LHC colliders Coupling to SM Higgs No resonance or light resonance or new More Energy Factory signatures More uminosity **Mass Scale** Find resonance! Current knowledge will be strengthened at HL-LHC



#### Top quark physics





**Di-boson physics** 





 Suggests that the SM is a good approximation to physics at the weak scale and in many different sectors

#### IT APPEARS THAT NEW PHYSICS IS HEAVY ( > I TEV) [OR VERY LIGHT AND WEAKLY COUPLED]



Searching for new physics as an expansion around the SM assuming no new light particles is reasonable

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#### START FROM THE IDEA OF HIGH SCALE DECOUPLING

• Suppose there is a new particle X, with mass  $M_X >> M_W$ 



If UV theory is nondecoupling, then expansion in powers of  $1/M_X^2$  will fail at some point

Effects of X vanish as  $1/M_X^2$  for weak coupling This is implicit assumption as we construct SMEFT

\*Note: Higgs is example of non-decoupling particle



#### INDIRECTLY DISCOVER NEW PHYSICS



• Fermi theory ( $\mu \rightarrow \nu \nu e$ ) becomes nonperturbative at E ~ 600 GeV

• W boson saves the day



Indirectly discover new physics Goal is to apply this lesson to TeV scale physics

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#### ASSUME A HIERARCHY OF SCALES



Learn about high scale physics by measuring deviations from SM predictions

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# SMEFT: SM EFFECTIVE FIELD THEORY

- Assumptions: New physics decouples at  $\Lambda >> v$ , E
- At the weak scale: SM SU(3)  $\times$  SU(2)  $\times$  U(1) symmetry and SM particles only
- New physics described by

$$L_{SMEFT} = L_{SM} + \frac{L_5}{\Lambda} + \frac{L_6}{\Lambda^2} + \frac{L_7}{\Lambda^3} + \frac{L_8}{\Lambda^4}$$
$$L_n = \sum_i C_i^n O_i^n$$

Assume Higgs is in an SU(2) doublet

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- New physics contributions contained in coefficients C (can calculate in specific models)
- Operators form a complete basis (not unique)
- $L_5$  and  $L_7$  are lepton number violating

Learn about high scale physics by measuring coefficients of effective operators with global fits S. Dawson



#### MY GOAL TODAY:

- Examine assumptions that go into SMEFT studies
- To what extent does SMEFT give "model independent" predictions?
- What needs doing from a theoretical perspective in order to draw firm conclusions about UV physics from SMEFT?



## CHOOSING OPERATORS FOR A FIT

- We now know complete dimension-6 and dimension-8 basis
- Too many operators to be useful for global fits
  - At dimension-8, 895 (36,971) baryon number preserving for 1 (3) generation
  - At dimension-6, 59 (2499) baryon number preserving for 1 (3) generation
- Study impact of cherry picked operators
  - Assumptions worm their way in here
- Try to limit number of operators by assuming specific structures for UV scenarios

#### **DIMENSION-6 VS DIMENSION-8?**

$$L \to L_{SM} + \Sigma_i \frac{C_{6i}}{\Lambda^2} O_{6i} + \Sigma_i \frac{C_{8i}}{\Lambda^4} O_{8i} + \dots$$

• SMEFT

$$A^2 \sim |A_{SM} + \frac{A_6}{\Lambda^2} + \dots |^2 \sim A_{SM}^2 + \frac{A_{SM}A_6}{\Lambda^2} + \frac{A_6^2}{\Lambda^4} + \dots$$

- Problem is that  $(A_6)^2$  terms are the same order as  $A_8$  terms that we have dropped when counting in  $1/\Lambda$
- If we only keep  $A_6/\Lambda^2$  terms and drop  $(A_6/\Lambda^2)^2$ , the cross section is not guaranteed to be positive-definite
- Corrections are  $O(s/\Lambda^2)$  or  $O(v^2/\Lambda^2)$

#### WHICH TERMS TO INCLUDE?



- Loops generate dependence on new operators
- Are logarithms good approximation to loop effects?





#### DOUBLE INSERTIONS OF DIM-6 OPERATORS

$$A \sim A_{SM} + a_i \frac{C_{6i}}{\Lambda^2} + a_{ij} \frac{C_{6i}C_{6j}}{\Lambda^4} + b_i \frac{C_{8i}}{\Lambda^4} + \frac{1}{16\pi^2} \left[ c_i \frac{C_{6i}}{\Lambda^2} + d_i \log\left(\frac{\mu^2}{\Lambda^2}\right) \right]$$

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- Consider double insertions in gluon fusion
- Consider models where  $C_{\phi G}=0$  ( $O_{\phi G}=(\phi^{+}\phi) G^{\mu\nu}G_{\mu\nu}$  contributes at tree level and complicates counting)



\* Double insertions for tree level processes straightforward



When top data is included, double insertions irrelevant for gg $\rightarrow$ H in C<sub> $\phi G$ </sub>=0 limit

2212.03258

#### HIGHER ORDER CORRECTIONS

- Progress in computing SMEFT processes to NLO
- NLO SMEFT QCD at dimension-6 is automated: 2008.11743
- QCD effects matter!





#### DIMENSION-6 EW CORRECTIONS

- These are not automated:
  - One-loop EW SMEFT calculations done on a case by case basis
- Not all observables that are relevant for LHC data are known at NLO EW SMEFT
- NLO EW introduces dependence on operators that don't contribute at LO
  - Does this affect global fits?

Still work to do.....

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#### HOW BIG ARE NLO EW EFFECTS?

Fit to precision EW data: LEP / SLC /  $M_W$  /  $\Gamma_W$ 



8 coefficients that contribute at tree level

[Giardino, HEFT2020]

#### FUTURE LIMITS NEED NLO EW SMEFT





# Note that including NLO EW changes the fits in a significant way

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#### FLAVOR AND THE SMEFT

 $L_{YUK} = -\overline{q}_L V^{\dagger} Y_u \tilde{H} u_R - \overline{q}_L Y_d H d_R - \overline{l}_L Y_e H e_R + h.c$ 

• Flavor is poorly understood in the SM



- Large hierarchy of masses:  $Y_u, Y_d, Y_e$
- Approximate alignment of CKM matrix:

 $V_{CKM} \sim \begin{pmatrix} 1 & .2 & (.2)^3 \\ .2 & 1 & (.2)^2 \\ (.2)^3 & (.2)^2 & 1 \end{pmatrix}$ 

- Do SMEFT operators follow a similar flavor pattern?
- Imposing global flavor symmetries reduces number of operators



European Strategy, 1910.11775

#### INCLUDE FLAVOR STRUCTURE IN EWPO STUDY

- Consider CKM diagonal, which implies specific flavor structures
- In Warsaw basis:
  - 4-fermion operators

 $(\overline{f}_i \gamma^\mu f_j)(\overline{f}_k \gamma_\mu f_l)$ 

• 2-fermion operators

$$(H^{\dagger}i\overleftrightarrow{D}_{\mu}H)(\overline{q}_{i}\gamma^{\mu}q_{j}) \to C_{X}[ij] = E_{X}\delta_{ij}$$

- Bosonic operators
- Most general case: NLO EWPO calculation involves 178 independent coefficients (6 from bosonic, 23 from 2-fermion, 149 from 4-fermion)

Not all combinations of flavor indices arise in EWPOs

#### WHAT ABOUT FLAVOR ASSUMPTIONS?

- Global fits often done assuming flavor universality
- SM has U(3)<sup>5</sup> global symmetry that is broken only by Yukawas  $(q_L)^T = (u_L, d_L), \ (l_L)^T = (\nu_L, e_L), \ u_R, \ d_R, \ e_R$
- 3<sup>rd</sup> generation is different
  - Do fits with  $U(2)^5$  global symmetry
- MFV assumption assumes top Yukawa is only source breaking U(3)<sup>5</sup> symmetry (since we assume all other fermions are massless)

Do flavor assumptions make significant differences to SMEFT fits?

## FLAVOR ASSUMPTIONS REDUCE POSSIBILITIES

	Operators that contribute to EWPO at NLO								
	Operator	$U(3)^{5}$	MFV	$U(2)^{5}$	3 <sup>rd</sup> gen specific	$3^{rd}$ gen phobic	$3^{rd}$ gen phobic + $U(2)^5$	Flavorless	
2-fermion 4-fermion with identical representations Remaining 4-fermion	Class A	7	12	16	9	14	7	9	
	Class B	11	17	27	5	23	11	6	
	Class C	11	21	44	11	44	11	11	
	Total	29	50	87	25	81	29	26	

- NLO SMEFT EW fits done with coefficients evaluated at  $\ensuremath{M_Z}$
- Input parameter dependence? Results use  $G_{\text{F}}\,M_{\text{Z}},\alpha$
- After separating out dominant scheme independent contributions, residual scheme dependent contributions similar in commonly used schemes [Biekotter, Pecjak, Scott, Smith, <u>2305.03763</u>]

#### FLAVOR MATTERS!

- Take-away: Neglecting flavor gives overly aggressive limits
- Strong correlations in flavor space
- NLO can have large effects



\* Coefficients are related by flavor assumptions

2304.00029

#### FLAVOR MATTERS

Flavorless assumption yields more stringent bounds than flavor scenarios

Can also limit these coefficients with fits to LHC dijets. More stringent limits for gens 1 and 2 from dijets (tree level process) [Bruggisser,Westhoff: 2212.02532]



# FLAVOR IN EWPO AND TOP PHYSICS

- Some operators contribute both to top pair production at the LHC and to EWPO at 1loop
- For some operators, similar sensitivity



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2201.09887 1802.07237

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95% CL limits on 3<sup>rd</sup> generation 4-fermion operators

#### SO.... WHO CARES?

• SMEFT fits provide a comparison point to quantify deviations from the SM

I have highlighted the many assumptions that go into SMEFT fits and shown the importance of NLO corrections and flavor assumptions

• Interest in SMEFT is the hope that it will provide insight into high scale physics that cannot be directly probed.

#### GOAL IS TO INFER BSM PHYSICS FROM PATTERNS OF COEFFICIENTS

- Compare models with one new heavy particle
- Do global fits to just the sets of operators generated in these models
- Fits can restrict high scale models
- Need to study complete models

\* Mass limits assume C=1



#### 2HDM IS A GOOD TESTING GROUND

- Consider model with 2 Higgs doublets,  $\Phi_1$  and  $\Phi_2$  with a softly broken  $Z_2$  symmetry:  $\Phi_1 \rightarrow \Phi_1$ and  $\Phi_2 \rightarrow - \Phi_2$
- 5 physical Higgs bosons, h<sub>125</sub>, H<sub>0</sub>, A, H<sup>±</sup>
- Rotate to the Higgs basis In this basis  $\langle H_2 \rangle = 0, \langle H_1 \rangle = v/\sqrt{2}$   $\begin{pmatrix} H_1 \\ H_2 \end{pmatrix} = \begin{pmatrix} \cos\beta & \sin\beta \\ -\sin\beta & \cos\beta \end{pmatrix} \begin{pmatrix} \Phi_1 \\ \Phi_2 \end{pmatrix},$
- Very convenient for SMEFT studies

$$\begin{split} V = & Y_1 H_1^{\dagger} H_1 + Y_2 H_2^{\dagger} H_2 + \left( Y_3 H_1^{\dagger} H_2 + \text{h.c.} \right) + \frac{Z_1}{2} \left( H_1^{\dagger} H_1 \right)^2 \\ & + \frac{Z_2}{2} \left( H_2^{\dagger} H_2 \right)^2 + Z_3 \left( H_1^{\dagger} H_1 \right) \left( H_2^{\dagger} H_2 \right) + Z_4 \left( H_1^{\dagger} H_2 \right) \left( H_2^{\dagger} H_1 \right) \\ & + \left\{ \frac{Z_5}{2} \left( H_1^{\dagger} H_2 \right)^2 + Z_6 \left( H_1^{\dagger} H_1 \right) \left( H_1^{\dagger} H_2 \right) + Z_7 \left( H_2^{\dagger} H_2 \right) \left( H_1^{\dagger} H_2 \right) + \text{h.c.} \right\} \end{split}$$

• Z's can be written in terms of physical parameters  $v,\,eta\!-\!lpha,\,m_{h_{125}},\,Y_2,\,m_{H_0},\,m_A,\,m_{H^\pm}$ S. Dawson, BNL

#### 2HDM CONTINUED

• 4 choices for fermion Yukawas (avoid tree level FCNC)

 $\mathcal{L}_{Y} \sim -\lambda_{u}^{(1)} \bar{u}_{R} \tilde{H}_{1}^{\dagger} q_{L} - \lambda_{u}^{(2)} \bar{u}_{R} \tilde{H}_{2}^{\dagger} q_{L} - \lambda_{d}^{(1)} \bar{d}_{R} H_{1}^{\dagger} q_{L} - \lambda_{d}^{(2)} \bar{d}_{R} H_{2}^{\dagger} q_{L} + h.c.$ 

$$\lambda_f^{(1)} = \frac{\sqrt{2}}{v} m_f \qquad \lambda_f^{(2)} = \frac{\eta_f}{\tan\beta} \lambda_f^{(1)}$$

	Type-I	Type-II	Type-L	Type-F
$\eta_u$	1	1	1	1
Jd	1	$-\tan^2\beta$	1	$-\tan^2\beta$
$\eta_l$	1	$-\tan^2\beta$	$-\tan^2\beta$	1

- Type II is MSSM-like
- Type I has enhanced (suppressed) couplings to b quarks at small (large) tan  $\beta$



#### MATCH TO SMEFT AT DIMENSION-6

- At dimension-6, observables depend on  $C/\Lambda^2$  (ie you can't determine a scale independently of assumptions about coefficients, C)
- Decoupling limit: (Y<sub>3</sub>/Y<sub>2</sub>)<<1
- At tree level dimension-6, 2HDM SMEFT matching generates:

$$\frac{v^2 C_H}{\Lambda^2} = \frac{\cos^2(\beta - \alpha)M^2}{v^2} \qquad \qquad \frac{v^2 C_{tH}}{\Lambda^2} = -\frac{\eta_t \sqrt{2}m_t \cos(\beta - \alpha)}{v \tan \beta}$$
$$\frac{v^2 C_{bH}}{\Lambda^2} = -\frac{\eta_b \sqrt{2}m_b \cos(\beta - \alpha)}{v \tan \beta} \qquad \qquad \frac{v^2 C_{\tau H}}{\Lambda^2} = -\frac{\eta_\tau \sqrt{2}m_\tau \cos(\beta - \alpha)}{v \tan \beta}$$

Dimension-6 matching does NOT generate 2HDM VVh<sub>125</sub> couplings!

$$O_{fH} = (H^{\dagger}H)(\overline{q}_L \tilde{H} f_R) \qquad O_H = (H^{\dagger}H)^3 \qquad \frac{C_H}{\Lambda^2} \sim (...)\delta\lambda_3$$

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\* M is common mass of heavy scalars

#### 2HDM SMEFT AND RGE

- Operators generated from 2HDM matching don't contribute to EWPOs at tree level
- Limits from Higgs data
- Matching done at high scale, then coefficients evolved to  $M_Z$  using renormalization group running
- This generates new operators which contribute to di-boson production
- Effect of RGE running small for  $O_{fH}$  operators

#### SMEFT matching to type-II 2HDM



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#### MATCH 2HDM TO DIMENSION-8

- Matching generates new operators, some with new kinematic structures
- Dimension-8 coefficients can all be written in terms of parameters of 2HDM
- Also need relations between gauge couplings and input parameters  $(G_{\mbox{\tiny F}}\,M_{\mbox{\tiny VV}},\,M_{\mbox{\tiny Z}})$  to dimension-8

$$\begin{split} \tilde{J}_{28} &\sim \frac{1}{\Lambda^4} \left\{ C_{H^8} (H^{\dagger} H)^4 + C_{H^6}^{(1)} (H^{\dagger} H)^2 \left( D_{\mu} H \right)^{\dagger} (D^{\mu} H) + \left\{ C_{quH^5} (H^{\dagger} H)^2 \bar{q}_L u_R \tilde{H} \right. \\ &+ C_{quH^3 D^2}^{(1)} (D_{\mu} H)^{\dagger} (D^{\mu} H) \bar{q}_L u_R \tilde{H} + C_{quH^3 D^2}^{(2)} \left[ (D_{\mu} H)^{\dagger} \tau^a (D^{\mu} H) \right] \left[ \bar{q}_L u_R \tau^a \tilde{H} \right] \\ &+ C_{quH^3 D^2}^{(5)} \left[ (D_{\mu} H)^{\dagger} H \right] \left[ \bar{q}_L u_R \widetilde{D^{\mu} H} \right] + C_{qdH^5} (H^{\dagger} H)^2 \bar{q}_L d_R H \\ &+ C_{qdH^3 D^2}^{(1)} (D_{\mu} H)^{\dagger} (D^{\mu} H) \bar{q}_L d_R H + C_{qdH^3 D^2}^{(2)} \left[ (D_{\mu} H)^{\dagger} \tau^a (D^{\mu} H) \right] \left[ \bar{q}_L d_R \tau^a H \right] \\ &+ C_{qdH^3 D^2}^{(5)} (H^{\dagger} D_{\mu} H) (\bar{q}_L d_R D^{\mu} H) + h.c. \right\} + 4 \; Fermion \end{split}$$

Note: O<sub>H6</sub><sup>(1)</sup> gives VVh<sub>125</sub> coupling

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SD, D. Fontes, S. Homiller, and M. Sullivan, 2205.01561

#### SCALE DEPENDENCE FOR C<sub>H</sub>

- C<sub>H</sub> modifies Higgs tri-linear
- Affects Higgs coupling limits through loop contributions



• C<sub>H</sub> scaling different than Yukawa like terms

 $\frac{\frac{v^2 C_{tH}}{M^2}}{\frac{v^2 C_H}{M^2}} \sim \frac{\frac{\cos(\beta - \alpha)\eta_t m_t}{v \tan \beta}}{\frac{\cos^2(\beta - \alpha)M^2}{v^2}}$ 

Decoupling requires  $\cos^2(\beta - \alpha) \ll \frac{v^2}{M^2}$ 

Degrassi, Giardino, Maltoni, Pagani, 1607.04251

#### HIGGS TRI-LINEAR AT DIMENSION-8

- C<sub>H</sub> fits have dependence on scale  $\Lambda$
- Fits sensitive to inclusion of  $C_{\rm H}$



Dashed:  $I/\Lambda^2$ Solid:  $I/\Lambda^4$ 

# BOTTOM LINE ON DIMENSION-8 AND 2HDM

- Dimension-8 terms are important in matching SMEFT to type-I 2H2M
- This is for a well-understood physics reason (VVh<sub>125</sub> first arises at dimension-8)
- Studies of matching to other models (Gauge triplet, <u>2102.02819</u>, Vector like top quark, <u>2110.06929</u>) show that the importance of dim-8 terms appears to be model dependent

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#### FINALLY, WHAT IF IT'S NOT SMEFT?

- What if Higgs is not part of an SU(2) doublet?  $\rightarrow$  HEFT (Higgs Effective Field Theory)
- Expansion is different from SMEFT (LO Lagrangian here)

$$\begin{split} L_{HEFT} &\sim \frac{v^2}{4} \bigg[ 1 + 2a \frac{h}{v} + b \frac{h^2}{v^2} + \dots \bigg] Tr \left\{ D_{\mu} U^{\dagger} D_{\mu} U \right\} + \frac{1}{2} (\partial_{\mu} h)^2 - V(h) \\ V(h) &= \frac{1}{2} m_h^2 h^2 \left( 1 + \kappa_3 \frac{h}{v} + \frac{\kappa_4}{4} \frac{h^2}{v^2} + \dots \right) \\ D_{\mu} U &= \partial_{\mu} U + ig W_{\mu}^a \frac{\sigma^a}{2} U - ig' U \frac{\sigma^3}{2} B_{\mu} \end{split}$$
 h is physical Higgs

- Unitary gauge, U $\rightarrow$ I; SM:  $a=b=\kappa_3=\kappa_4=1$  SMEFT:  $b-a=\frac{3C_{H\Box}v^2}{\Lambda^2}$
- Suggests that hh $\rightarrow$ hh, WW $\rightarrow$ hh can distinguish between SMEFT and HEFT
- (Do same matching to 2HDM as in SMEFT.....)

#### <u>2204.01763</u>, <u>2307.15693</u>, <u>2305.07689</u>, <u>2311.16897</u>, <u>2312.03877</u>, <u>2211.09605</u>



#### CONCLUSIONS



- SMEFT offers the promise of probing UV scale physics that is not accessible directly
- There are many effects which can affect predictions...order of the  $1/\Lambda^2$  expansion, flavor, higher dimension operators, loop effects....
- Double Higgs production offers the possibility to distinguish SMEFT from HEFT

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#### Sill a lot of work to do!